

1 2 A Project of the PWG IPPFAX Working Group **Universal Image Format (UIF)** 3 4 **IEEE-ISTO Printer Working Group** 5 Draft Standard 5102.2-D0.10 6 7 March 3, 2002 8 9 ftp://ftp.pwg.org/pub/pwg/QUALDOCS/uif-spec-10.pdf, .doc 10 11 **Abstract** 12 13 This standard specifies an extension to TIFF-FX known as Universal Image Format (UIF) by 14 formally defining a series of TIFF-FX "profiles" distinguished primarily by the method of 15 compression employed and color space used. The UIF requirements [uif-req] are derived 16 from the requirements for IPPFAX [ifx-req] and Internet Fax [RFC2542]. 17 In summary UIF is a raster image data format intended for use by, but not limited to, the 18 IPPFAX protocol, which is used to provide a synchronous, reliable exchange of image 19 Documents between Senders and Receivers. UIF makes reference to the TIFF-FX 20 specification [RFC2301], which describes the TIFF (Tag Image File Format) representation of 21 image data specified by the ITU-T Recommendations for black-and-white and color facsimile 22 (see [T.4], [T.6], [T.43], [T.44], [T.81], [T.82], and [T.85]). UIF also requires the use of 23 certain TIFF-FX extensions described in Appendix B of this document. UIF does not specify 24 any new TIFF tags or field values. 25 26 This document is a draft of an IEEE-ISTO PWG Proposed Standard and is in full conformance with all 27 provisions of the PWG Process (see: ftp://ftp.pwg.org/pub/pwg/general/pwg-process.pdf). PWG 28 Proposed Standards are working documents of the IEEE-ISTO PWG and its working groups. The list 29 of current PWG projects and drafts can be obtained at http://www.pwg.org. 30 When approved as a PWG standard, this document will be available from: 31 ftp://ftp.pwg.org/pub/pwg/standards/pwg5102.2.pdf,.doc,.rtf

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### 1 Introduction

- 3 In summary UIF is a raster image data format intended for use by, but not limited to, the IPPFAX
- 4 protocol, which is used to provide a synchronous, reliable exchange of image Documents between
- 5 Senders and Receivers. UIF makes reference to the TIFF-FX specification [RFC2301], which
- 6 describes the TIFF (Tag Image File Format) representation of image data specified by the ITU-T
- 7 Recommendations for black-and-white and color facsimile (see [T.4], [T.6], [T.43], [T.44], [T.81],
- 8 [T.82], and [T.85]). UIF is different from TIFF-FX in that UIF requires the use of certain TIFF-FX
- 9 extensions summarized in Appendix B of this document.
- 10 This document specifies a set of extensions to the TIFF-FX profiles defined in [RFC2301] that are
- especially suited for use with synchronous protocols (e.g., IPPFAX[ifx]). The increased conformance
- requirements found in this UIF specification reflect the need for a data format where quality document
- transmission is the primary concern. When the profiles described in [RFC2301] are used with the
- extensions summarized in Appendix B of this document, the data format is known as Universal Image
- 15 Format (UIF). UIF does not specify any new TIFF tags or field values.

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### 2 Terminology

18 This section defines the following additional terms that are used throughout this standard.

### 19 **2.1 Conformance Terminology**

- 20 The key words MUST, MUST NOT, REQUIRED, SHOULD, SHOULD NOT,
- 21 **RECOMMENDED**, MAY, and **OPTIONAL** in this document are to be interpreted as described in
- 22 [RFC2119].

#### 23 **2.2 Model**

- 24 The following terms are introduced and capitalized in order to indicate their specific meaning:
- 25 **Baseline Field** One of the core set of TIFF fields introduced by the TIFF specification [TIFF]
- 26 **Implementation** A Sender or Receiver
- 27 **Document** The UIF-formatted electronic representation of a set of one or more pages that the Sender
- sends to the Receiver.
- 29 **Extension Field** One of the TIFF extension fields introduced by the current TIFF specification
- 30 [TIFF], the set of PageMaker TIFF Technical Notes [TTN1], or TIFF Technical Note 2 [TTN2].
- New Field One of the new TIFF fields introduced by [RFC2301]. Note that the UIF specification
- does not introduce any new TIFF tags or field values.
- 33 **Receiver** This is the agent (software, hardware or some combination) that receives the Document
- sent by the Sender.
- 35 **Sender** This is the agent (software, hardware or some combination) that is used to create and
- 36 transmit a Document to a Receiver.

- 1 **TIFF-FX Extension** one of the extensions to [RFC2301] specified in [tiff-fx-ext1] or Appendix B of
- 2 this document.
- 3 **UIF Profile** A TIFF-FX profile used with a specific combination of the TIFF-FX Extensions that are
- 4 described in section 3.1.

### 6 3 TIFF-FX support

- 7 A UIF Document is a TIFF-FX file that adheres to the requirements of [RFC2301] and specific TIFF-
- 8 FX extensions as described in Appendix B. A UIF Profile uses a collection of ITU-T facsimile coding
- 9 methods. The UIF Profiles listed in this section have been derived from [RFC2301]. The reader is
- referred to Appendix B of this document and [RFC2301] for a complete description of each profile, as
- the subsections below briefly summarize each UIF Profile and list only the additional TIFF-FX
- 12 extensions that MUST be used.
- 13 Pages within a single UIF Document MAY be encoded using different UIF Profiles.
- An Implementation that supports UIF MUST support at least TIFF-FX Profile S (see [RFC2301] for a
- complete description of TIFF-FX Profile S). Note that for the TIFF fields "ImageDescription",
- 16 "DocumentName", "Software", and "DateTime", [TIFF] specifies only ASCII and does not provide a
- 17 language tag or alternate character set facility.

