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IPP Fax Protocol

IEEE-ISTO Printer Working Group
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Abstract

This ~~document~~standard specifies the IPP Fax (IPPFAX) protocol. The IPPFAX requirements [15] are derived from the requirements for Internet Fax [1].

In summary IPPFAX is used to provide a synchronous, reliable exchange of image Documents between clients and servers. The primary use envisaged of this protocol is to provide a synchronous image transmission service for the Internet. Contrast this with the store and forward fax-like protocol specified in [2] and [3] that uses the SMTP mail protocol as a transport.

~~This document proposes that t~~The IPPFAX protocol ~~should~~uses an extended version of IPP/1.1 [4], [5] and REQUIRES that the IPPFAX Receiver support at least the Universal Interchange Format (UIF) [14] document format.

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118 **1 Introduction**

119 This standard specifies the IPP Fax (IPPFAX) protocol. The IPPFAX requirements [15] are derived from
120 the requirements for Internet Fax [1].

121 IPP Fax (IPPFAX) is primarily intended as a method of supporting a synchronous, secure, high quality
122 document distribution protocol over the Internet. It therefore discusses paper, pages, scanning and printing,
123 etc. There is however no requirement that the input documents comes from actual paper nor is there a
124 requirement that the output of the process be printed paper. The only conformance requirements are those
125 associated with the exchange of data over the network.

126 The IPPFAX protocol uses an extended version of IPP/1.1 [4], [5] and REQUIRES that the IPPFAX
127 Receiver support at least the Universal Interchange Format (UIF) [14] document format. Note - It is
128 assumed that the reader is familiar with IPP[4],[5],[6].

129 In summary IPPFAX is used to provide a synchronous, reliable exchange of image documents between clients
130 and servers. The primary use envisaged of this protocol is to provide a synchronous image transmission
131 service for the Internet. Contrast this with the store and forward fax-like protocol specified in [2] and [3] that
132 uses the SMTP mail protocol as a transport.

133 **1.1 Namespace used**

134 The extension specified in this document standard uses the prefix 'ippfax-' for all new IPP elements-attributes
135 created/defined.

136 **2 Terminology**

137 This section defines the following additional terms that are used throughout this standard.

138 **2.1 Conformance Terminology**

139 Capitalized terms, such as MUST, MUST NOT, REQUIRED, SHOULD, SHOULD NOT, MAY,
140 NEED NOT, and OPTIONAL, have special meaning relating to conformance to this specification. These
141 terms are defined in [RFC2911] section 13.1 on conformance terminology, most of which is taken from RFC
142 2119 [RFC2119].

143 **2.2 Model**

144 This [proposal-standard](#) defines a logical model of an IPPFAX interchange. The following terms are
145 introduced [and capitalized in order to indicate their specific meaning](#): -

146 **Sender** This is the agent (software, hardware or some combination) that is used to transmit a Document to a
147 Receiver.

148 **Receiver** This is the agent ([IPP Printer object which can be software, hardware or some combination](#)) that
149 receives the Document sent by the Sender.

150 **Document** [The electronic representation of](#) a set of one or more pages that the Sender sends to the
151 Receiver.

152 **Sending User** The person interacting with the Sender.

153 **Receiving User** The intended human recipient of the Document being sent.

154 **IPPFAX Job** An IPP job submitted by a Sender.

155 [Delivered](#) [The Receiver has either printed the Document or has forwarded it to some other system.](#)

156 [The terminology defined in \[5\], such as attribute, operation, request, response, operation attribute,](#)
157 [Printer Description attribute, and Job Description attribute is also used in the standard with the same](#)
158 [capitalization conventions.](#)

159 **2.3 Typical exchange**

160 The Sending User determines the address of the Receiver – see ['Addressing' section 6.1](#). This
161 [document standard](#) does not specify how the Sending User does this. Possible methods include directory
162 lookup, search engines, business cards, network enumeration protocols such as SLP, etc.

163 [2-1.](#) The Sending User [either \(1\) loads the Document into the Sender or \(2\) causes the Sender to generate the](#)
164 [Document data by means outside the scope of this standard](#), indicates the Receiver's address and starts
165 the exchange.

166 [2-2.](#) The Sender determines whether or not the Receiver is a IPPFAX capable device – see ['IPPFAX](#)
167 [detection' section 3.](#)

168 [2-3.](#) The following identities are determined and exchanged: Sender, Sending User, Receiver and Receiving
169 User – see ['Identity exchange' section 5.](#)

170 [2-4.](#) The Sender [and Receiver](#) decide on the most appropriate data format [depending on the Receiver's](#)
171 [capabilities](#). This is described in detail in the UIF specification [14].

172 5. The Sender SHOULD validate whether or not the Receiver will accept the IPPFAX Job from this
173 Sending User using Validate-Job. See section 6.2. ISSUE 01: Ok that I added the Validate-Job step,
174 since Validate-Job is REQUIRED for an IPPFAX Receiver to support?

