



A Project of the PWG IPPFAX Working Group

Universal Image Format (UIF)

IEEE-ISTO Printer Working Group
Draft Standard 5102.2-D0.7

October 16, 2001

<ftp://ftp.pwg.org/pub/pwg/QUALDOCS/uif-spec-07.pdf>, .doc

Abstract

This standard specifies an extension to TIFF-FX known as Universal Image Format (UIF) by formally defining a series of TIFF-FX “profiles” distinguished primarily by the method of compression employed and color space used. The UIF requirements [7] are derived from the requirements for IPPFAX [8] and Internet Fax [9].

In summary UIF is a raster image data format intended for use by, but not limited to, the IPPFAX protocol, which is used to provide a synchronous, reliable exchange of image Documents between Senders and Receivers. UIF is based on the TIFF-FX specification [4], which describes the TIFF (Tag Image File Format) representation of image data specified by the ITU-T Recommendations for black-and-white and color facsimile.

This document is a draft of an IEEE-ISTO PWG Proposed Standard and is in full conformance with all provisions of the PWG Process (see: <ftp://ftp.pwg.org/pub/pwg/general/pwg-process.pdf>). PWG Proposed Standards are working documents of the IEEE-ISTO PWG and its working groups. The list of current PWG projects and drafts can be obtained at <http://www.pwg.org>.

When approved as a PWG standard, this document will be available from:

<ftp://ftp.pwg.org/pub/pwg/standards/pwg510x.y.pdf>, .doc, .rtf

1

1 Copyright (C) 2001, IEEE Industry Standards and Technology Organization. All rights reserved.

2 This document may be copied and furnished to others, and derivative works that comment on, or
3 otherwise explain it or assist in its implementation may be prepared, copied, published and distributed,
4 in whole or in part, without restriction of any kind, provided that the above copyright notice, this
5 paragraph and the title of the Document as referenced below are included on all such copies and
6 derivative works. However, this document itself may not be modified in any way, such as by
7 removing the copyright notice or references to the IEEE-ISTO and the Printer Working Group, a
8 program of the IEEE-ISTO.

9 Title: Universal Image Format

10 The IEEE-ISTO and the Printer Working Group DISCLAIM ANY AND ALL WARRANTIES,
11 WHETHER EXPRESS OR IMPLIED INCLUDING (WITHOUT LIMITATION) ANY IMPLIED
12 WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

13 The Printer Working Group, a program of the IEEE-ISTO, reserves the right to make changes to the
14 document without further notice. The document may be updated, replaced or made obsolete by other
15 documents at any time.

16 The IEEE-ISTO takes no position regarding the validity or scope of any intellectual property or other
17 rights that might be claimed to pertain to the implementation or use of the technology described in this
18 document or the extent to which any license under such rights might or might not be available; neither
19 does it represent that it has made any effort to identify any such rights.

20 The IEEE-ISTO invites any interested party to bring to its attention any copyrights, patents, or patent
21 applications, or other proprietary rights which may cover technology that may be required to
22 implement the contents of this document. The IEEE-ISTO and its programs shall not be responsible for
23 identifying patents for which a license may be required by a document and/or IEEE-ISTO Industry
24 Group Standard or for conducting inquiries into the legal validity or scope of those patents that are
25 brought to its attention. Inquiries may be submitted to the IEEE-ISTO by e-mail at:

26 ieee-isto@ieee.org.

27 The Printer Working Group acknowledges that the IEEE-ISTO (acting itself or through its designees)
28 is, and shall at all times, be the sole entity that may authorize the use of certification marks,
29 trademarks, or other special designations to indicate compliance with these materials.

30 Use of this document is wholly voluntary. The existence of this document does not imply that there
31 are no other ways to produce, test, measure, purchase, market, or provide other goods and services
32 related to its scope.
33

Table of Contents

1

2

3 1 Introduction..... 6

4 2 Terminology 6

5 2.1 Conformance Terminology..... 6

6 2.2 Model..... 6

7 3 TIFF-FX support..... 7

8 3.1 New TIFF-FX Extensions 7

9 3.1.1 TIFF-FX Extension 20: Relaxed Image Widths and Resolutions 7

10 3.1.2 TIFF-FX Extensions 21 – Required Resolution 7

11 3.1.3 TIFF-FX Extensions 22 – Required Resolution 7

12 3.1.4 TIFF-FX Extensions 23 – Required Resolution 7

13 3.1.5 TIFF-FX Extensions 24 – Required Resolution 7

14 3.2 Relationships among UIF Profiles 8

15 3.3 Summary of UIF Profiles 8

16 3.3.1 UIF Profile S 9

17 3.3.2 UIF Profile F 10

18 3.3.3 UIF Profile J 12

19 3.3.4 UIF Profile C 14

20 3.3.5 UIF Profile L 16

21 3.3.6 UIF Profile M 18

22 3.4 Potential UIF Profiles 21

23 4 Sender requirements 22

24 4.1 Indicating Document format using MIME..... 22

25 4.2 Image-Reduction..... 22

26 4.3 Intra-Document media selection..... 22

27 5 References..... 23

28 6 Outstanding Issues 24

29 7 Revision History (to be removed when standard is approved) 24

30 Appendix A. Capabilities communication (Informative) 26

31 A.1 Receiver capabilities string..... 26

32 A.1.1 Minimum Receiver capabilities 26

33 A.1.1.1 Minimum capabilities for UIF Profile S 26

34 A.1.1.2 Minimum capabilities for UIF Profile F 27

35 A.1.1.3 Minimum capabilities for UIF Profile J..... 27

36 A.1.1.4 Minimum capabilities for UIF Profile C..... 27

37 A.1.1.4.1 Minimum grayscale capabilities for UIF Profile C 27

38 A.1.1.4.2 Minimum full color capabilities for UIF Profile C..... 28

39 A.1.1.5 Minimum capabilities for UIF Profile L..... 28

40 A.1.1.5.1 Minimum grayscale capabilities for UIF Profile L..... 29

41 A.1.1.5.2 Minimum full color capabilities for UIF Profile L 29

42 A.1.1.6 Minimum capabilities for UIF Profile M..... 30

43 A.1.2 New CONNEG tags and values 31

44 A.1.2.1 Definition of ‘profile’ tag and tag values..... 31

45 A.1.2.2 Application of ‘profile’ tag and tag values 33

1	A.2	UIF Profiles supported	34
2	A.3	Media supported	34
3	A.4	Media ready	34
4	A.5	Image reduction supported.....	34
5	A.6	Conformance Requirements Summary.....	34
6			
7			

Table of Tables

1

2 Table 1. UIF Profile S Baseline Fields 9

3 Table 2. UIF Profile S Extension Fields 9

4 Table 3. UIF Profile S New Fields 10

5 Table 4. UIF Profile F Baseline Fields 10

6 Table 5. UIF Profile F Extension Fields 11

7 Table 6. UIF Profile F New Fields 11

8 Table 7. UIF Profile J Baseline Fields 12

9 Table 8. UIF Profile J Extension Fields 13

10 Table 9. UIF Profile J New Fields 13

11 Table 10. UIF Profile C Baseline Fields 14

12 Table 11. UIF Profile C Extension Fields 15

13 Table 12. UIF Profile C New Fields 15

14 Table 13. UIF Profile L Baseline Fields 17

15 Table 14. UIF Profile L Extension Fields 17

16 Table 15. UIF Profile L New Fields 18

17 Table 16. UIF Profile M Baseline Fields 19

18 Table 17. UIF Profile M Extension Fields 20

19 Table 18. UIF Profile M New Fields 21

20 Table 19. Underlying Protocol Conformance 34

21

1

2 **1 Introduction**

3 This document specifies a set of extensions to the TIFF-FX profiles defined in TIFF-FX [4] that are
4 especially suited for use with synchronous protocols (e.g., IPPFAX[10]). The increased conformance
5 requirements found in this UIF specification reflect the need for a data format where quality document
6 transmission is the primary concern. When the profiles described in TIFF-FX [4] are used with the
7 extensions described in this document, the data format is known as Universal Image Format (UIF).