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#### 3.1 The 'TIFF-FXExtensions' Field

- 20 [tiff-fx-ext1] defines a new TIFF field called 'TIFF-FXExtensions' which is used to identify all TIFF-
- 21 FX extensions. This field MUST be present when extensions are used. TIFF-FX Extensions are
- 22 identified by bit value assignment. Table 1 summarizes the TIFF-FX Extensions that directly pertain to
- 23 UIF and indicates which Extensions the Receiver MUST support for each profile. Bit 0 corresponds to
- 24 the least significant bit of the 32-bit 'TIFF-FXExtensions' field value. The 'UIF-Profiles' column
- 25 indicates those UIF profiles for which a Receiver MUST implement a given extension number.
- A new TIFF-FX extensions document will be separately published to describe the new TIFF-FX
- 27 Extensions 20 through 26. Until this document is published, a description of TIFF-FX Extensions 20
- through 26 appears in Appendix B.

Table 1. 'TIFF-FXExtension' Field Bit Description

Bit	Extension	Description	<b>UIF Profiles</b>
Number	Number		
19	20	Relaxed Image Width & Resolutions.	F, J, C, L, M
		If Bit 19 is 1, then the ImageWidth, XResolution, and	
		YResolution fields are not constrained; however, the	
		Receiver MUST support the image width & length that	
		are determined by media size and resolutions	
		supported.	
20	21	Required Resolution: 200dpi.	F, J, C, L, M
		If Bit 20 is 1, then Receivers MUST support	
		XResolution=YResolution=200 and ResolutionUnit=2	
		(inches)	
21	22	Required Resolution: 300dpi.	F, J, C, L, M
		If Bit 21 is 1, then Receivers MUST support	
		XResolution=YResolution=300 and ResolutionUnit=2	
		(inches)	
22	23	Required Resolution: 400dpi.	M
		If Bit 22 is 1, then Receivers MUST support	
		XResolution=YResolution=400 and ResolutionUnit=2	
		(inches)	
23	24	Required Resolution: 600dpi.	F, J
		If Bit 23 is 1, then Receivers MUST support	
		XResolution=YResolution=600 and ResolutionUnit=2	
		(inches)	
24	25	Required Field: 'JPEGTables'	C, M
		If Bit 24 is 1, then Receivers MUST support the	
		'JPEGTables' Extension Field	
25	26	Required Compression: MMR	F, M
		If Bit 25 is 1, then Receivers MUST support	
		Resolution=4 and T6Options=0.	

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#### 3.2 Relationships among UIF Profiles

J (JBIG)

B&W

The following tree diagram, which is adapted from [RFC2301], shows the relationship among UIF Profiles and between UIF Profiles and coding methods.

F (MH, MR, MMR) C (JPEG)

Color/Grayscale

L (JBIG)

All UIF Senders and/or Receivers MUST implement TIFF-FX Profile S, which is the root node of the

connect it to the root node, and MAY optionally implement profiles not on the path connecting it to the

tree. All color Senders and/or Receivers of UIF MUST implement UIF Profile C. Senders and/or

root node. For example, a Sender and/or Receiver that implements UIF Profile M MUST also

Receivers that implement a particular profile MUST also implement those profiles on the path that

implement UIF Profiles C and S, and MAY optionally implement UIF Profile F, J or L. For another

example, a Sender/Receiver that implements UIF Profile C MUST also implement TIFF-FX Profile S,

M (MRC)

TIFF-FX S (MH)

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#### Summary of UIF Profiles 3.3

and MAY optionally implement UIF Profile F or J.

- 29 The following subsections summarize Implementation requirements and list the TIFF-FX extensions
- 30 that MUST be supported for each of the UIF Profiles. Each subsection contains one or more tables that
- 31 show the TIFF fields and field values that are REOUIRED, RECOMMENDED, or OPTIONAL for
- 32 UIF Implementations. For all UIF Profiles, single asterisks (\*) and double asterisks (\*\*) indicate the
- 33 level of Receiver conformance (see the legend below each table). Also, the rightmost column is used to 34 indicate Sender conformance, i.e., those fields that a user MUST, SHOULD, or MAY include in the
- 35 Image File Directory (IFD) of a UIF Document. For fields that a Receiver MUST support, note that a
- 36 Sender MUST support at least one of the REQUIRED field values that the Receiver MUST support.
- 37 See [RFC2301] for TIFF-FX Profile S requirements.
- 38 If there is a default value associated with a TIFF field, and the default value is a legal value for the
- 39 given UIF Profile, then the Sender MAY choose to physically omit this field from the UIF file, as the
- 40 presence of the TIFF field and its value are implied. The tables in the following subsections show default values for TIFF fields only when the default values are permitted.

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#### 1 3.3.1 UIF Profile F

- 2 This section defines UIF Profile F, which uses Modified Read and Modified Modified Read (MMR)
- 3 compression (described in [T.4] and [T.6]) in addition to the Modified Huffman compression used for
- 4 TIFF-FX Profile S. When TIFF-FX Extensions 20, 21, 22, 24, and 26 are applied to TIFF-FX Profile F
- 5 in [RFC2301], the result is UIF Profile F. Tables 2, 3, and 4 summarize the fields and field values that
- 6 are REOUIRED / RECOMMENDED / OPTIONAL for UIF Profile F. Asterisks are used to denote
- 7 levels of Receiver conformance, while the rightmost column indicates Sender conformance, i.e., those
- 8 fields that a Sender MUST, SHOULD, or MAY include in an image file directory (IFD) of a UIF
- 9 Document. For a complete description of the Baseline, Extension, and New Fields shown below, see
- 10 [RFC2301] and [tiff-fx-ext1]. A Sender/Receiver implementing this profile is REQUIRED to also
- implement TIFF-FX Profile S.

Table 2. UIF Profile F Baseline Fields

<b>Baseline Fields</b>	Values	Sender Conformance
BitsPerSample	1**	MUST
Compression	3: 1D Modified Huffman and 2D Modified Read coding 4**: 2D Modified Modified Read coding	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	<ul><li>1: most significant bit first</li><li>2: least significant bit first (Default = 2)</li></ul>	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType	2**: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation**	0: pixel value 1 means black 1: pixel value 1 means white	MUST
ResolutionUnit**	2: inch (Default = 2) 3: centimeter	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel	1**	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300**, 600**, other resolutions are OPTIONAL (written in pixels per inch)	MUST

YResolution	200**, 300**, 600** in pixels per inch with x-y	MUST
	aspect ratio (XResolution / YResolution) equal to	
	1; other resolutions and aspect ratios are	
	OPTIONAL (written in pixels per inch)	

<sup>\*</sup> Receiver SHOULD support this field.