175 2-6. The Sender either (1) scans the Document and converts it into an acceptable data format or (2) generates
176 the Document representation in an acceptable data format – see 'data-formats' section 4.

177 2-7. This data is transmitted to the Receiver – see 'Data-Transmission' section 6.3.

178 2-8. The Sending User receives a confirmation that the Receiver received the Document – see
179 'Confirmation' section 6.4.

180 9. In addition the Sender MAY choose to receive notification that the Document has been successfully
181 Delivered – see 'Notification' section 6.5

182 ? Delivered means the Receiver sent it somewhere

183 If the Sender is unable to initiate or complete the exchange then it is assumed that the Sender will perform
184 some form of retry. The mechanisms used and the user-visible behavior in this case is an implementer's choice
185 and beyond the scope of this document standard.

186 2.4 Gateways

187 The IPPFAX protocol MAY be used as a gateway protocol to or from other image transmission systems. See
188 'Gateways to other systems' later section 9.

189 3 IPPFAX detection

190 A Sender needs to determine whether or not the destination URL it has represents:-

191 a) A valid IPP destination

192 b) A IPPFAX Receiver (not all IPP destinations are IPPFAX Receivers)

193 This document standard does not specify how to perform the first validation. Refer to the IPP implementer's
194 guide [6].

195 3.1 *ippfax-receiver (integer(0:MAX)) Printer Description attribute*

196 ISSUE 02: Wouldn't "ippfax-version" (integer(0:MAX)) make a better Printer Description attribute name for
197 the "ippfax-receiver (integer(0:MAX)), especially since we already have an "ippfax-receiver-identify
198 (name(MAX)) Printer Description attribute?

199 The Sender SHOULD read this Printer Description attribute using the Get-Printer-Attributes operation; the
200 Receiver MUST support this Printer Description attribute. This attribute identifies the Printer object as an
201 IPPFAX Receiver. To perform the second validation a Sender SHOULD execute an IPP 'get-printer-
202 attributes' operation to retrieve the 'ippfax-receiver'(Integer) attribute. An IPPFAX Receiver MUST support
203 this printer description attribute. If this attribute is not returned, then the Printer is NOT an IPPFAX Receiver.
204 If the value of this attribute is 0 then the ~~device~~ Printer object is not currently operating as an IPPFAX
205 Receiver. Any other value indicates the version of IPPFAX supported. This specification defines the support
206 REQUIRED for version 1.

207 ISSUE 03: Why not REQUIRE an IPPFAX Sender to validate that the Receiver is an IPPFAX Receiver?
208 Otherwise, the Sending User isn't guaranteed reliable exchange.

209 If the IPP printer supports this attribute and returns a value greater than 0, then Sender can be sure that it is an
210 IPPFAX Receiver. If either the attribute is not returned or the value is 0, ~~not~~ then the Sender MAY choose to
211 abandon the exchange or to enter degraded mode (see section 3.2).

212 ISSUE 04: When the IPP Printer isn't an IPPFAX Printer (either doesn't support the "ippfax-receiver"
213 attribute or returns a 0 value, why not REQUIRE the Sender to query the Sending User as to whether to
214 abandon the exchange or do it in Degraded Mode? Currently, the Sender can do whatever it wants without
215 the Sending User being involved.

216 ISSUE 05: Can a Receiver support a remote administrator changing the value of the ippfax-receiver
217 (integer(0:MAX)) Printer Description attribute using the Set-Printer-Attributes operation or should we define
218 two OPTIONAL operations to set the level to 0 or back to its supported level?

219 ISSUE 06: If we want two operations, should they be new operations or a new operation attribute for the
220 existing OPTIONAL Disable-Printer and Enable-Printer operations?

221 **3.2 Degraded Mode**

222 IPPFAX describes a variation of IPP – it is perfectly possible for a complete ippfax-like exchange to take
223 place between a IPPFAX client and an IPP printer.

224 From the viewpoint of IPPFAX this is a degraded mode of operation. The main features that will be missing
225 are:-

- 226 - Guaranteed exchange: Since IPP does not mandate any data formats it is possible that the Sender
227 MAY not be able to discover a common data format that both it and the printer support.
- 228 - Identity exchange: IPP does not provide the definitive identity exchange that IPPFAX does. In
229 many cases however this is acceptable

230 4 Data formats

231 In order to usefully exchange Documents between arbitrary IPPFAX end points there MUST be some
 232 agreement on what formats are used to represent the data. To this end an IPPFAX Receiver MUST support
 233 UIF[14~~xx~~]. The UIF format is identified using the MIME type: 'application/vnd.pwg-UIF' (ISSUE 07: Or
 234 use 'image/tiff; application=uiif' or 'image/tiff; application=faxbw or 'image/tiff; application=faxcolor' instead?).