8

9 **2 Terminology**

10 This section defines the following additional terms that are used throughout this standard.

11 **2.1 Conformance Terminology**

12 The key words **MUST**, **MUST NOT**, **REQUIRED**, **SHOULD**, **SHOULD NOT**,
13 **RECOMMENDED**, **MAY**, and **OPTIONAL** in this document are to be interpreted as described in
14 RFC 2119 [18].

15 **2.2 Model**

16 The following terms are introduced and capitalized in order to indicate their specific meaning:

17 **Baseline Field** – One of the core set of TIFF fields introduced by the TIFF specification [19]

18 **Implementation** – A Sender or Receiver

19 **Document** – The UIF-formatted electronic representation of a set of one or more pages that the Sender
20 sends to the Receiver.

21 **Extension Field** – One of the TIFF extension fields introduced by the current TIFF specification [19],
22 specification, the set of PageMaker TIFF Technical Notes [20], or TIFF Technical Note 2 [21].

23 **New Field** – One of the new TIFF fields introduced by the TIFF-FX specification [4]. Note that the
24 UIF specification does not introduce any new TIFF tags.

25 **Receiver** – This is the agent (software, hardware or some combination) that receives the Document
26 sent by the Sender.

27 **Sender** – This is the agent (software, hardware or some combination) that is used to create and
28 transmit a Document to a Receiver.

29 **UIF Profile** – A TIFF-FX profile used with a specific combination of the TIFF-FX extensions that are
30 described in section 3.1.

31

32

1 **3 TIFF-FX support**

2 A UIF Document is a TIFF file that adheres to the requirements of (1) Baseline TIFF (see [19]) and (2)
3 one or more UIF Profiles. A UIF Profile is based on a collection of ITU-T facsimile coding methods.
4 The UIF Profiles listed below have been derived from TIFF-FX [4]. The reader is referred to this
5 document and the TIFF-FX Extensions Set 1 document [24] for a complete description of each profile,
6 as the subsections below briefly summarize each UIF Profile and list only the additional TIFF-FX
7 extensions that MUST be used.

8 Pages within a UIF Document MAY be encoded using different UIF Profiles.

9 An Implementation that supports UIF MUST support at least UIF Profile S. Note that for the TIFF
10 fields "ImageDescription", "DocumentName", "Software", and "DateTime", Adobe Baseline TIFF
11 specifies only ASCII and does not provide a language tag or alternate character set facility.

12 **3.1 New TIFF-FX Extensions**

13 Five new TIFF-FX extensions are introduced as described in the following subsections.

14 **3.1.1 TIFF-FX Extension 20: Relaxed Image Widths and Resolutions**

15 The allowances shown below supersede the TIFF-FX requirements specified in [4] concerning the
16 ImageWidth, XResolution, and YResolution TIFF fields:

- 17 • The ImageWidth, XResolution, and YResolution TIFF fields are not constrained.

18 **3.1.2 TIFF-FX Extensions 21 – Required Resolution**

19 The requirement shown below supersedes the TIFF-FX requirements in [4] concerning the
20 XResolution, YResolution, and ResolutionUnit TIFF fields:

- 21 • Receivers MUST support XResolution=YResolution=200 and ResolutionUnit=2 (inches)

22 **3.1.3 TIFF-FX Extensions 22 – Required Resolution**

23 The requirement shown below supersedes the TIFF-FX requirements in [4] concerning the
24 XResolution, YResolution, and ResolutionUnit TIFF fields:

- 25 • Receivers MUST support XResolution=YResolution=300 and ResolutionUnit=2 (inches)

26 **3.1.4 TIFF-FX Extensions 23 – Required Resolution**

27 The requirement shown below supersedes the TIFF-FX requirements in [4] concerning the
28 XResolution, YResolution, and ResolutionUnit TIFF fields:

- 29 • Receivers MUST support XResolution=YResolution=400 and ResolutionUnit=2 (inches)

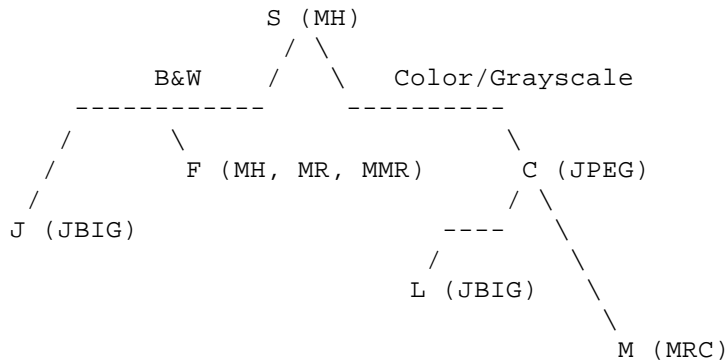
30 **3.1.5 TIFF-FX Extensions 24 – Required Resolution**

31 The requirement shown below supersedes the TIFF-FX requirements in [4] concerning the
32 XResolution, YResolution, and ResolutionUnit TIFF fields:

- Receivers MUST support XResolution=YResolution=600 and ResolutionUnit=2 (inches)

3.2 Relationships among UIF Profiles

The following tree diagram, which is adapted from TIFF-FX[4], shows the relationship among UIF Profiles and between UIF Profiles and coding methods.



All UIF Senders and/or Receivers MUST implement UIF Profile S, which is the root node of the tree. All color Senders and/or Receivers of UIF MUST implement UIF Profile C. Senders and/or Receivers that implement a particular profile MUST also implement those profiles on the path that connect it to the root node, and MAY optionally implement profiles not on the path connecting it to the root node. For example, a Sender and/or Receiver that implements UIF Profile M MUST also implement UIF Profiles C and S, and MAY optionally implement UIF Profile F, J or L. For another example, a Sender/Receiver that implements UIF Profile C MUST also implement UIF Profile S, and MAY optionally implement UIF Profile F or J.

3.3 Summary of UIF Profiles

The following subsections summarize Implementation requirements and list the TIFF-FX extensions that MUST be supported for each of the UIF Profiles. Each subsection contains one or more tables that show the TIFF fields and field values that are REQUIRED, RECOMMENDED, or OPTIONAL for UIF Implementations. For profiles other than UIF Profile S, single asterisks (*) and double asterisks (**) indicate the level of Receiver conformance (see the legend below each table). For profiles other than UIF Profile S, the rightmost column is used to indicate Sender conformance, i.e., those fields that a user MUST, SHOULD, or MAY include in the Image File Directory (IFD) of a UIF Document. For fields that a Receiver MUST support, note that a Sender MUST support at least one of the REQUIRED field values that the Receiver MUST support.

If there is a default value associated with a TIFF field, and the default value is a legal value for the given UIF Profile, then the Sender MAY choose to physically omit this field from the UIF file, as the presence of the TIFF field and its value are implied. The tables in the following subsections show default values for TIFF fields only when the default values are permitted.

1 3.3.1 UIF Profile S

- 2 1) When TIFF-FX Extensions 20, 21, 22, and 24 are applied to Profile S in TIFF-FX[4], the result
 3 is UIF Profile S. UIF Profile S is modeled after Profile S of TIFF-FX[4], which describes the
 4 minimal black-and-white subset of TIFF for facsimile. Tables 1, 2, and 3 summarize the fields
 5 and field values that are REQUIRED for all Implementations of UIF Profile S. A UIF Profile S
 6 Implementation MUST use 1-dimensional Modified Huffman (MH) compression as defined in
 7 ITU-T T.4 [11] and MUST adopt the same requirements and restrictions for Baseline Fields,
 8 Extension Fields, byte order, bit order, and image file directory (IFD) placement as stated in
 9 Section 3 of TIFF-FX[4] except where overridden by TIFF-FX Extensions 20,21,22, and 24.

10 Note that 'XResolution' and 'YResolution' values refer to the resolutions that the Receiver is capable
 11 of processing, not necessarily the resolutions that the Receiver is physically capable of producing (e.g.,
 12 printer engine delivery).

13 All UIF Receivers MUST support the following Baseline, Extension, and New Fields and
 14 accompanying field values. All UIF Senders MUST be capable of creating a UIF Document that
 15 contains the following Baseline, Extension, and New Fields or MUST be otherwise capable of
 16 verifying that these fields are present before sending a Document. For a complete description of the
 17 Baseline and Extension Fields shown below, see the TIFF-FX specification [4] and TIFF-FX
 18 Extension Set 1[24].