Table 3. UIF Profile F Extension Fields

<b>Extension Fields</b>	Values	Sender Conformance
T4Options	0: REQUIRED if Compression is Modified	MUST if
	Huffman (MH), EOLs are not byte aligned (Default = 0)	Compression=3
	1: REQUIRED if Compression is 2D Modified	
	Read (MR), EOLs are not byte aligned	
	4: REQUIRED if Compression is Modified	
	Huffman, EOLs are byte aligned	
	5: REQUIRED if Compression is 2D Modified	
	Read, EOLs are byte aligned	
T6Options	0**: REQUIRED if Compression is 2D Modified	MUST if
	Modified Read (MMR) (Default = $0$ )	Compression=4
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST

<sup>\*</sup> Receiver SHOULD support this field.

Table 4. UIF Profile F New Fields

New Fields	Values	Sender Conformance
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x2B80000** (Bits indicating use of TIFF-FX	MUST
	Extensions 20,21,22, 24, and 26)	
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD

<sup>\*</sup> Receiver SHOULD support this field.

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<sup>\*\* (</sup>If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in 'Values' column.

<sup>(</sup>If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

<sup>\*\* (</sup>If double asterisk is in 'Extension Fields' column) Receiver MUST support the given field and all values shown in 'Values' column.

<sup>(</sup>If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

<sup>\*\* (</sup>If double asterisk is in 'New Fields' column) Receiver MUST support the given field and all values shown in 'Values' column.

<sup>(</sup>If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

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#### 3.3.2 UIF Profile J

- 3 This section defines Profile J for UIF, which uses lossless JBIG compression as it is defined in [T.82]
- 4 subject to the application rules given in [T.85]. When TIFF-FX Extensions 20, 21, 22, and 24 are
- 5 applied to TIFF-FX Profile J in [RFC2301], the result is UIF Profile J. Tables 5, 6, and 7 summarize
- 6 fields and field values that are REQUIRED / RECOMMENDED / OPTIONAL. Asterisks are used to
- denote levels of Receiver conformance, while the rightmost column indicates levels of Sender
- 8 Conformance, i.e., those fields that a Sender MUST, SHOULD, or MAY include in an IFD of a UIF
- 9 Document. For a complete description of the Baseline, Extension, and New Fields shown below, see
- the TIFF-FX specification [RFC2301] and [tiff-fx-ext1]. A Sender/Receiver implementing this profile
- is REQUIRED to also implement TIFF-FX Profile S.

Table 5. UIF Profile J Baseline Fields

Baseline Fields	Values	Sender Conformance
BitsPerSample	1**	MUST
Compression	9**: JBIG coding	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType**	2: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation**	0: pixel value 1 means black 1: pixel value 1 means white	MUST
ResolutionUnit**	2: inch (Default = 2) 3: centimeter	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel**	1	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300**, 600**, other resolutions are OPTIONAL (written in pixels per inch)	MUST
YResolution	200**, 300**, 600** in pixels per inch with x-y	MUST

aspect ratio (XResolution / YResolution) equal to	
1; other resolutions and aspect ratios are	
OPTIONAL	

<sup>\*</sup> Receiver SHOULD support this field.

Table 6. UIF Profile J Extension Fields

<b>Extension Fields</b>	Values	Sender
		Conformance
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST

<sup>\*</sup> Receiver SHOULD support this field.

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Table 7. UIF Profile J New Fields

New Fields	Values	Sender
		Conformance
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0xB80000** (Bits indicating use of TIFF-FX	MUST
	Extensions 20,21,22 and 24)	
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied	SHOULD
	within this file	
T82Options**	0: T.85 profile of T.82	MUST
CodingMethods*	n: compression algorithms used in file	SHOULD

<sup>\*</sup> Receiver SHOULD support this field.

#### 3.3.3 UIF Profile C

- 16 This section defines Profile C for UIF, which uses lossy JPEG compression as it is defined in [T.81].
- When TIFF-FX Extensions 20, 21, 22, and 25 are applied to TIFF-FX Profile C in [RFC2301], the
- 18 result is UIF Profile C. Tables 8, 9, and 10 summarize fields and field values that are REOUIRED /
- 19 RECOMMENDED / OPTIONAL. Asterisks are used to denote levels of Receiver conformance, while
- 20 the rightmost column indicates levels of Sender Conformance, i.e., those fields that a Sender MUST,
- 21 SHOULD, or MAY include in an IFD of a UIF Document. For a complete description of the Baseline,
- 22 Extension, and New Fields shown below, see [RFC2301] and [tiff-fx-ext1]. A Sender/Receiver that
- 23 implements this profile is REQUIRED to also implement TIFF-FX Profile S.

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<sup>\*\* (</sup>If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in 'Values' column.

<sup>(</sup>If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

<sup>\*\*</sup> Receiver MUST support the given field and all values shown in 'Values' column.

<sup>13 \*\*</sup> Receiver MUST support the given field and all values shown in 'Values' column.

#### Table 8. UIF Profile C Baseline Fields

<b>Baseline Fields</b>	Values	Sender Conformance
BitsPerSample	8**: 8 bits per color sample 12: OPTIONAL 12 bits/sample	MUST
Compression**	7: JPEG	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType**	2: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation	10**: ITULAB	MUST
ResolutionUnit**	2: inch (Default = 2) 3: centimeter	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel**	1**: L* (lightness) 3: LAB	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300** other resolutions are OPTIONAL (written in pixels per inch). XResolution and YResolution fields MUST be equal.	MUST
YResolution	equal to XResolution (pixels MUST be square)	MUST

<sup>\*</sup> Receiver SHOULD support this field.