235 A Receiver MAY support other formats.

236 Note that a Sender MAY use any means it chooses to determine what format to send. It MAY have a-priori
 237 knowledge of the Receiver, it MAY read the IPP ~~printer-description-attribute~~ "document-format-supported"
 238 **Printer Description attribute** or determine that it can support other data formats using some other mechanism
 239 (for example it can read the Receiver's manufacturer and model and therefore determine the formats
 240 supported). The Sender SHOULD NOT send any data format that the Receiver does not support. If it does
 241 so the Receiver will reject it (IPP conformance).

242 The Sender MAY send any supported format to the Receiver. It is the Sender's choice; the Receiver has no
 243 way of indicating preferred formats from amongst the formats that the Receiver supports.

244 The Sender MUST specify the data format being sent by including the (~~optional in IPP~~) "document-format"
 245 operation attribute in the Print-Job request (OPTIONAL for a client to supply in IPP/1.1). ~~job-attribute~~
 246 ~~'document-format'~~

247 5 Identity exchange

248 This section defines the attributes used by the Sender and the Recipient to identify the other.

249 5.1 ippfax-sending-user-identity (text(MAX)) operation/Job 250 Description attribute ~~Sending user~~

251 The ~~Sender SHOULD send this operation attribute in the Print-Job operation~~ ~~Sending User identity SHOULD~~
 252 ~~be sent to the Receiver~~; a Receiver MUST support this Print-Job and Validate-Job operation attribute. This
 253 attribute identifies The identity the Sending User in MIME vCard [10] format. For a sample vCard see
 254 Appendix B: Vcard Example section 13. ~~is specified in a new IPP job attribute~~ ~~ippfax-sending-user-~~
 255 ~~identity'(octetString32k(MAX)). This attribute is a job-description attribute and an operation attribute for the~~
 256 ~~print-job-and-validate-job commands.~~ If the ~~client~~ Sender supplies the attribute, then the Receiver MUST use
 257 its ~~value of the attribute is used~~ to populate the Job object's "ippfax-sending-user-identity" Job Description
 258 attribute of the same name.

259 ISSUE 08: Ok to change the attribute syntax of the "ippfax-sending-user-identity" operation attribute from
 260 octetString32k(MAX) to text(MAX), since the value is a vCard string and 1023 characters seem plenty?

261 **5.2** ***ippfax-receiving-user-identity (text(MAX)) operation/Job***
 262 ***Description attribute*****Receiving User**

263 The Sender SHOULD send this operation attribute in a Print-Job operation; a Receiver MUST support this
 264 Print-Job operation attribute. This attribute identifies the identity of the intended Receiving User in MIME
 265 vCard format[10] SHOULD be included in a request. For a sample vCard see section 13. The identity is
 266 specified in a new IPP job attribute, 'ippfax-receiving-user-identity'(octetString32k(MAX)). This attribute is a
 267 job description attribute and an operation attribute for the print job and validate job commands. This is
 268 If the Sender supplies the attribute, then the Receiver MUST use its value to populate the Job object's "ippfax-
 269 sending-user-identity" Job Description attribute of the same name.

270 ISSUE 09: Ok to change the attribute syntax of the "ippfax-receiving-user-identity" operation attribute from
 271 octetString32k(MAX) to text(MAX), since the value is a vCard string and 1023 characters seem plenty?

272 **5.3** ***ippfax-sender-identity (name(255)) operation/Job Description***
 273 ***attribute*****Sender**

274 The Sender MUST send this operation attribute in a Print-Job operation; a Receiver MUST support this
 275 Print-Job operation attribute. This attribute identifies the Sender MUST have an identity in the same way that
 276 a fax machine has a sending station ID. The Receiver MUST use its value to populate the Job object's
 277 "ippfax-sender-identity" Job Description attribute of the same name. The Sender's identity MUST be sent to
 278 the Receiver using a new IPP job description attribute and operation attribute for the print job and validate
 279 job commands, 'ippfax-sender-identity'(name(255)). A Receiver MUST support this attribute. The presence
 280 of the attribute also marks the job as an IPPFAX Job.

281 The value of this identity is not specified but MUST uniquely identify the device. A value derived from the
 282 MAC address would be a reasonable starting point but it MUST be human readable text.

283 **5.4** ***ippfax-receiver-identity (name(255)) Printer Description***
 284 ***attribute*****Receiver**

285 The Sender MAY read this Printer Description attribute using the Get-Printer-Attributes operation; the
 286 Receiver MUST support this Printer Description attribute. This attribute identifies the Receiver. The Receiver
 287 MUST have an identity that the Sender MAY read. The Receiver MUST make this available via a new IPP
 288 printer description attribute, 'ippfax-receiver-identity'(name(255)).

289 The value of this identity is not specified but MUST uniquely identify the device. A value derived from the
 290 MAC address would be a reasonable starting point but it MUST be human readable text.

291 6 Data Exchange

292 6.1 Addressing

293 In each operation, the IPP Target, i.e., the “printer-uri” (uri) operation attribute, MUST be the Receiver's
294 address which MUST be an IPP/1.1 URL using the 'ipp' scheme. See [12].