19 **Table 1. UIF Profile S Baseline Fields**

Baseline Fields	Values
BitsPerSample	1
Compression	3: 1D Modified Huffman coding set T4Options = 0 or 4
FillOrder	2: least significant bit first
ImageWidth	m: width of image in pixels
ImageLength	n: length of image in pixels (total number of scanlines)
NewSubFileType	2: Bit 1 identifies single page of a multi-page Document
PhotometricInterpretation	0: pixel value 1 means black
ResolutionUnit	2: inch (Default = 2)
RowsPerStrip	number of scanlines per strip = ImageLength, with one strip
SamplesPerPixel	1
StripByteCounts	number of bytes in TIFF strip
StripOffsets	offset from beginning of file to single TIFF strip
XResolution	200, 300, 600, other resolutions are OPTIONAL (written in pixels per inch)
YResolution	200, 300, 600, other resolutions are OPTIONAL (written in pixels per inch)

20

21

Table 2. UIF Profile S Extension Fields

Extension Fields	Values
PageNumber	n,m: page number n followed by total page count m

T4Options	0: MH coding, EOLs not byte aligned (Default = 0) 4: MH coding, EOLs byte aligned
-----------	--

1
2

Table 3. UIF Profile S New Fields

New Fields	Values
GlobalParametersIFD	IFD: global parameters IFD
TIFF-FXExtensions	0x1700000 (Bits indicating use of TIFF-FX Extensions 20,21,22 and 24)

3
4

3.3.2 UIF Profile F

5 This section defines UIF Profile F, which uses Modified Read and Modified Modified Read (MMR)
6 compression (described in ITU-T T.4 [11] and ITU-T T.6 [12]) in addition to the Modified Huffman
7 compression used for UIF Profile S. When TIFF-FX Extensions 20, 21, 22, and 24 are applied to
8 Profile F in TIFF-FX[4], the result is UIF Profile F. UIF Profile F is based on TIFF-FX Profile F.
9 Tables 4, 5, and 6 summarize the fields and field values that are REQUIRED / RECOMMENDED /
10 OPTIONAL for UIF Profile F. Asterisks are used to denote levels of Receiver conformance, while the
11 rightmost column indicates Sender conformance, i.e., those fields that a Sender MUST, SHOULD, or
12 MAY include in an image file directory (IFD) of a UIF Document. For a complete description of the
13 Baseline, Extension, and New Fields shown below, see the TIFF-FX specification [4] and TIFF-FX
14 Extension Set 1[24]. A Sender/Receiver implementing this profile is REQUIRED to also implement
15 UIF Profile S.

16
17

Table 4. UIF Profile F Baseline Fields

Baseline Fields	Values	Sender Conformance
BitsPerSample	1**	MUST
Compression	3: 1D Modified Huffman and 2D Modified Read coding 4**: 2D Modified Modified Read coding	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first (Default = 2)	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType	2**: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST

PhotometricInterpretation**	0: pixel value 1 means black 1: pixel value 1 means white	MUST
ResolutionUnit**	2: inch (Default = 2) 3: centimeter	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel	1**	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300**, 600**, other resolutions are OPTIONAL (written in pixels per inch)	MUST
YResolution	200**, 300**, 600** in pixels per inch with x-y aspect ratio (XResolution / YResolution) equal to 1; other resolutions and aspect ratios are OPTIONAL (written in pixels per inch)	MUST

- 1 * Receiver SHOULD support this field.
- 2 ** (If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in
- 3 'Values' column.
- 4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
- 5 the double asterisk.

Table 5. UIF Profile F Extension Fields

Extension Fields	Values	Sender Conformance
T4Options	0: REQUIRED if Compression is Modified Huffman (MH), EOLs are not byte aligned (Default = 0) 1: REQUIRED if Compression is 2D Modified Read (MR), EOLs are not byte aligned 4: REQUIRED if Compression is Modified Huffman, EOLs are byte aligned 5: REQUIRED if Compression is 2D Modified Read, EOLs are byte aligned	MUST if Compression=3
T6Options	0**: REQUIRED if Compression is 2D Modified Modified Read (MMR) (Default = 0)	MUST if Compression=4
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST

- 7 * Receiver SHOULD support this field.
- 8 ** (If double asterisk is in 'Extension Fields' column) Receiver MUST support the given field and all values shown in
- 9 'Values' column.
- 10 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
- 11 the double asterisk.

Table 6. UIF Profile F New Fields

New Fields	Values	Sender
------------	--------	--------

		Conformance
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x1700000** (Bits indicating use of TIFF-FX Extensions 20,21,22 and 24)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD

1 * Receiver SHOULD support this field.

2 ** (If double asterisk is in 'New Fields' column) Receiver MUST support the given field and all values shown in 'Values'

3 column.
4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
5 the double asterisk.

6

7 3.3.3 UIF Profile J

8 This section defines Profile J for UIF, which uses lossless JBIG compression as it is defined in ITU-T
9 T.82 [16] subject to the application rules given in ITU-T T.85 [17]. When TIFF-FX Extensions 20, 21,
10 22, and 24 are applied to Profile J in TIFF-FX[4], the result is UIF Profile J. Tables 7, 8, and 9
11 summarize fields and field values that are REQUIRED / RECOMMENDED / OPTIONAL. Asterisks
12 are used to denote levels of Receiver conformance, while the rightmost column indicates levels of
13 Sender Conformance, i.e., those fields that a Sender MUST, SHOULD, or MAY include in an IFD of a
14 UIF document. For a complete description of the Baseline, Extension, and New Fields shown below,
15 see the TIFF-FX specification [4] and TIFF-FX Extension Set 1[24]. A Sender/Receiver implementing
16 this profile is REQUIRED to also implement UIF Profile S.

17 Here are the differences between TIFF-FX Profile J as defined in [4] and UIF Profile J. For UIF Profile
18 J,

19

20 .

21

Table 7. UIF Profile J Baseline Fields

Baseline Fields	Values	Sender Conformance
BitsPerSample	1**	MUST
Compression	9** : JBIG coding	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST

NewSubFileType**	2: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation**	0: pixel value 1 means black 1: pixel value 1 means white	MUST
ResolutionUnit**	2: inch (Default = 2) 3: centimeter	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel**	1	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300**, 600**, other resolutions are OPTIONAL (written in pixels per inch)	MUST
YResolution	200**, 300**, 600** in pixels per inch with x-y aspect ratio (XResolution / YResolution) equal to 1; other resolutions and aspect ratios are OPTIONAL	MUST

1 * Receiver SHOULD support this field.

2 ** (If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in
3 'Values' column.

4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
5 the double asterisk.

6

7

Table 8. UIF Profile J Extension Fields

Extension Fields	Values	Sender Conformance
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST

8 * Receiver SHOULD support this field.

9 ** Receiver MUST support the given field and all values shown in 'Values' column.

10

11

Table 9. UIF Profile J New Fields

New Fields	Values	Sender Conformance
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x1700000** (Bits indicating use of TIFF-FX Extensions 20,21,22 and 24)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
T82Options**	0: T.85 profile of T.82	MUST
CodingMethods*	n: compression algorithms used in file	SHOULD

- 1 * Receiver SHOULD support this field.
 2 ** Receiver MUST support the given field and all values shown in 'Values' column.