Table 9. UIF Profile C Extension Fields

Extension Fields	Values	Sender Conformance
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST
ChromaSubSampling	(1,1), (2,2)**	MUST
	(1, 1): equal numbers of lightness and chroma	

<sup>\*\* (</sup>If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in 'Values' column.

<sup>(</sup>If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

	samples horizontally and vertically	
	(2, 2): twice as many lightness samples as chroma	
	samples horizontally and vertically	
ChromaPositioning	1**: centered	MUST
JPEGTables**	n: file pointer to JPEG quantization and/or	MAY
	Huffman tables (see [TTN2])	

<sup>\*</sup> Receiver SHOULD support this field.

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Table 10. UIF Profile C New Fields

New Fields	Values	Sender Conformance
Decode**	minL, maxL, mina, maxa, minb, maxb: minimum and maximum values for L*a*b*	MUST
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x1380000** (Bits indicating use of TIFF-FX Extensions 20,21,22 and 25)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD
VersionYear*	byte sequence: year of ITU std	SHOULD

<sup>\*</sup> Receiver SHOULD support this field.

#### 3.3.4 UIF Profile L

- When TIFF-FX Extensions 20, 21, and 22 are applied to TIFF-FX Profile L in [RFC2301], the result is
- 16 UIF Profile L. This profile uses JBIG compression (see [T.82]), subject to the application rules
- specified in [T.43] to losslessly code three types of color and grayscale images: one bit per color CMY,
- 18 CMYK and RGB images; a palletized (i.e. mapped) color image; and continuous tone color and
- 19 grayscale images.
- 20 Tables 11, 12, and 13 summarize fields and field values that are REQUIRED / RECOMMENDED /
- 21 OPTIONAL for Implementations of UIF Profile L. Asterisks are used to denote levels of Receiver
- 22 conformance, while the rightmost column indicates levels of Sender Conformance, i.e., those fields
- 23 that a Sender MUST, SHOULD, or MAY include in an IFD of a UIF Document. For a complete
- description of the Baseline, Extension, and New Fields shown below, see [RFC2301] and [tiff-fx-
- ext1]. A Sender / Receiver that chooses to implement this profile is REQUIRED to also implement
- 26 TIFF-FX Profile S, and UIF Profile C.

<sup>\*\* (</sup>If double asterisk is in 'Extension Fields' column) Receiver MUST support the given field and all values shown in 'Values' column.

<sup>(</sup>If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

<sup>\*\* (</sup>If double asterisk is in 'New Fields' column) Receiver MUST support the given field and all values shown in 'Values' column.

<sup>(</sup>If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

Optional fields have no asterisks in either the field name or the Values column; however, the Values field may contain a condition which REQUIRES the field.

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Table 11. UIF Profile L Baseline Fields

Baseline Fields	Values	Sender Conformance
BitsPerSample	1: Binary RGB, CMY(K)	MUST
	8**: 8 bits per color sample	
	9-16: OPTIONAL	
Compression	10**: JBIG, per T.43	MUST
DateTime*	{ASCII}: date/time in 24-hour format	SHOULD
	"YYYY:MM:DD HH:MM:SS"	
FillOrder**	1: most significant bit first	MUST
	2: least significant bit first	
ImageDescription*	{ASCII}: A string describing the contents of the	SHOULD
	image	
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType	2**: Bit 1 identifies single page of a multi-page	MUST
0: 4:	Document	MIIOT
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation	2: RGB	MUST
	5: CMYK 10**: ITULAB	
ResolutionUnit**		MUST
	2: inch (Default = 2)	
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel	1**: L* (lightness)	MUST
	3: LAB, RGB, CMY 4: CMYK	
Software*		CHOILD
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300** other resolutions are OPTIONAL	MUST
	(written in pixels per inch)	
YResolution	equal to XResolution (pixels MUST be square)	MUST

<sup>\*</sup> Receiver SHOULD support this field.

Table 12. UIF Profile L Extension Fields

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<sup>\*\* (</sup>If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in 'Values' column.

<sup>(</sup>If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

<b>Extension Fields</b>	Values	Sender
		Conformance
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST
Indexed	0: not a palette-color image (Default = 0)	MUST if image
	1: palette-color image	uses palette
		color;
		otherwise,
		MAY

<sup>\*</sup> Receiver SHOULD support this field.

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Note: Fields that the Receiver MAY support have no asterisks in either the field name or the values column

Table 13. UIF Profile L New Fields

New Fields	Values	Sender Conformance
Decode**	minL, maxL, mina, maxa, minb, maxb: minimum and maximum values for L*a*b*	MUST if PhotoMetric- Interpretation is set to ITULAB
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x380000** (Bits indicating use of TIFF-FX Extensions 20, 21, and 22)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD
VersionYear*	byte sequence: year of ITU std	SHOULD

<sup>\*</sup> Receiver SHOULD support this field.

#### 3.3.5 UIF Profile M

- When TIFF-FX Extensions 20, 21, 22, 23, 25, and 26 are applied to TIFF-FX Profile M in [RFC2301],
- the result is UIF Profile M. This profile is modeled after TIFF-FX Profile M, which uses Mixed Raster
- 13 Content (MRC), defined in [T.44]. MRC enables different coding methods and resolutions within a
- single page. For a more detailed description of MRC and the Baseline, Extension, and New Fields
- shown below, see [RFC2301], [T.44], and [tiff-fx-ext1].
- Tables 14, 15, and 16 summarize fields and field values that are REQUIRED / RECOMMENDED /
- 17 OPTIONAL for Implementations of UIF Profile M.. Asterisks are used to denote levels of Receiver
- 18 conformance, while the rightmost column indicates levels of Sender Conformance, i.e., those fields
- 19 that a Sender MUST, SHOULD, or MAY include in an IFD of a UIF Document. A Sender/Receiver

<sup>\*\*</sup> Receiver MUST support the given field and all values shown in 'Values' column.

<sup>\*\*</sup> Receiver MUST support the given field and all values shown in 'Values' column.