295 Example: <ipp://www.acme.com/ipp/print5>

296 ~~See [draft-ietf-ipp-url-scheme-02.txt] ipp-url-scheme~~

297 6.2 Validating the Job using the Validate-Job operation

298 The Sender SHOULD validate the job attributes using the Validate-Job operation (that doesn't include any
299 Document data) before sending the IPPFAX Job with the same attributes using the Print-Job operation that
300 includes the Document data. The Sender SHOULD supply all the same operation and Job Template
301 attributes in the Validate-Job request as it will supply in the Print-Job request.

302 6.3 Transmission using the Print-Job operation

303 Documents MUST be sent using the IPP Print-Job operation. There is no requirement for ~~an~~the IPPFAX
304 Receiver to support any other IPP job submission operations or to support the Validate-Job operation.

305 The Sender MAY include any valid operation attributes or Job Template attributes.

306 ISSUE 10: We need to define which Print-Job operation attributes and Job Template attributes are required
307 for the Receiver to support.

308 6.4 Confirmation using the Print-Job response

309 The Sender knows when the Receiver has successfully received the entire Document when the Receiver
310 returns the 'successful-ok' status code in the Print-Job response; the Sender **can** then inform the Sending
311 User.

312 ISSUE 11: MUST the Sender inform the Sending User that the Document as been received successfully?

313 The Sender **SHOULD** use the successful end of the print-job operation as an indication that the Receiver has
314 received the Document.

315 **6.5 Notification using the “notification-recipient-uri” operation**
316 **attribute and the Get-Notifications operation**

317 A Sender MAY use Notification to determine when the Document has been Delivered; An IPPFAX Receiver
318 MUST support the IPP Notification specification [16] and the ‘ippget’ notification delivery method
319 mechanism[~~draft-ietf-ipp-notify-get-02.txt~~11]. The Receiver ~~and~~ MUST support the ‘job-progress’ events
320 (which is OPTIONAL in [16]) and the ‘job-completed’ event (which is a subset of the required events in
321 [16]). The Receiver MUST support the Get-Notifications operation as defined in [11]. If the Sender
322 subscribes to the ‘job-progress’ event, the Receiver MUST generate an event for every sheet, as moderated
323 by the Printer’s “notify-time-interval” attribute, which the Sender can obtain using the Get-Notifications
324 request.

325 ISSUE 12: Why not REQUIRE the Sender to support Get-Notifications and subscribing to at least the ‘job-
326 complete’ event?

327 ISSUE 13: Ok to allow a Receiver to support a subset (‘job-progress’ and ‘job-complete’) of the
328 REQUIRED events that IPP Notification requires?

329 A Sender MAY use the “notification-recipient” Print-Job operation attribute [16] ~~this~~ to request that the
330 Receiver send it notifications regarding the delivery of the Document. The Receiver MUST support
331 Subscription Creation for the IPP Print-Job command operation, but **NEED NOT** support any other
332 notification operations, such as Create-Job-Subscriptions, Create-Printer-Subscriptions, Get-Subscription-
333 Attributes, Get-Subscription-Attributes, Renew-Subscription, or Cancel-Subscription, even though [16]
334 requires them.

335 ISSUE 14: Ok to allow a Receiver to subset the REQUIRED operations of the IPP Notification specification
336 and not support: Create-Job-Subscriptions, Create-Printer-Subscriptions, Get-Subscription-Attributes, Get-
337 Subscription-Attributes, Renew-Subscription, or Cancel-Subscription, even though the IPP Notification spec
338 requires them?

339 If a Receiver **chooses to allow** other IPP notification operations then it **SHOULD** provide a method of
340 restricting all other notification operations to authenticated administrators.

341 ISSUE 15: Should we forbid a Receiver to support the additional IPP Notification operations: Create-Job-
342 Subscriptions, Create-Printer-Subscriptions, Get-Subscription-Attributes, Get-Subscription-Attributes,
343 Renew-Subscription, or Cancel-Subscription?

344 For the purposes of IPPFAX ‘~~printing-complete~~job-completed’ event notifications means that the Receiver
345 has delivered the IPPFAX Job ~~it~~ somewhere; either actually printed it or forwarded it to some other system.

346 **6.6 Identity Stamping**

347 The Sender MUST place the Sender's identity, date and time at the top of every page of the sent Document.
348 The Sender MAY include additional data (Sending User, Receiver identity, etc.)

349 ISSUE 16: Why are we requiring that the Sender put the identity at top of every page? Isn't that more
350 stringent than PSTN FAX and Internet FAX? I thought that a Sender could do that, but that putting it on the
351 first page was sufficient?