3

4 3.3.4 UIF Profile C

5 This section defines Profile C for UIF, which uses lossy JPEG compression as it is defined in ITU-T
 6 T.81 [15]. When TIFF-FX Extensions 20, 21, and 22 are applied to Profile C in TIFF-FX[4], the result
 7 is UIF Profile C. UIF Profile C is based on TIFF-FX Profile C. Tables 10, 11, and 12 summarize fields
 8 and field values that are REQUIRED / RECOMMENDED / OPTIONAL. Asterisks are used to denote
 9 levels of Receiver conformance, while the rightmost column indicates levels of Sender Conformance,
 10 i.e., those fields that a Sender MUST, SHOULD, or MAY include in an IFD of a UIF document. For a
 11 complete description of the Baseline, Extension, and New Fields shown below, see the TIFF-FX
 12 specification [4] and TIFF-FX Extension Set 1[24]. A Sender/Receiver that implements this profile is
 13 REQUIRED to also implement UIF Profile S.
 14
 15
 16

Table 10. UIF Profile C Baseline Fields

Baseline Fields	Values	Sender Conformance
BitsPerSample	8**: 8 bits per color sample 12: OPTIONAL 12 bits/sample	MUST
Compression**	7: JPEG	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType**	2: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation	10**: ITULAB	MUST
ResolutionUnit**	2: inch (Default = 2) 3: centimeter	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel**	1**: L* (lightness) 3: LAB	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST

StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300** other resolutions are OPTIONAL (written in pixels per inch). XResolution and YResolution fields MUST be equal.	MUST
YResolution	equal to XResolution (pixels MUST be square)	MUST

1 * Receiver SHOULD support this field.

2 ** (If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in
3 'Values' column.

4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
5 the double asterisk.
6

7 **Table 11. UIF Profile C Extension Fields**

Extension Fields	Values	Sender Conformance
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST
ChromaSubSampling	(1,1), (2, 2)** (1, 1): equal numbers of lightness and chroma samples horizontally and vertically (2, 2): twice as many lightness samples as chroma samples horizontally and vertically	MUST
ChromaPositioning	1**: centered	MUST
JPEGTables*	n: file pointer to JPEG quantization and/or Huffman tables	MAY

8 * Receiver SHOULD support this field.

9 ** (If double asterisk is in 'Extension Fields' column) Receiver MUST support the given field and all values shown in
10 'Values' column.

11 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
12 the double asterisk.
13

14 **Table 12. UIF Profile C New Fields**

New Fields	Values	Sender Conformance
Decode**	minL, maxL, mina, maxa, minb, maxb: minimum and maximum values for L*a*b*	MUST
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x170000** (Bits indicating use of TIFF-FX Extensions 20,21,22 and 24)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD
VersionYear*	byte sequence: year of ITU std	SHOULD

15 * Receiver SHOULD support this field.

1 ** (If double asterisk is in 'New Fields' column) Receiver MUST support the given field and all values shown in 'Values'
 2 column.
 3 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
 4 the double asterisk.

5

6 **3.3.5 UIF Profile L**

7 When TIFF-FX Extensions 20, 21, and 22 are applied to Profile L in TIFF-FX[4], the result is UIF
 8 Profile L. This profile uses JBIG compression (see [16]), subject to the application rules specified in
 9 ITU-T Recommendation T.43 [13] to losslessly code three types of color and grayscale images: one bit
 10 per color CMY, CMYK and RGB images; a palletized (i.e. mapped) color image; and continuous tone
 11 color and grayscale images.

12 Here are the differences between TIFF-FX Profile L as defined in [4] and UIF Profile L. For UIF
 13 Profile L,

- 14 1) ImageWidth is not constrained.
- 15 2) XResolution is not constrained, but a Receiver MUST support 200 and 300dpi.
- 16 3) YResolution MUST match XResolution, but it is not otherwise constrained; a Receiver MUST
 17 support 200 and 300dpi.
- 18 4) The following TIFF-FX RECOMMENDED field has been omitted: 'ProfileType'.
- 19 5) UIF Implementations MUST support the GlobalParametersIFD field.
- 20 6) The 'FaxProfile' TIFF tag introduced in [4] is re-interpreted as the 'UIFProfile' TIFF tag for
 21 UIF Documents. The TIFF tag 'UIFProfile' uses the same TIFF field identifier (402) and the
 22 same data type (Byte) as the TIFF tag 'FaxProfile'. The values for this field are redefined as
 23 follows:
 - 24 0: does not conform to a profile defined for UIF
 - 25 1: minimal black & white lossless, UIF Profile S
 - 26 2: extended black & white lossless, UIF Profile F
 - 27 3: lossless JBIG black & white, UIF Profile J
 - 28 4: lossy color and grayscale, UIF Profile C
 - 29 5: lossless color and grayscale, UIF Profile L
 - 30 6: Mixed Raster Content, UIF Profile M

31

32 Tables 12, 13, and 14 summarize fields and field values that are REQUIRED / RECOMMENDED /
 33 OPTIONAL for Implementations of UIF Profile L. Asterisks are used to denote levels of Receiver
 34 conformance, while the rightmost column indicates levels of Sender Conformance, i.e., those fields
 35 that a Sender MUST, SHOULD, or MAY include in an IFD of a UIF document. For a complete
 36 description of the Baseline, Extension, and New Fields shown below, see the TIFF-FX specification
 37 [4] and TIFF-FX Extension Set 1[24]. A Sender / Receiver that chooses to implement this profile is
 38 REQUIRED to also implement UIF Profile S, and UIF Profile C.

39 Optional fields have no asterisks in either the field name or the Values column, however, the Values
 40 field may contain a condition which REQUIRES the field.

1
2

Table 13. UIF Profile L Baseline Fields

Baseline Fields	Values	Sender Conformance
BitsPerSample	1: Binary RGB, CMY(K) 8**: 8 bits per color sample 9-16: OPTIONAL	MUST
Compression	10**: JBIG, per T.43	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType	2**: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation	2: RGB 5: CMYK 10**: ITULAB	MUST
ResolutionUnit**	2: inch (Default = 2)	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel	1**: L* (lightness) 3: LAB, RGB, CMY 4: CMYK	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300** other resolutions are OPTIONAL (written in pixels per inch)	MUST
YResolution	equal to XResolution (pixels MUST be square)	MUST

- 3 * Receiver SHOULD support this field.
 4 ** (If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in
 5 'Values' column.
 6 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
 7 the double asterisk.
 8

9 Table 14. UIF Profile L Extension Fields

Extension Fields	Values	Sender Conformance
------------------	--------	--------------------

DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST
Indexed	0: not a palette-color image (Default = 0) 1: palette-color image	MUST if image uses palette color; otherwise, MAY

1 * Receiver SHOULD support this field.
 2 ** Receiver MUST support the given field and all values shown in 'Values' column.
 3 Note: Fields that the Receiver MAY support have no asterisks in either the field name or the values column
 4
 5
 6

Table 15. UIF Profile L New Fields

New Fields	Values	Sender Conformance
Decode**	minL, maxL, mina, maxa, minb, maxb: minimum and maximum values for L*a*b*	MUST if PhotoMetric-Interpretation is set to ITULAB
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x1700000** (Bits indicating use of TIFF-FX Extensions 20,21,22 and 24)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD
VersionYear*	byte sequence: year of ITU std	SHOULD

7 * Receiver SHOULD support this field.
 8 ** Receiver MUST support the given field and all values shown in 'Values' column.
 9

10 3.3.6 UIF Profile M

11 When TIFF-FX Extensions 20, 21, 22, and 23 are applied to Profile M in TIFF-FX[4], the result is UIF
 12 Profile M. This profile is modeled after TIFF-FX Profile M, which uses Mixed Raster Content (MRC),
 13 defined in ITU-T Recommendation T.44 [14]. MRC enables different coding methods and resolutions
 14 within a single page. For a more detailed description of MRC and the Baseline, Extension, and New
 15 Fields shown below, see the TIFF-FX specification [4], ITU-T T.44 Mixed Raster Content [14], and
 16 TIFF-FX Extension Set 1 [24].

17
 18 Tables 16, 17, and 18 summarize fields and field values that are REQUIRED / RECOMMENDED /
 19 OPTIONAL for Implementations of UIF Profile M.. Asterisks are used to denote levels of Receiver
 20 conformance, while the rightmost column indicates levels of Sender Conformance, i.e., those fields
 21 that a Sender MUST, SHOULD, or MAY include in an IFD of a UIF document. A Sender/Receiver

1 that chooses to implement this profile is REQUIRED to also implement UIF Profile S, and UIF Profile
2 C.

3

4 Optional fields have no asterisks in either the field name or the Values column, however, the Values
5 field may contain a condition which REQUIRES the field.