- that chooses to implement this profile is REQUIRED to also implement TIFF-FX Profile S and UIF
- 2 Profile C.
- 3 Optional fields have no asterisks in either the field name or the Values column, however, the Values
- 4 field may contain a condition which REQUIRES the field.

**Table 14. UIF Profile M Baseline Fields** 

Baseline Fields	Values	Sender Conformance
BitsPerSample	1**: binary mask, RGB, CMY(K)	MUST
	2-8**: bits per color sample	
	9-16: OPTIONAL 12 bits/sample	
Compression	1: None (ImageBaseColor IFD only)	MUST
	3: Modified Huffman and Modified Read	
	4**: Modified Modified Read	
	7**: JPEG	
	9: JBIG, per [T.82]	
	10: JBIG, per [T.43]	
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first	MUST
	2: least significant bit first	
ImageDescription*	{ASCII}: A string describing the contents of the	SHOULD
	image	
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType**	16, 18:	MUST
	Bit 1 indicates single page of a multi-page	
	Document on Primary IFD	
	Bit 4 indicates MRC model	
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation	0**: WhiteIsZero (Mask Layer)	MUST
_	2: RGB	
	5: CMYK	
	10**: ITULAB	
ResolutionUnit**	2: inch (Default = 2)	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel	1**: L* (lightness)	MUST
•	3: LAB, RGB, CMY	
	4: CMYK	
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST

StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300**, 400**: binary mask, background &	MUST
	foreground layers;	
	other resolutions are OPTIONAL	
YResolution	200**, 300**, 400**: binary mask, background &	MUST
	foreground layers;	
	other resolutions are OPTIONAL;	
	MUST be equal to XResolution (pixels MUST be	
	square)	
Receiver SHOULD support	this field.	

<sup>\*</sup> R

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**Table 15. UIF Profile M Extension Fields** 

Extension Fields	Values	Sender Conformance
T4Options	0: REQUIRED if Compression is Modified	MUST if
	Huffman, EOLs not byte aligned (Default =	Compression=3
	0)	
	1: REQUIRED if Compression 2D Modified	
	Read, EOLs are not byte aligned	
	4: REQUIRED if Compression Modified	
	Huffman, EOLs byte aligned	
	5: REQUIRED if Compression 2D Modified	
	Read, EOLs are byte aligned	
T6Options	0**: REQUIRED if Compression is 2D Modified	MUST if
	Modified Read (Default = $0$ )	Compression=4
DocumentName*	{ASCII}: name of scanned Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST
ChromaSubSampling	(1,1), (2,2)**	MUST if
	(1, 1): equal numbers of lightness and chroma	Compression=7
	samples horizontally & vertically	and Photometric-
	(2, 2): twice as many lightness samples as chroma	Interpretation=10
	horizontally and vertically	
ChromaPositioning**	1: centered (default = 1)	MAY if
		Compression=7
		and Photometric-
		Interpretation=10
Indexed	0: not a palette-color image (Default = 0)	MUST if image
	1: palette-color image	uses palette color;
		otherwise, MAY
SubIFDs**	<ifd>: byte offset to FG/BG IFDs</ifd>	MAY
XPosition**	horizontal offset in primary IFD resolution units	MAY

<sup>\*\* (</sup>If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in

<sup>(</sup>If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

YPosition**	vertical offset in primary IFD resolution units	MAY
JPEGTables**	n: file pointer to JPEG quantization and/or	MAY
	Huffman tables	

<sup>\*</sup> Receiver SHOULD support this field.

Note: Fields that the Receiver MAY support have no asterisks in either the field name or the values column

Table 16. UIF Profile M New Fields

New Fields	Values	Sender Conformance
Decode**	minL, maxL, mina, maxa, minb, maxb: minimum	MUST if
	and maximum values for L*a*b*	Photometric-
		Interpretation=10
ImageBaseColor**	a,b,c: background color in ITULAB	MAY
StripRowCounts**	n: number of scanlines in each strip	MAY
ImageLayer**	n, m: layer number, imaging sequence (e.g., strip number)	MAY
T82Options	0: T.85 profile of T.82 coding	MUST if
		Compression=9
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x3780000** (Bits indicating use of TIFF-FX	MUST
	Extensions 20, 21, 22, 23, 25, and 26)	
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied	SHOULD
	within this file	
CodingMethods*	n: compression algorithms used in file	SHOULD
ModeNumber*	n: version of T.44 standard	SHOULD
VersionYear*	byte sequence: year of ITU std	SHOULD

<sup>\*</sup> Receiver SHOULD support this field.

#### 3.4 Potential UIF Profiles

- While this specification was being written, a new profile, designated 'T', was being introduced as an
- extension to TIFF-FX. This new TIFF-FX profile would allow JBIG2 to be used for the lossless and
- lossy coding of black-and-white image data. JBIG2 coding can be used for UIF Documents as soon as
- the RFC for TIFF-FX Profile T is published, and the IPPFAX Working Group publishes the additional
- requirements that are needed for UIF Profile T.

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<sup>\*\* (</sup>If double asterisk is in 'Extension Fields' column) Receiver MUST support the given field and all values shown in 'Values' column.

<sup>(</sup>If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

<sup>10 \*\*</sup> Receiver MUST support the given field and all values shown in 'Values' column.

#### **Indicating Document format using MIME** 4

If the underlying transport protocol uses MIME as defined by [RFC2046], then a Sender MUST describe the TIFF-FX data using one of two possible MIME content types, depending on which UIF Profiles are included in the Document. If the Document contains only TIFF-FX Profile S and/or UIF Profile F, then the UIF data content MUST be described by the 'image/tiff' content type/subtype. Registration of the MIME type/sub-type 'image/tiff' is described in [image-tiff]. If the Document contains any UIF Profiles besides TIFF-FX Profile S and/or UIF Profile F, then the Sender MUST describe the UIF data using the 'image/tiff-fx" content type/subtype. Registration of the MIME type/sub-type "image/tiff-fx" is described in [image-tiff-fx]. The table below summarizes Sender and Receiver conformance requirements for MIME media types.