352 **6.7 ippfax-return-uri (uri) operation and Job Description** 353 **attributeReturn address**

354 The Sender MAY include this Print-Job operation attribute; the Printer MUST support this operation
355 attribute. This attribute identifies the IPPFAX URI of its the Receiver component in every request. It does this
356 with a new IPP print-job-and-validate-job-operation-attribute, 'ippfax-return-uri'(URI). A Receiver MUST
357 support this attribute (note that this does not mean it necessarily does anything useful with it). If supplied, then
358 Receiver will-MUST use this value to populate the Job's "ippfax-return-uri" (uri) Job Description attribute of
359 the same name.

360 ISSUE 17: Why do we have this ippfax-return-uri which is the URI of the Receiver? Any IPP client MUST
361 always put this same URI into the "printer-uri" (uri) operation attribute of the Print-Job operation which the
362 IPP/1.1 Printer MUST copy to the "job-printer-uri" Job Description attribute. So I suggest we delete the
363 "ippfax-return-uri" (uri) operation and Job Description attribute.

364 **7 IPP Implementation**

365 IPPFAX restricts the use of IPP in certain cases in order to make attaching a Receiver to the Internet a safe
366 option – see 'security considerations' section 8.

367 The Receiver MUST fully support the Print-Job, Validate-Job, and Get-Printer-Attributes operations, as
368 defined by IPP/1.1 [4] and the Get-Notifications operation as defined in [11]. The following subsections
369 define restrictions placed the IPP/1.1 commands Cancel-Job, Get-Job-Attributes, and Get-Jobs operations.
370 In a strict IPPFAX implementation, all other IPP/1.1 commands-operations are forbidden except if the issuer
371 of the command-operation can be identified as an administrator. There is no requirement for the Receiver to
372 implement any of the OPTIONAL features of IPP unless explicitly stated elsewhere in this document standard.
373 If a Receiver is not a strict IPPFAX implementation and it chooses to allow other IPP operations, for
374 example, IPP operations such as Print-Uri, Create-Job, Create-Printer-Subscriptions notifications, etc., then it
375 MUST provide a method of restricting available operations for non-authorized clients to the operations
376 specified herein.

377 **7.1 Canceling jobs**

378 It is inappropriate for a Sender to transmit a Document, receive confirmation of its arrival and then cancel it.
379 Therefore:–

380 The Sender SHOULD NOT attempt to cancel the print job once it has been sent to the Receiver.

381 The Receiver MUST reject cancel job operations not issued by an administrator targeted at IPPFAX Jobs.
382 (The Receiver can determine that this is an IPPFAX Job by the presence of the mandatory “ippfax-sender-
383 identity” job attribute). The Cancel-Job operation therefore becomes a privileged operation on all IPPFAX
384 Jobs. This is a change to the IPP behavior.

385 If the issuer of the operation can be identified as an administrator, then the operation SHOULD behave as
386 defined in [4].

387 **ISSUE 18: MUST a Receiver support this restricted form of the Cancel-Job operation or MAY it omit**
388 **support all together?**

389 **7.2 Querying jobs using Get-Job-Attributes and Get-Jobs** 390 **operations**

391 The public nature of IPPFAX interactions make it inappropriate for a IPP client to be able to query a Receiver
392 for certain information about jobs that it did not send.

393 The Receiver MUST restrict the job attributes that any Sender can request for any IPPFAX Job in a Get-
394 Jobs or a Get-Job-Attributes operation to the following Job attributes:

395 job-id, job-uri
396 job-k-octets, job-k-octets-completed
397 job-media-sheets, job-media-sheets-completed,
398 time-at-creation, time-at-processing
399 job-state, job-state-reasons
400 number-of-intervening-jobs

401
402 This attribute set allows a client to determine the load on a Receiver (and perhaps choose an alternative
403 destination or warn the Sending User).

404 See the discussion in section 8.4 of [4] for a description of how a Receiver MUST behave if it receives a
405 request for an attribute outside this set.

406 An IPP administrator MAY read all attributes.

407 **ISSUE 19: MUST a Receiver support this restricted form of the Get-Job-Attributes operation or MAY it**
408 **omit support all together?**

409 **ISSUE 20: MUST a Receiver support this restricted form of the Get-Jobs operation or MAY it omit support**
410 **all together?**

411 **7.3 Job submission**

412 The Sender MUST send IPPFAX Jobs ~~MUST be sent~~ to the Receiver using the Print-Job operation which .
413 ~~The Print-Job operation~~ MUST include the “ippfax-sender-identity” operation attribute.

414 **8 Security considerations**

415 IPPFAX presents an interesting challenge of balancing security and openness. Many of the envisaged uses of
416 IPPFAX require confidentiality of the data – at the same time the Receiver typically has no prior knowledge of
417 the Sender or the Sending User. This last point will normally rule out all user-based authentication and access
418 control. This is the reason for the restriction placed on querying and canceling IPPFAX Jobs.

419 **8.1 Privacy**

420 Any exchange between a Sender and a Receiver MUST be carried using the privacy mechanism specified in
421 IPP/1.1 namely TLS [9]. In some cases this will also result in mutual authentication of the Sender and Receiver
422 (in the case where both sides have certificates).