6

7

Table 16. UIF Profile M Baseline Fields

Baseline Fields	Values	Sender Conformance
BitsPerSample	1**: binary mask, RGB, CMY(K) 2-8**: bits per color sample 9-16: OPTIONAL 12 bits/sample	MUST
Compression	1: None (ImageBaseColor IFD only) 3: Modified Huffman and Modified Read 4**: Modified Modified Read 7**: JPEG 9: JBIG, per [16] 10: JBIG, per [13]	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType**	16, 18: Bit 1 indicates single page of a multi-page Document on Primary IFD Bit 4 indicates MRC model	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation	0**: WhiteIsZero (Mask Layer) 2: RGB 5: CMYK 10**: ITULAB	MUST
ResolutionUnit**	2: inch (Default = 2)	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel	1**: L* (lightness) 3: LAB, RGB, CMY 4: CMYK	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD

StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300**, 400**: binary mask, background & foreground layers; other resolutions are OPTIONAL	MUST
YResolution	200**, 300**, 400**: binary mask, background & foreground layers; other resolutions are OPTIONAL; MUST be equal to XResolution (pixels MUST be square)	MUST

- 1 * Receiver SHOULD support this field.
- 2 ** (If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in
- 3 'Values' column.
- 4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
- 5 the double asterisk.
- 6
- 7

Table 17. UIF Profile M Extension Fields

Extension Fields	Values	Sender Conformance
T4Options	0: REQUIRED if Compression is Modified Huffman, EOLs not byte aligned (Default = 0) 1: REQUIRED if Compression 2D Modified Read, EOLs are not byte aligned 4: REQUIRED if Compression Modified Huffman, EOLs byte aligned 5: REQUIRED if Compression 2D Modified Read, EOLs are byte aligned	MUST if Compression=3
T6Options	0**: REQUIRED if Compression is 2D Modified Modified Read (Default = 0)	MUST if Compression=4
DocumentName*	{ASCII}: name of scanned Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST
ChromaSubSampling	(1,1), (2, 2)** (1, 1): equal numbers of lightness and chroma samples horizontally & vertically (2, 2): twice as many lightness samples as chroma horizontally and vertically	MUST if Compression=7 and Photometric-Interpretation=10
ChromaPositioning**	1: centered (default = 1)	MAY if Compression=7 and Photometric-Interpretation=10
Indexed	0: not a palette-color image (Default = 0) 1: palette-color image	MUST if image uses palette color; otherwise, MAY
SubIFDs**	<IFD>: byte offset to FG/BG IFDs	MAY

XPosition**	horizontal offset in primary IFD resolution units	MAY
YPosition**	vertical offset in primary IFD resolution units	MAY
JPEGTables*	n: file pointer to JPEG quantization and/or Huffman tables	MAY

1 * Receiver SHOULD support this field.

2 ** (If double asterisk is in 'Extension Fields' column) Receiver MUST support the given field and all values shown in
3 'Values' column.

4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
5 the double asterisk.

6 Note: Fields that the Receiver MAY support have no asterisks in either the field name or the values column
7

8 **Table 18. UIF Profile M New Fields**

New Fields	Values	Sender Conformance
Decode**	minL, maxL, mina, maxa, minb, maxb: minimum and maximum values for L*a*b*	MUST if Photometric-Interpretation=10
ImageBaseColor**	a,b,c: background color in ITULAB	MAY
StripRowCounts**	n: number of scanlines in each strip	MAY
ImageLayer**	n, m: layer number, imaging sequence (e.g., strip number)	MAY
T82Options	0: T.85 profile of T.82 coding	MUST if Compression=9
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x1700000** (Bits indicating use of TIFF-FX Extensions 20,21,22 and 24)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD
ModeNumber*	n: version of T.44 standard	SHOULD
VersionYear*	byte sequence: year of ITU std	SHOULD

9 * Receiver SHOULD support this field.

10 ** Receiver MUST support the given field and all values shown in 'Values' column.

11 **3.4 Potential UIF Profiles**

12 While this specification was being written, a new profile, designated 'T', was being introduced as an
13 extension to TIFF-FX. This new TIFF-FX profile would allow JBIG2 to be used for the lossless and
14 lossy coding of black-and-white image data. JBIG2 coding can be used for UIF Documents as soon as
15 the RFC for TIFF-FX Profile T is published, and the IPPFAX Working Group publishes the additional
16 requirements that are needed for UIF Profile T.

1 **4 Sender requirements**

2 **4.1 Indicating Document format using MIME**

3 If the underlying transport protocol uses MIME as defined by RFC2046 [23], then a Sender MUST
4 describe the TIFF-FX data using one of two possible MIME content types, depending on which UIF
5 Profiles are included in the Document. If the Document contains only UIF Profile S and/or UIF Profile
6 F, then the UIF data content MUST be described by the 'image/tiff' content type/subtype. Registration
7 of the MIME type/sub-type 'image/tiff' is described in the TIFF MIME Sub-type Registration
8 document [25]*. If the Document contains any UIF Profiles besides UIF Profile S and/or UIF Profile
9 F, then the Sender MUST describe the UIF data using the 'image/tiffx' content type/subtype*.
10 Registration of the 'image/tiffx' content type is described

11 * Note: TIFF-FX [4] will be registering a new MIME media type to accommodate profiles/codings
12 that are not compatible with TIFF 6. TIFF-FX profiles that are not compatible with TIFF 6, namely
13 profiles J, C, L, and M, will use the new MIME type. For the purposes of this draft, the 'image/tiffx'
14 MIME type is shown as a working name, since it has been suggested through email by the Internet
15 FAX Working Group. When the proper MIME type is agreed by the Internet FAX WG, this document
16 will be updated.

17

18 **4.2 Image-Reduction**

19 It is possible that a Sender might send an image that does not match the announced drawing surface of
20 the Receiver (for example a Sender may have an image that it cannot change). In this case the Sender
21 MAY indicate to the Receiver in a protocol-specific manner whether or not the Receiver is to reduce
22 the image.

23 If the Receiver does not support image reduction and the received image dimensions are larger than
24 what is allowed by the supported media, then the Receiver MUST flow extra data to the next page. If
25 the Receiver does support image reduction, then the Sender MAY request in a protocol-specific
26 manner that the Receiver use image-reduction if necessary. If the Receiver receives such a request, and
27 the received image dimensions are larger than what is allowed by the supported media, then the
28 Receiver MUST reduce the image so as to fit it to the page while maintaining the aspect ratio. If the
29 Receiver uses image reduction, the Receiver MUST determine if reduction is necessary for each page
30 and if so, apply reduction. The scaling is calculated separately for each page. The scaling applies to all
31 pages of the Document unless the protocol used by the Sender and Receiver supports a means of
32 specifying image reduction on a page-by-page basis (e.g., IPPFAX's potential use of page level
33 overrides[6]).

34 **4.3 Intra-Document media selection**

35 When the image dimensions are different on a page-by-page basis such that use of a single type of
36 media is not possible without scaling, the Sender / Receiver protocol MUST arbitrate media selection.
37 The ImageWidth and ImageLength TIFF tags MUST NOT select the media.