Table 17. TIFF & TIFF-FX MIME Types/Subtypes

Mime Media Type/Subtype	Description	Sender support	Receiver support
image/tiff [image-tiff]	TIFF format [TIFF]	MUST	MUST
image/tiff-fx [image-tiff-fx]	TIFF-FX format [tiff-fx], [tiff-fx-ext1]	MAY	MAY

# 5

References

[image-tiff] 16

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# 6 Revision History (to be removed when standard is approved)

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Revision	Date	Author	Notes
1	1/16/01	Paul Moore, Netreon	Initial version
2	1/28/01	Gail Songer, Netreon	Added formal definition of new attributes
3	4/11/01	John Pulera, Minolta	Added UIF-specific Profile U and described UIF support for other TIFF-FX profiles
4	5/07/01	John Pulera, Minolta	Modifications made at Portland meeting.
5	6/14/01	John Pulera, Minolta	Added description of UIF profiles and minimal capabilities strings; generalized document so there is no dependence on IPP.
D0.6	7/25/01	John Pulera, Minolta	Expanded Sender conformance requirements for UIF profiles and MIME; other modifications per June teleconference.
D0.7	10/16/01	John Pulera, Minolta	Redefined UIF Profiles to be TIFF-FX profiles using TIFF-FX extensions; moved capabilities communication to an informative appendix.
D0.8	10/30/01	John Pulera, Minolta Tom Hastings, Xerox	Clarified terminology to make clear that UIF is TIFF-FX plus specific TIFF-FX extensions; other editorial changes.
D0.9	01/29/02	John Pulera, Minolta	Moved definition of new TIFF- FX extensions to Appendix B; removed definition of UIF Profile S; changes to Appendix A CONNEG strings.
D0.10	02/15/02	John Pulera, Minolta	Removed conformance terminology from informative appendices; changed Appendix A CONNEG strings; creation of new Appendix C.

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### Appendix A. Capabilities communication (Informative)

- 3 This informative appendix is intended to suggest a means of capabilities communication that would
- 4 allow a protocol using the UIF data format to discover what a potential UIF-compatible Receiver
- 5 supports in terms of resolution, encoding, drawing surface, etc. As such, the conformance terminology
- 6 used in this Appendix applies only to protocols that choose to implement capabilities communication
- as it is described in this Appendix. Section A.6 lists the Conformance requirements for protocols that
- 8 implement capabilities communication as it is described in this appendix.
- 9 To discover a potential Receiver's capabilities, a UIF Sender should query in a protocol-specific
- manner either the UIF Profiles supported (see section A.2) or the Receiver capabilities string (see
- section A.1). If the Sender wants to send a UIF Document using any OPTIONAL features outside the
- profile-specific baseline level (see baseline levels shown in section A.1.1), then the Sender should
- 13 query the Receiver for the capabilities string. The Sender should also query the Receiver to determine
- the media that is supported, and the media that is not only supported but ready. The UIF Profiles
- supported, media supported, and media ready are excluded from the Receiver capabilities string so that
- a full Sender-side implementation of CONNEG is unnecessary if a UIF Sender decides to support only
- the minimum capabilities for a given profile (see Section 4.1.2).

### A.1 Receiver capabilities string

- 19 A valid Receiver capabilities string should be any well-formed CONNEG string obeying the syntax
- specified in [RFC2533] and using the feature tag and tag values described in [RFC2879]. A UIF
- 21 Sender may request the Receiver capabilities string. A UIF Receiver should return a Receiver
- 22 capabilities string if a Sender requests it. The Receiver capabilities string is not expected to be more
- than 32Kb in length. The capabilities announced by the Receiver should indicate those things that it
- 24 can do without operator intervention. For example if the Receiver has a manually interchangeable print
- cartridge with only the black cartridge loaded, it should only indicate support for "color=binary". The
- 26 method of transport is protocol-dependent and beyond the scope of this document.

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### A.1.1 Minimum Receiver capabilities

- 29 Requiring a minimum set of Receiver capabilities on a profile-specific basis is useful because it
- guarantees a baseline level of compatibility between a Sender and a Receiver.
- 31 The CONNEG expressions listed in the following subsections summarize the minimum set of
- 32 capabilities that a Receiver should support before advertising support for a given profile. See
- 33 [RFC2879] for a complete description of the feature tags tokens. The color profiles (UIF Profiles C
- and L) have been broken down further into minimum capabilities specification for both grayscale-only
- and full-color implementations. Note that although the 'paper-size', 'size-x', and 'ua-media' tags do
- 36 not appear in each of the minimum Receiver capabilities strings shown, a CONNEG-capable Receiver
- 37 should use these feature tags in its CONNEG capabilities string for compatibility with other CONNEG
- 38 implementations.

- 1 TIFF-FX Profile S is intended to provide guaranteed exchange between Senders and Receivers of
- 2 TIFF-FX Documents. Thus, the TIFF-FX Profile S minimum capabilities string cannot be "enhanced"
- 3 with extra features, resolutions, etc.

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### A.1.1.1 Minimum capabilities for TIFF-FX Profile S

```
6
7
     (& (image-file-structure=TIFF-minimal)
8
        (MRC-mode=0)
9
        (image-coding=MH)
10
        (color=Binary)
11
        (| (& (dpi=200)
12
              (dpi-xyratio=[200/100,200/200])
13
              (size-x=1728/200))
14
           (& (dpi=204)
15
              (dpi-xyratio=[204/98,204/196])
16
              (size-x=1728/204))
17
        (paper-size=A4) )
18
```

### A.1.1.2 Minimum capabilities for UIF Profile F

```
(| (& (image-file-structure=TIFF-minimal)
      (MRC-mode=0)
      (image-coding=MH)
      (color=Binary)
      (| (& (dpi=200)
            (dpi-xyratio=[200/100,200/200])
            (size-x=1728/200))
         (& (dpi=204)
            (dpi-xyratio=[204/98,204/196])
                                                       (size-x=1728/204)))
  (& (image-file-structure=TIFF-limited)
      (MRC-mode=0)
      (image-coding=MMR)
      (color=Binary)
      (dpi=[200,300,600])
      (dpi-xyratio=1) ) )
```