423 The Receiver MUST have a TLS certificate.

424 The Sender MAY have a certificate. A Receiver MAY decide to reject requests that come from Senders that
425 do not have a certificate.

426 A Sender can either use its own certificate or it can use one associated with the Sending User.

427 **8.2 ippfax-sending-user-certificate (octetString32k(MAX))** 428 **operation/Job Description attribute ~~Spooof-proofing~~**

429 The Sender MAY supply this operation attribute in a Print-Job or Validate-Job operation; the Receiver
430 MUST support this operation attribute. The use of TLS assures the Sender and the Sending User that the
431 Receiver is what it claims to be.

432 The use of sending side certificates can assure the Receiver that the Sender is who it claims to be (if the
433 Receiver chooses to enforce the requirement that the Sender MUST have a certificate). ~~The Sending User~~
434 ~~MAY supply his certificate in the new operation attribute “ippfax-sending-user-~~
435 ~~certificate”(octetString32k(MAX)).~~ This operation attribute is only valid on the Print-Job and Validate-Job
436 commands operations. A Receiver MUST support this attribute and MAY require this attribute so it MAY
437 positively identify the Sender. If REQUIRED but not supplied then the Receiver MUST reject the request and

438 ~~return respond with the operation response “status code” of “client-error-ippfax-user-certificate-required”~~
439 ~~(see section 11.1).~~ If supplied then this attribute MUST contain the TLS certificate as defined by
440 X.509V3[13].

441 **8.3 Access control**

442 It is expected that the majority of IPPFAX Receivers will operate in a public mode. However a Receiver
443 MAY protect itself using any method specified in [4] (digest authentication [9] for example) to restrict access
444 to any or all of its functionality.

445 However the primary intent of IPP Fax is to create a controlled public access mode. It therefore does not
446 really make much sense to combine IPPFAX and user authentication there are achieving the same thing.

447 **8.4 Reduced feature set**

448 An administrator or device implementer MAY choose to setup up a device so that it only works as a IPPFAX
449 Receiver (i.e., offers no 'native' IPP features). In this mode it offers a restricted set of features and MAY be
450 more safely connected to the Internet.

451 A Receiver that is operating in this mode SHOULD do so by rejecting any non-IPPFAX request with a '401
452 not authorized' error code.

453 ISSUE 21: Which IPP/1.1 status code to use when the IPP Printer is configured to only accept IPPFAX
454 operations and reject other IPP operations: client-error-forbidden (0x0401) or client-error-not-authorized
455 (0x0403)? Here are their IPP/1.1 descriptions:

456 **13.1.4.2 client-error-forbidden (0x0401)**

457 The IPP object understood the request, but is refusing to fulfill it. Additional authentication information or
458 authorization credentials will not help and the request SHOULD NOT be repeated. This status code is
459 commonly used when the IPP object does not wish to reveal exactly why the request has been refused or
460 when no other response is applicable.

461 **13.1.4.4 client-error-not-authorized (0x0403)**

462 The requester is not authorized to perform the request. Additional authentication information or authorization
463 credentials will not help and the request SHOULD NOT be repeated. This status code is used when the IPP
464 object wishes to reveal that the authentication information is understandable, however, the requester is
465 explicitly not authorized to perform the request. This status codes reveals more information than "client-error-
466 forbidden" and "client-error-not-authenticated".

467 9 Gateways to other systems

468 A common scenario will be where IPPFAX acts as an on-ramp or off-ramp to other Document transmission
469 systems.

470 9.1 Off-Ramps

471 In the IPPFAX 'Off-ramp' scenario the user with a Document to send uses an IPPFAX Sender to transmit a
472 Document to an IPPFAX Receiver within a gateway that in turn transmits it to some other destination, i.e.
473 PSTN FAX.

474 9.1.1 ippfax-destination-scheme-supported (1setOf type2 keyword) Printer 475 Description attribute

476 The Sender SHOULD read this Printer Description attribute using the Get-Printer-Attributes operation if it is
477 going to send the IPPFAX Job to an IPPFAX Receiver acting as an Off-Ramp Gateway; if the Receiver
478 supports acting as an Off-Ramp Gateway, the Receiver MUST support this Printer Description attribute. In
479 order that the intermediate gateway SHOULD know where to send the Document the Sender needs to tell the
480 gateway where to send the Document. The Sender SHOULD request the printer description attribute “ippfax-
481 destination-scheme-supported”(1setOf type2 keyword) in order to obtain This attribute identifies the list of
482 Receiver-supported URI destination scheme names that the Receiver supports for forwarding Documents to
483 final Destinations. If the Receiver is an Off-ramp then it MUST support this attribute. If this attribute is empty
484 then the Receiver does not act as an Off-Ramp Gateway, then this attribute MUST NOT be supported, i.e.,
485 the Receiver does not return this attribute in the Get-Printer-Attributes response.