38

39

1 **5** **References**

- 2 [1] deBry, Hastings, Herriot, Isaacson, Powell, "Internet Printing Protocol/1.1: Model and
3 Semantics", RFC 2911, September 2000.
- 4 [2] Herriot, Butler , Moore, Turner, Wenn. "Internet Printing Protocol/1.1: Encoding and
5 Transport", RFC 2910 , September 2000.
- 6 [3] Hastings, Manros, Kugler, Holst, "Internet Printing Protocol/1.1: Implementer's Guide", work
7 in progress, draft-ietf-ipp-implementers-guide-v11-???.txt.
- 8 [4] McIntyre, Zilles, Buckley, Venable, Parsons, Rafferty "File Format for Internet Fax",
9 RFC2301, March 1998.
- 10 [5] Klyne, McIntyre. "Content Feature Schema for Internet Fax (V2)", RFC2879, August 2000.
- 11 [6] PWG Standard 5100.4-2001 "Internet Printing Protocol (IPP): Override Attributes for
12 Documents and Pages". <ftp://ftp.pwg.org/pub/pwg/standards/pwg5100.4.pdf>, February 7, 2001.
- 13 [7] Moore, P., "Universal Image Format requirements", October 16, 2000,
14 <ftp://ftp.pwg.org/pub/pwg/QUALDOCS/requirements/ifx-transport-requirements-01.pdf>
- 15 [8] Moore, P., "IPP Fax transport requirements", October 16, 2000,
16 <ftp://ftp.pwg.org/pub/pwg/QUALDOCS/requirements/ifx-transport-requirements-01.pdf>
- 17 [9] Masinter , "Terminology and Goals for Internet Fax", RFC2542, March 1999.
- 18 [10] Moore, Songer, Hastings, "IPP Fax Protocol" PWG Draft Standard D0.5, June 21, 2001
- 19 [11] ITU-T Recommendation T.4, Standardization of group 3 facsimile apparatus for document
20 transmission, October 1997
- 21 [12] ITU-T Recommendation T.6, Facsimile coding schemes and coding control functions for group
22 4 facsimile apparatus, November 1988
- 23 [13] ITU-T Recommendation T.43, Colour and gray-scale image representations using lossless
24 coding scheme for facsimile, February 1997
- 25 [14] ITU-T Recommendation T.44, Mixed Raster Content (MRC), April 1999.
- 26 [15] ITU-T Recommendation T.81, Information technology - Digital compression and coding of
27 continuous-tone still images - Requirements and guidelines, September 1992
- 28 [16] ITU-T Recommendation T.82, Information technology - Coded representation of picture and
29 audio information - Progressive bi-level image compression, March 1995
- 30 [17] ITU-T Recommendation T.85, Application profile for Recommendation T.82 - Progressive bi-
31 level image compression (JBIG coding scheme) for facsimile apparatus, August 1995
- 32 [18] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119,
33 March 1997.
- 34 [19] Tag Image File Format, Revision 6.0, Adobe Developers Association, June 3, 1992,
35 <http://partners.adobe.com/asn/developer/pdfs/tn/TIFF6.pdf>
- 36 The TIFF 6.0 specification dated June 3, 1992 specification (c) 1986-1988, 1992 Adobe
37 Systems Incorporated. All Rights Reserved.

- 1 [20] Adobe PageMaker 6.0 TIFF Technical Notes, Sept. 14, 1995,
2 <http://partners.adobe.com/asn/developer/pdfs/tn/TIFFPM6.pdf>
- 3 [21] Draft TIFF Technical Note 2, Replacement TIFF/JPEG specification, March 17, 1995,
4 <ftp://ftp.sgi.com/graphics/tiff/TTN2.draft.txt>
- 5 [22] Parsons, G., Rafferty J. and S. Zilles, "Tag Image File Format (TIFF) - image/tiff MIME Sub-
6 type Registration", work in progress, draft-ietf-fax-tiff-regbis-???.txt.
- 7 Note: [22] is being progressed as BCP and is expected to be issued prior to the issuing of TIFF-
8 FX as a Draft Standard.
- 9 [23] Freed, N. and N. Borenstein, "Multipurpose Internet Mail Extensions (MIME) Part Two: Media
10 Types", RFC 2046, November 1996.
- 11 [24] McIntyre, Abercrobie, Rucklidge, Buckley, "TIFF-FX Extension Set 1", July 20, 2001.
- 12 [25] Klyne, G., "A Syntax for Describing Media Feature Sets", RFC 2533, March 1999.

13

14 6 Outstanding Issues

15

- 16 1. Is it still OK for a Sender to describe UIF Profile S or F TIFF data using the "image/tiff" MIME
17 subtype since UIF Profile S relies on several TIFF-FX extensions which require the use of two
18 TIFF fields not recognized by TIFF 6 (namely, the GlobalParametersIFD and TIFF-FXExtensions
19 fields)

20

21

22

23 7 Revision History (to be removed when standard is approved)

24

Revision	Date	Author	Notes
1	1/16/01	Paul Moore, Neteon	Initial version
2	1/28/01	Gail Songer, Neteon	Added formal definition of new attributes
3	4/11/01	John Pulera, Minolta	Added UIF-specific Profile U and described UIF support for other TIFF-FX profiles
4	5/07/01	John Pulera, Minolta	Modifications made at Portland meeting.
5	6/14/01	John Pulera, Minolta	Added description of UIF profiles and minimal capabilities strings; generalized document so there is no dependence on IPP.
D0.6	7/25/01	John Pulera, Minolta	Expanded Sender conformance

			requirements for UIF profiles and MIME; other modifications per June teleconference.
D0.7	10/16/01	John Pulera, Minolta	Redefined UIF Profiles to be TIFF-FX profiles using TIFF-FX extensions; moved capabilities communication to an informative appendix.

1
2

1

2 **Appendix A. Capabilities communication (Informative)**

3 This informative appendix is intended to suggest a means of capabilities communication that would
4 allow a protocol using the UIF data format to discover what a potential UIF-compatible Receiver
5 supports in terms of resolution, encoding, drawing surface, etc. As such, the conformance terminology
6 used in this Appendix applies only to protocols that choose to implement capabilities communication
7 as it is described in this Appendix. Section A.6 lists the Conformance requirements for protocols that
8 implement capabilities communication as it is described in this appendix.

9 To discover a potential Receiver's capabilities, a UIF Sender **MUST** query in a protocol-specific
10 manner either the UIF Profiles supported (see section A.2) or the Receiver capabilities string (see
11 section A.1). If the Sender wants to send a UIF file using any **OPTIONAL** features outside the profile-
12 specific baseline level (see baseline levels shown in section A.1.1), then the Sender **MUST** query the
13 Receiver for the capabilities string. The Sender **MUST** also query the Receiver to determine the media
14 that is supported, and the media that is not only supported but ready. The UIF Profiles supported,
15 media supported, and media ready are excluded from the Receiver capabilities string so that a full
16 Sender-side implementation of CONNEG is unnecessary if a UIF Sender decides to support only the
17 minimum capabilities for a given profile (see Section 4.1.2).

18 **A.1 Receiver capabilities string**

19 A valid Receiver capabilities string **MUST** be any well-formed CONNEG string obeying the syntax
20 specified in RFC2533 [25] and using the feature tag and tag values described in RFC2879 [5]. A UIF
21 Sender **MAY** request the Receiver capabilities string. A UIF Receiver **MUST** return a Receiver
22 capabilities string if a Sender requests it. The Receiver capabilities string is not expected to be more
23 than 32Kb in length. The capabilities announced by the Receiver **SHOULD** indicate those things that it
24 can do without operator intervention. For example if the Receiver has a manually interchangeable print
25 cartridge with only the black cartridge loaded, it **SHOULD** only indicate support for "color=binary".
26 The method of transport is protocol-dependent and beyond the scope of this document.

27

28 **A.1.1 Minimum Receiver capabilities**

29 Requiring a minimum set of Receiver capabilities on a profile-specific basis is useful because it
30 guarantees a baseline level of compatibility between a Sender and a Receiver.

31 The CONNEG expressions listed in the following subsections summarize the minimum set of
32 capabilities that a Receiver **MUST** support before advertising support for a given profile. See
33 RFC2879 [5] for a complete description of the feature tags tokens. The color profiles (UIF Profiles C
34 and L) have been broken down further into minimum capabilities specification for both grayscale-only
35 and full-color implementations.

36 **A.1.1.1 Minimum capabilities for UIF Profile S**

```
37 (& (image-file-structure=TIFF-minimal)  
38     (MRC-mode=0)  
39     (image-coding=MH)
```

```

1      (color=Binary)
2      (dpi=[200,300,600])
3      (dpi-xyratio=1) )

```

4 **A.1.1.2 Minimum capabilities for UIF Profile F**

```

5      ( | (& (image-file-structure=TIFF-minimal)
6          (MRC-mode=0)
7          (image-coding=MH)
8          (color=Binary)
9          (dpi=[200,300,600])
10         (dpi-xyratio=1) )
11      (& (image-file-structure=TIFF-limited)
12         (MRC-mode=0)
13         (image-coding=MMR)
14         (color=Binary)
15         (dpi=[200,300,600])
16         (dpi-xyratio=1) ) )
17

```

18 **A.1.1.3 Minimum capabilities for UIF Profile J**

```

19      ( | (& (image-file-structure=TIFF-minimal)
20          (MRC-mode=0)
21          (image-coding=MH)
22          (color=Binary)
23          (dpi=[200,300,600])
24          (dpi-xyratio=1) )
25      (& (image-file-structure=TIFF-limited)
26         (MRC-mode=0)
27         (image-coding=JBIG)
28         (image-coding-constraint=JBIG-T85)
29         (color=Binary)
30         (JBIG-stripe-size=128)
31         (dpi=[200,300,600])
32         (dpi-xyratio=1) ) )

```

33 **A.1.1.4 Minimum capabilities for UIF Profile C**

34 Minimum capabilities for UIF Profile C can be subdivided into a listing of minimum capabilities for a
35 baseline grayscale implementation and a listing of minimum capabilities for a full color
36 implementation. Subdividing the minimum capabilities in such a way gives the Sender the flexibility to
37 encode grayscale and/or full color data without the need for a full CONNEG implementation.