### A.1.1.3 Minimum capabilities for UIF Profile J

```
38
     (| (& (image-file-structure=TIFF-minimal)
39
           (MRC-mode=0)
40
           (image-coding=MH)
41
           (color=Binary)
42
           (| (& (dpi=200)
43
                 (dpi-xyratio=[200/100,200/200])
44
                 (size-x=1728/200))
45
              (& (dpi=204)
46
                 (dpi-xyratio=[204/98,204/196])
47
                 (size-x=1728/200)))
48
        (& (image-file-structure=TIFF-limited)
49
           (MRC-mode=0)
```

```
1     (image-coding=JBIG)
2     (image-coding-constraint=JBIG-T85)
3     (color=Binary)
4     (JBIG-stripe-size=128)
5     (dpi=[200,300,600])
6     (dpi-xyratio=1) )
```

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### A.1.1.4 Minimum capabilities for UIF Profile C

- 8 Minimum capabilities for UIF Profile C can be subdivided into a listing of minimum capabilities for a
- 9 baseline grayscale implementation and a listing of minimum capabilities for a full color
- implementation. Subdividing the minimum capabilities in such a way gives the Sender the flexibility to
- encode grayscale and/or full color data without the need for a full CONNEG implementation.

### A.1.1.4.1 Minimum grayscale capabilities for UIF Profile C

```
13
     (| (& (image-file-structure=TIFF-minimal)
14
            (MRC-mode=0)
15
           (image-coding=MH)
16
           (color=Binary)
17
           (| (& (dpi=200)
18
                  (dpi-xyratio=[200/100,200/200])
19
                  (size-x=1728/200))
20
               (& (dpi=204)
21
                  (dpi-xyratio=[204/98,204/196])
22
                  (size-x=1728/200)))
23
        (& (image-file-structure=TIFF-limited)
24
           (MRC-mode=0)
25
            (color=grey)
26
27
28
           (image-coding=JPEG)
           (image-coding-constraint=JPEG-T4E)
           (color-levels<=256)
29
           (color-space=CIELAB)
30
           (color-illuminant=D50)
31
           (CIELAB-L-min>=0)
32
           (CIELAB-L-max<=100)
33
           (dpi=[200,300])
34
           (dpi-xyratio=1) ) )
35
```

### A1.1.4.2 Minimum full color capabilities for UIF Profile C

```
37
     (| (& (image-file-structure=TIFF-minimal)
38
           (MRC-mode=0)
39
           (image-coding=MH)
40
           (color=Binary)
41
           (| (& (dpi=200)
42
                  (dpi-xyratio=[200/100,200/200])
43
                  (size-x=1728/200))
44
              (& (dpi=204)
45
                  (dpi-xyratio=[204/98,204/196])
46
                  (size-x=1728/200)))
47
        (& (image-file-structure=TIFF-limited)
48
           (MRC-mode=0)
49
           (color=grey)
50
           (image-coding=JPEG)
```

```
1
           (image-coding-constraint=JPEG-T4E)
2345678
            (color-levels<=256)
           (color-space=CIELAB)
           (color-illuminant=D50)
            (CIELAB-L-min>=0)
           (CIELAB-L-max<=100)
           (dpi=[200,300])
           (dpi-xyratio=1) )
9
        (& (image-file-structure=TIFF-limited)
10
           (MRC-mode=0)
11
           (color=full)
12
           (image-coding=JPEG)
13
           (image-coding-constraint=JPEG-T4E)
14
           (color-subsampling="4:1:1")
15
           (color-levels<=16777216)
16
           (color-space=CIELAB)
17
           (color-illuminant=D50)
18
           (CIELAB-L-min>=0)
19
           (CIELAB-L-max<=100)
20
           (CIELAB-a-min>=-85)
21
           (CIELAB-a-max<=85)
22
           (CIELAB-b-min>=-75)
23
           (CIELAB-b-max<=125)
24
           (dpi=[200,300])
25
           (dpi-xyratio=1) ) )
26
```

### A.1.1.5 Minimum capabilities for UIF Profile L

- As with UIF Profile C, minimum capabilities for UIF Profile L can be subdivided into a listing of
- 29 minimum capabilities for a baseline grayscale implementation and a listing of minimum capabilities
- 30 for a full color implementation. Subdividing the minimum capabilities in such a way gives the Sender
- 31 the flexibility to encode grayscale and/or full color data without the need for a full CONNEG
- 32 implementation.

27

33

### A.1.1.5.1 Minimum grayscale capabilities for UIF Profile L

```
34
35
     (| (& (image-file-structure=TIFF-minimal)
36
           (MRC-mode=0)
37
           (image-coding=MH)
38
           (color=Binary)
39
           (| (& (dpi=200)
40
                  (dpi-xyratio=[200/100,200/200])
41
                  (size-x=1728/200))
42
              (& (dpi=204)
43
                  (dpi-xyratio=[204/98,204/196]) ) ) )
44
                  (size-x=1728/200) ) )
45
        (& (image-file-structure=TIFF-limited)
46
           (MRC-mode=0)
47
           (color=grey)
48
           (| (& (image-coding=JPEG)
49
                  (image-coding-constraint=JPEG-T4E) )
50
              (& (image-coding=JBIG)
51
                  (image-coding-constraint=JBIG-T43)
```