486 From the list of supported schemes, the user selects the desired scheme with which it then populates the
487 “ippfax-destination-uri” (uri) operation attribute on Print-Job or Validate-Job requests.

488 9.1.2 ippfax-destination-uri (uri) operation attribute and Job Description attribute

489 If the Sender is sending the IPPFAX Job to an Off-Ramp Receiver, the Sender MUST supply this operation
490 attribute; if the Receiver supports acting as an Off-Ramp Gateway, the Receiver MUST support this Print-
491 Job and Validate-Job operation attribute.

492 If the Sender supplies the supplied attribute, the Receiver MUST use its value this attribute is used to populate
493 the Job object's “ippfax-destination-uri” (uri) Job Description attribute of the same name. If the Receiver is an
494 Off-ramp then it MUST support “ippfax-destination-uri

495 9.2 On-Ramps

496 In the IPPFAX On-Ramp scenario the user originally sent the Document using some other mechanism to some
497 intermediate agent. The intermediate agent, acting as an IPPFAX Sender, then uses the IPPFAX protocol to

498 transmit the Document to ~~its final destination~~ an IPPFAX Receiver which MAY be either a final destination or
499 an Off-Ramp. IPPFAX has no specific support for on-ramps.

500 **10 Attribute Syntax**

501 This section defines additional attribute syntaxes defined for use in IPPFAX.

502 **10.1 'octetString32k'**

503 The 'octetString32k' attribute syntax is a sequence of octets encoded in a maximum of 32,767 octets which is
504 indicated in sub-section headers using the notation: octetString32k(MAX). This syntax type is used for
505 opaque data. Both the Sender and Receiver MUST support this attribute syntax.

506 **11 New status codes**

507 **11.1 'client-error-ippfax-user-certificate-required' (0x00TBD)**

508 The policy of the Receiver is to require that the Sender supply the "ippfax-sending-user-certificate" operation
509 attribute with a valid certificate in the Print-Job and Validate-Job operations, but the client omitted it. This
510 status code MUST be supported if the Receiver requires the Sender to supply a certificate.

511 ISSUE 22: Why not use the existing IPP/1.1 status code: client-error-not-authenticated (0x0402) for when
512 the client doesn't include a certificate? Here is the complete IPP/1.1 description:

513 **13.1.4.3 client-error-not-authenticated (0x0402)**

514 The request requires user authentication. The IPP client may repeat the request with suitable authentication
515 information. If the request already included authentication information, then this status code indicates that
516 authorization has been refused for those credentials. If this response contains the same challenge as the prior
517 response, and the user agent has already attempted authentication at least once, then the response message
518 may contain relevant diagnostic information. This status codes reveals more information than "client-error-
519 forbidden".

520 **12 Conformance Requirements** **Appendix A: New Attribute** 521 **Summary**

522 This section summarizes the conformance requirements for IPPFAX Senders and IPPFAX Receivers that are
523 defined elsewhere in this document.

524 ISSUE 23: Do the conformance tables look ok?

525 **12.1 Operation Requirements**

526 Table 1 lists the conformance requirements for IPP operations for the IPPFAX Sender and IPPFAX
 527 Receiver. Any other operations are OPTIONAL for an IPPFAX Sender or an IPPFAX Receiver to support.

528 **Table 1 - Operation Requirements**

<u>Operation</u>	<u>IPP/1.1 Printer</u>	<u>IPPFAX Sender</u>	<u>IPPFAX Receiver</u>	<u>Section</u>
<u>Print-Job</u>	<u>MUST</u>	<u>MUST</u>	<u>MUST</u>	6.3
<u>Validate-Job</u>	<u>MUST</u>	<u>MUST??</u>	<u>MUST</u>	6.2
<u>Cancel-Job</u>	<u>MUST</u>	<u>MAY</u>	<u>MUST??</u>	7.1
<u>Get-Job-Attributes</u>	<u>MUST</u>	<u>MAY</u>	<u>MUST??</u>	7.2
<u>Get-Jobs</u>	<u>MUST</u>	<u>MAY</u>	<u>MUST??</u>	7.2
<u>Get-Printer-Attributes</u>	<u>MUST</u>	<u>MUST</u>	<u>MUST</u>	5
<u>Get-Notifications</u>	<u>MAY</u>	<u>MAY</u>	<u>MUST</u>	6.5

529

530 Table 2 lists the conformance requirements for ~~The following attributes are~~ Operation attributes on the Print-
 531 Job and Validate-Job operations and are also the corresponding Job Description attributes. Any other Print-
 532 Job and Validate-Job operation attribute has the same conformance as in IPP/1.1 [4].