38 **A.1.1.4.1 Minimum grayscale capabilities for UIF Profile C**

```

39      ( | (& (image-file-structure=TIFF-minimal)
40          (MRC-mode=0)
41          (image-coding=MH)
42          (color=Binary)
43          (dpi=[200,300,600])
44          (dpi-xyratio=1) )
45      (& (image-file-structure=TIFF-limited)
46         (MRC-mode=0)
47         (color=grey)
48         (image-coding=JPEG)

```

```

1      (image-coding-constraint=JPEG-T4E)
2      (color-levels<=256)
3      (color-space=CIELAB)
4      (color-illuminant=D50)
5      (CIELAB-L-min>=0)
6      (CIELAB-L-max<=100)
7      (dpi=[200,300])
8      (dpi-xyratio=1) ) )
9

```

10 ***A1.1.4.2 Minimum full color capabilities for UIF Profile C***

```

11  ( | (& (image-file-structure=TIFF-minimal)
12        (MRC-mode=0)
13        (image-coding=MH)
14        (color=Binary)
15        (dpi=[200,300,600])
16        (dpi-xyratio=1) )
17    (& (image-file-structure=TIFF-limited)
18        (MRC-mode=0)
19        (color=grey)
20        (image-coding=JPEG)
21        (image-coding-constraint=JPEG-T4E)
22        (color-levels<=256)
23        (color-space=CIELAB)
24        (color-illuminant=D50)
25        (CIELAB-L-min>=0)
26        (CIELAB-L-max<=100)
27        (dpi=[200,300])
28        (dpi-xyratio=1) )
29    (& (image-file-structure=TIFF-limited)
30        (MRC-mode=0)
31        (color=full)
32        (image-coding=JPEG)
33        (image-coding-constraint=JPEG-T4E)
34        (color-subsampling="4:1:1")
35        (color-levels<=16777216)
36        (color-space=CIELAB)
37        (color-illuminant=D50)
38        (CIELAB-L-min>=0)
39        (CIELAB-L-max<=100)
40        (CIELAB-a-min>=-85)
41        (CIELAB-a-max<=85)
42        (CIELAB-b-min>=-75)
43        (CIELAB-b-max<=125)
44        (dpi=[200,300])
45        (dpi-xyratio=1) ) )
46

```

47 ***A.1.1.5 Minimum capabilities for UIF Profile L***

48 As with UIF Profile C, minimum capabilities for UIF Profile L can be subdivided into a listing of
49 minimum capabilities for a baseline grayscale implementation and a listing of minimum capabilities
50 for a full color implementation. Subdividing the minimum capabilities in such a way gives the Sender

1 the flexibility to encode grayscale and/or full color data without the need for a full CONNEG
2 implementation.

3 ***A.1.1.5.1 Minimum grayscale capabilities for UIF Profile L***

```
4
5 ( | (& (image-file-structure=TIFF-minimal)
6     (MRC-mode=0)
7     (color=Binary)
8     (image-coding=MH)
9     (dpi=[200,300,600])
10    (dpi-xyratio=1) )
11  (& (image-file-structure=TIFF-limited)
12    (MRC-mode=0)
13    (color=grey)
14    ( | (& (image-coding=JPEG)
15        (image-coding-constraint=JPEG-T4E) )
16      (& (image-coding=JBIG)
17        (image-coding-constraint=JBIG-T43)
18        (JBIG-stripe-size=128)
19        (image-interleave=stripe) ) )
20    (color-space=CIELAB)
21    (color-levels<=256)
22    (color-illuminant=D50)
23    (CIELAB-L-min>=0)
24    (CIELAB-L-max<=100)
25    (dpi=[200,300])
26    (dpi-xyratio=1) ) )
```

27 ***A.1.1.5.2 Minimum full color capabilities for UIF Profile L***

```
28
29 ( | (& (image-file-structure=TIFF-minimal)
30     (MRC-mode=0)
31     (color=Binary)
32     (image-coding=MH)
33     (dpi=[200,300,600])
34     (dpi-xyratio=1) )
35  (& (image-file-structure=TIFF-limited)
36    (MRC-mode=0)
37    (color=grey)
38    ( | (& (image-coding=JPEG)
39        (image-coding-constraint=JPEG-T4E) )
40      (& (image-coding=JBIG)
41        (image-coding-constraint=JBIG-T43)
42        (JBIG-stripe-size=128)
43        (image-interleave=stripe) ) )
44    (color-space=CIELAB)
45    (color-levels<=256)
46    (color-illuminant=D50)
47    (CIELAB-L-min>=0)
48    (CIELAB-L-max<=100)
49    (dpi=[200,300])
50    (dpi-xyratio=1) )
51  (& (image-file-structure=TIFF-limited)
52    (MRC-mode=0)
```

```

1      (color=full)
2      (| (& (image-coding=JPEG)
3          (image-coding-constraint=JPEG-T4E)
4          (color-subsampling=[ "1:1:1" , "4:1:1" ] ) )
5          (& (image-coding=JBIG)
6            (image-coding-constraint=JBIG-T43)
7            (JBIG-stripe-size=128)
8            (image-interleave=stripe) ) ) )
9      (color-levels<=16777216)
10     (color-space=CIELAB)
11     (color-illuminant=D50)
12     (CIELAB-L-min>=0)
13     (CIELAB-L-max<=100)
14     (CIELAB-a-min>=-85)
15     (CIELAB-a-max<=85)
16     (CIELAB-b-min>=-75)
17     (CIELAB-b-max<=125)
18     (dpi=[100,200,300])
19     (dpi-xyratio=1) ) )
20

```

21 **A.1.1.6 Minimum capabilities for UIF Profile M**

```

22     (| (& (image-file-structure=TIFF-minimal)
23         (MRC-mode=0)
24         (color=Binary)
25         (image-coding=MH)
26         (dpi=[200,300,600])
27         (dpi-xyratio=1) )
28         (& (image-file-structure=TIFF-limited)
29             (MRC-mode=0)
30             (color=full)
31             (image-coding=JPEG)
32             (image-coding-constraint=JPEG-T4E)
33             (color-subsampling="4:1:1")
34             (color-levels<=16777216)
35             (color-space=CIELAB)
36             (color-illuminant=D50)
37             (CIELAB-L-min>=0)
38             (CIELAB-L-max<=100)
39             (CIELAB-a-min>=-85)
40             (CIELAB-a-max<=85)
41             (CIELAB-b-min>=-75)
42             (CIELAB-b-max<=125)
43             (dpi=[200,300]) (dpi-xyratio=1)
44             (& (image-file-structure=TIFF-MRC-limited)
45                 (MRC-mode=1)
46                 (MRC-max-stripe-size<=256)
47                 (| (& (image-file-structure=TIFF-minimal)
48                     (color=Binary)
49                     (image-coding=MH)
50                     (dpi=[200,300,400])
51                     (dpi-xyratio=1) )
52                     (& (image-file-structure=TIFF-limited)
53                         (color=full)
54                         (image-coding=JPEG)
55                         (image-coding-constraint=JPEG-T4E)

```

```

1          (color-subsampling="4:1:1")
2          (color-levels<=16777216)
3          (color-space=CIELAB)
4          (color-illuminant=D50)
5          (CIELAB-L-min>=0)
6          (CIELAB-L-max<=100)
7          (CIELAB-a-min>=-85)
8          (CIELAB-a-max<=85)
9          (CIELAB-b-min>=-75)
10         (CIELAB-b-max<=125)
11         (dpi=[200,300,400])
12         (dpi-xyratio=1) ) ) ) )

```

13 **A.1.2 New CONNEG tags and values**

14

15 In addition to the CONNEG tags and tag values defined in RFC2879[5], the capabilities string MAY
 16 include tag and tag values defined in the following subsections.