533 **Table 2 - Print-Job/Validate-Job operation attributes and Job Description attributes**

<u>Attribute Name (attribute syntax)</u>	<u>Client Sender</u> Conformance <u>in</u> <u>Print-Job</u>	<u>Server</u> <u>Receiver</u> Conformance	<u>Section</u>
<u>document-format (mimeMediaType) *</u>	<u>MUST</u>	<u>MUST</u>	<u>See [4]</u>
<u>notification-recipients</u>	<u>MAY</u>	<u>MUST</u>	6.5
<u>ippfax-sending-user-identity (octetString32ktext(MAX))</u>	<u>SHOULD</u>	<u>MUST</u>	5.1
<u>ippfax-receiving-user-identity (octetString32ktext(MAX))</u>	<u>SHOULD</u>	<u>MUST</u>	5.2
<u>ippfax-sending-user-certificate octetString32k(MAX) *</u>	<u>MAY</u>	<u>MUST</u>	8.2
<u>ippfax-sender-identity (name(255MAX))</u>	<u>MUST</u>	<u>MUST</u>	5.3
<u>ippfax-destination-uri (uri)</u>	<u>MAY</u>	<u>MUST **</u>	9.1.2
<u>ippfax-return-uri (uri)</u>	<u>MAY</u>	<u>MUST</u>	6.7

534 *This attribute is NOT a Job Description attribute, only an Operation attribute for the Print-Job and Validate-Job operations.

535 ** Only an Off-Ramp Receiver MUST support this attribute.

536 Table 3 lists the conformance requirements for Subscription attributes on the Print-Job and Validate-Job
 537 operations.

538 **Table 3 - Subscription Template attributes**

<u>Attribute Name (attribute syntax)</u>	<u>Sender Conformance in Print-Job</u>	<u>Receiver Conformance</u>	<u>Section</u>
<u>notify-recipient-uri (uri)</u>	<u>MAY *</u>	<u>MUST</u>	6.5
<u>notify-events (1setOf type2 keyword)</u>	<u>MAY</u>	<u>MUST</u>	6.5
<u>notify-attributes (1setOf type2 keyword)</u>	<u>MAY</u>	<u>MAY</u>	6.5
<u>notify-user-data (octetString(63))</u>	<u>MAY</u>	<u>MUST</u>	6.5
<u>notify-charset (charset)</u>	<u>MAY</u>	<u>MUST</u>	6.5
<u>notify-natural-language (naturalLanguage)</u>	<u>MAY</u>	<u>MUST</u>	6.5
<u>notify-lease-duration (integer(0:67108863))</u>	<u>MAY</u>	<u>MUST</u>	6.5
<u>notify-time-interval (integer(0:MAX))</u>	<u>MAY</u>	<u>MUST</u>	6.5

539 * The Sender MUST supply at least this attribute in order to use Notification.

540

541 Table 4 lists the conformance requirements for ~~The following attributes are~~ Printer Description attributes. The
 542 other Printer Description attributes defined in IPP/1.1 [4] or IPP Notifications [16] have the same
 543 conformance requirements for IPPFAX.

544 **Table 4 - Printer Description attributes**

<u>Attribute Name (attribute syntax)</u>	<u>Sender Conformance for Get-Printer-Attributes</u>	<u>Receiver Conformance</u>	<u>Section</u>
<u>ippfax-receiver-identity (name(255))</u>	<u>MAY</u>	<u>MUST</u>	5.4
<u>ippfax-destination-scheme-supported (1setOf type2 keyword)</u>	<u>MAY</u>	<u>SHOULD MUST **</u>	9.1.1
<u>ippfax-receiver (integer(0:MAX))</u>	<u>SHOULD</u>	<u>MUST</u>	3.1

545 ** Only an Off-Ramp Receiver MUST support this attribute.

546 Table 5 lists the

547

Table 5 - Notification Events

Event	Sender Conformance <u>for Print-Job</u>	Receiver Conformance	<u>Section</u>
<u>job-complete</u>	MAY	MUST	6.5
<u>job-progress</u>	MAY	MUST	6.5

548

549 **13 Appendix B: vCard Example**550 The following ASCII text is a complete vCard example:

```

551 BEGIN:VCARD
552 VERSION:2.1
553 N:Moore;Paul
554 FN:Paul Moore
555 ORG:Peerless Systems Networking
556 TEL;CELL;VOICE:(206) 251-7008
557 ADR;WORK;;;10900 NE 8th St;Bellvue;WA;98004;United States of America
558 EMAIL;PREF;INTERNET:pmoore@peerless.com
559 REV:19991207T215341Z
560 END:VCARD
561

```

562 **14 References**

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586 **1315 Revision History (to be removed when standard is approved)**

Revision	Date	Author	Notes
1	1/16/01	Paul Moore, Neteon	Initial version
2	2/27/01	Paul Moore, Gail Songer, Neteon	Specify TLS as MUST Removed Cover page and combined device Added need for big text types
3	4/11/01	Gail Songer, Neteon	Move attribute definition to first reference
<u>4</u>	<u>5/24/01</u>	<u>Tom Hastings</u>	<u>Editorially updated the document to follow the style of the IPP standard documents. Added 23 issues to be reviewed. Capitalized the special terms throughout without showing revisions in order to make the document with revisions more readable.</u>

587