17 **A.1.2.1 Definition of ‘profile’ tag and tag values**

18 The new CONNEG tag ‘profile’ and accompanying tag values ‘uif-s’, ‘uif-f’, ‘uif-j’, ‘uif-cg’, ‘uif-c’,
 19 ‘uif-lg’, ‘uif-l’, and ‘uif-m’ shall be registered with the relevant authoritative body. This new tag and
 20 its tag values have been introduced to represent the *incremental* differences between minimum
 21 capabilities strings listed in sections A.1.1.1 through A1.1.5. This cuts down on the length of the
 22 CONNEG strings and makes it immediately apparent from a human’s perspective any OPTIONAL
 23 features that are advertised.

24

25 The CONNEG string “profile=uif-s” is defined to expand as

```

26     (& (image-file-structure=TIFF-minimal)
27        (MRC-mode=0)
28        (image-coding=MH)
29        (color=Binary)
30        (dpi=[200,300,600])
31        (dpi-xyratio=1) )

```

32

33 The CONNEG string “profile=uif-f” is defined to expand as

```

34     (& (image-file-structure=TIFF-limited)
35        (MRC-mode=0)
36        (image-coding=MMR)
37        (color=Binary)
38        (dpi=[200,300,600])
39        (dpi-xyratio=1) )

```

40

41 The CONNEG string “profile=uif-j” is defined to expand as

```

42     (& (image-file-structure=TIFF-limited)
43        (MRC-mode=0)
44        (image-coding=JBIG)

```

```
1      (image-coding-constraint=JBIG-T85)
2      (color=Binary)
3      (JBIG-stripe-size=128)
4      (dpi=[200,300,600])
5      (dpi-xyratio=1) )
```

6

7 The CONNEG string “profile=uif-cg” is defined to expand as

```
8      (& (image-file-structure=TIFF-limited)
9      (MRC-mode=0)
10     (color=grey)
11     (image-coding=JPEG)
12     (image-coding-constraint=JPEG-T4E)
13     (color-levels<=256)
14     (color-space=CIELAB)
15     (color-illuminant=D50)
16     (CIELAB-L-min>=0)
17     (CIELAB-L-max<=100)
18     (dpi=[200,300])
19     (dpi-xyratio=1) )
```

20

21 The CONNEG string “profile=uif-c” is defined to expand as

```
22     (& (image-file-structure=TIFF-limited)
23     (MRC-mode=0)
24     (color=full)
25     (image-coding=JPEG)
26     (image-coding-constraint=JPEG-T4E)
27     (color-subsampling="4:1:1")
28     (color-levels<=16777216)
29     (color-space=CIELAB)
30     (color-illuminant=D50)
31     (CIELAB-L-min>=0)
32     (CIELAB-L-max<=100)
33     (CIELAB-a-min>=-85)
34     (CIELAB-a-max<=85)
35     (CIELAB-b-min>=-75)
36     (CIELAB-b-max<=125)
37     (dpi=[200,300])
38     (dpi-xyratio=1) )
```

39

40 The CONNEG string “profile=uif-lg” is defined to expand as

```
41     (& (image-file-structure=TIFF-limited)
42     (MRC-mode=0)
43     (color=grey)
44     (image-coding=JBIG)
45     (image-coding-constraint=JBIG-T43)
46     (JBIG-stripe-size=128)
47     (image-interleave=stripe)
48     (color-space=CIELAB)
49     (color-levels<=256)
50     (color-illuminant=D50)
```



```

1      (CIELAB-L-min>=0)
2      (CIELAB-L-max<=100)
3      (dpi=[200,300])
4      (dpi-xyratio=1) )

```

5

6 The CONNEG string “profile=uif-l” is defined to expand as

```

7      (& (image-file-structure=TIFF-limited)
8      (MRC-mode=0)
9      (color=full)
10     (image-coding=JBIG)
11     (image-coding-constraint=JBIG-T43)
12     (JBIG-stripe-size=128)
13     (image-interleave=stripe)
14     (color-levels<=16777216)
15     (color-space=CIELAB)
16     (color-illuminant=D50)
17     (CIELAB-L-min>=0)
18     (CIELAB-L-max<=100)
19     (CIELAB-a-min>=-85)
20     (CIELAB-a-max<=85)
21     (CIELAB-b-min>=-75)
22     (CIELAB-b-max<=125)
23     (dpi=[100,200,300])
24     (dpi-xyratio=1) )

```

25

26 **A.1.2.2 Application of ‘profile’ tag and tag values**

27 The ‘profile’ tag definition and its associated tag values allow the composite UIF Profile M to take the
28 form shown below

29

```

30 (| (profile=[uif-s,uif-c])
31   (& (image-file-structure=TIFF-MRC-limited)
32   (MRC-mode=1)
33   (MRC-max-stripe-size<=256)
34   (profile=[uif-s,uif-c])
35   (dpi=[200,300,400]) ) )

```

36

37 As another example, if a Receiver would like to advertise that it can support UIF Profiles S and F with
38 the optional resolution of 1200 dpi and can support UIF Profile C with the optional resolution of
39 600dpi, then the Receiver can return the following if a Sender queries its capabilities string:

```

40 (| (& (profile=[uif-s,uif-f])
41   (dpi=[200,300,600,1200]) )
42   (& (profile=uif-c)
43   (dpi=[200,300,600]) ) )

```

44

1 **A.2 UIF Profiles supported**

2 A UIF Sender MUST query the potential UIF Receiver for the UIF Profiles supported by the Receiver.
 3 A UIF Receiver MUST respond with the UIF Profiles that it supports. When a Receiver indicates the
 4 document formats / profiles that are supported, the list MUST include all the UIF Profiles described in
 5 this document that are supported and, if UIF Profile M is supported, all of the combinations with UIF-
 6 Profile M that are supported. The Sender MUST interpret a missing or otherwise invalid response as an
 7 indication that the Receiver does not support UIF. The method of transport and the actual data values
 8 used to indicate supported UIF Profiles are protocol-specific and beyond the scope of this document.

9 **A.3 Media supported**

10 A UIF Sender MUST query the potential UIF Receiver for media supported. A UIF Receiver MUST
 11 respond with the media supported by the Receiver (e.g., letter, legal, A4, etc.). The method of
 12 transport, the valid range of media, and the actual data values used to indicate supported media are
 13 protocol-specific and beyond the scope of this document; however, the Sender MUST be able to infer
 14 actual dimensions from the media values used.

15 **A.4 Media ready**

16 A UIF Sender MUST query the potential UIF Receiver for media ready. A UIF Receiver MUST
 17 respond with the subset of media supported that is ready to print with no user intervention. The method
 18 of transport, the valid range of media, and the actual data values used to indicate ready media are
 19 protocol-specific and beyond the scope of this document; however, the Sender MUST be able to infer
 20 actual dimensions from the media values used.

21 **A.5 Image reduction supported**

22 A UIF Sender MAY query the potential UIF Receiver to determine whether or not image reduction is
 23 supported. A Receiver MUST be capable of indicating whether or not it supports image reduction. The
 24 method by which this query occurs is protocol-specific and beyond the scope of this document.

25

26 **A.6 Conformance Requirements Summary**

27 For the listed operations, Table 18 below shows conformance requirements that apply to the protocol
 28 used to transport UIF data.

29 **Table 19. Underlying Protocol Conformance.**

Operation	UIF-capable Sender	UIF-capable Receiver	Section
Receiver capabilities string	MAY	MUST	A.1
UIF Profiles supported	MUST	MUST	A.2
Media supported	MUST	MUST	A.3
Media ready	MUST	MUST	A.4
Image reduction supported	MAY	MUST	A.5

30