### A.1.1.5.2 Minimum full color capabilities for UIF Profile L

```
11
12
     (| (& (image-file-structure=TIFF-minimal)
13
            (MRC-mode=0)
14
            (image-coding=MH)
15
           (color=Binary)
16
           (| (& (dpi=200)
17
                  (dpi-xyratio=[200/100,200/200])
18
                  (size-x=1728/200))
19
               (& (dpi=204)
20
                  (dpi-xyratio=[204/98,204/196])
21
22
                  (size-x=1728/200)))
        (& (image-file-structure=TIFF-limited)
23
24
           (MRC-mode=0)
            (color=grey)
25
           (| (& (image-coding=JPEG)
26
                  (image-coding-constraint=JPEG-T4E) )
27
28
29
               (& (image-coding=JBIG)
                  (image-coding-constraint=JBIG-T43)
                  (JBIG-stripe-size=128)
30
                  (image-interleave=stripe) ) )
31
           (color-space=CIELAB)
32
           (color-levels<=256)
33
           (color-illuminant=D50)
34
           (CIELAB-L-min>=0)
35
           (CIELAB-L-max<=100)
36
           (dpi=[200,300])
37
           (dpi-xyratio=1) )
38
        (& (image-file-structure=TIFF-limited)
39
           (MRC-mode=0)
40
            (color=full)
41
           (| (& (image-coding=JPEG)
42
                  (image-coding-constraint=JPEG-T4E)
43
                  (color-subsampling=["1:1:1","4:1:1"]) )
44
               (& (image-coding=JBIG)
45
                  (image-coding-constraint=JBIG-T43)
46
                  (JBIG-stripe-size=128)
47
                  (image-interleave=stripe) ) )
48
           (color-levels<=16777216)
49
           (color-space=CIELAB)
50
           (color-illuminant=D50)
51
52
           (CIELAB-L-min>=0)
           (CIELAB-L-max<=100)
53
           (CIELAB-a-min>=-85)
54
           (CIELAB-a-max<=85)
55
           (CIELAB-b-min>=-75)
```

```
1
           (CIELAB-b-max<=125)
 2
            (dpi=[100,200,300])
 3
           (dpi-xyratio=1) ) )
 4
     A.1.1.6 Minimum capabilities for UIF Profile M
5
     ( | (& (image-file-structure=TIFF-minimal)
           (MRC-mode=0)
 8
           (image-coding=MH)
9
           (color=Binary)
10
           (| (& (dpi=200)
11
                  (dpi-xyratio=[200/100,200/200])
12
                  (size-x=1728/200))
13
               (& (dpi=204)
14
                  (dpi-xyratio=[204/98,204/196])
15
                  (size-x=1728/200)))
16
        (& (image-file-structure=TIFF-limited)
17
            (MRC-mode=0)
18
           (color=full)
19
           (image-coding=JPEG)
20
           (image-coding-constraint=JPEG-T4E)
21
           (color-subsampling="4:1:1")
22
           (color-levels<=16777216)
23
           (color-space=CIELAB)
24
           (color-illuminant=D50)
25
           (CIELAB-L-min>=0)
26
           (CIELAB-L-max<=100)
\overline{27}
           (CIELAB-a-min>=-85)
28
           (CIELAB-a-max<=85)
29
           (CIELAB-b-min>=-75)
30
           (CIELAB-b-max<=125)
31
           (dpi=[200,300])(dpi-xyratio=1) )
32
        (& (image-file-structure=TIFF-MRC-limited)
33
           (MRC-mode=1)
34
           (MRC-max-stripe-size<=256)
35
            (dpi=[200,300,400])
36
            (dpi-xyratio=1) )
37
           (| (& (image-file-structure=TIFF-minimal)
38
                  (color=Binary)
39
                  (image-coding=MH)
40
               (& (image-file-structure=TIFF-limited)
41
                  (color=full)
42
                  (image-coding=JPEG)
43
                  (image-coding-constraint=JPEG-T4E)
44
                  (color-subsampling="4:1:1")
45
                  (color-levels<=16777216)
46
                  (color-space=CIELAB)
47
                  (color-illuminant=D50)
48
                  (CIELAB-L-min>=0)
49
                  (CIELAB-L-max<=100)
50
                  (CIELAB-a-min>=-85)
51
                  (CIELAB-a-max<=85)
52
                  (CIELAB-b-min>=-75)
53
                  (CIELAB-b-max<=125) ) ) )
```

### A.1.2 Auxiliary Predicates

2

1

- 3 In addition to the CONNEG tags and tag values defined in [RFC2879] and shown in Section A.1.1, the
- 4 capabilities string may include the "auxiliary predicates" defined in the following subsections.
- 5 Auxiliary predicates are introduced in [RFC2533].

### 6 A.1.2.1 Definition of profile-related auxiliary predicates

7 The new CONNEG auxiliary predicate values 'profile-tiff-fx-s', 'profile-uif-f', 'profile-uif-j', 'profile-

- 8 uif-cg', 'profile-uif-c', 'profile-uif-lg', 'profile-uif-l', and 'profile-uif-m' shall be registered with the
- 9 relevant authoritative body. Use of these new auxiliary predicates allows a Receiver to more concisely
- 10 represent its feature set with CONNEG.

1112

The CONNEG auxiliary predicate "profile-tiff-fx-s" is defined to expand as

```
13
        (& (image-file-structure=TIFF-minimal)
14
           (MRC-mode=0)
15
           (image-coding=MH)
16
           (color=Binary)
17
           (| (& (dpi=200)
18
                  (dpi-xyratio=[200/100,200/200]) )
19
               (& (dpi=204)
20
                  (dpi-xyratio=[204/98,204/196]) ) ) )
```

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25

26

27

The CONNEG auxiliary predicate "profile-uif-f" is defined to expand as

```
(& (image-file-structure=TIFF-limited)
  (MRC-mode=0)
  (image-coding=MMR)
  (color=Binary) )
```

2829

30

The CONNEG auxiliary predicate "profile-uif-j" is defined to expand as

```
31 (& (image-file-structure=TIFF-limited)
32 (MRC-mode=0)
33 (image-coding=JBIG)
34 (image-coding-constraint=JBIG-T85)
35 (color=Binary)
36 (JBIG-stripe-size=128))
```

38

39

The CONNEG auxiliary predicate "profile-uif-cg" is defined to expand as

```
40 (& (image-file-structure=TIFF-limited)
41 (MRC-mode=0)
42 (color=grey)
```

```
1
            (image-coding=JPEG)
 2
3
4
5
            (image-coding-constraint=JPEG-T4E)
            (color-levels<=256)
            (color-space=CIELAB)
            (color-illuminant=D50)
            (CIELAB-L-min>=0)
            (CIELAB-L-max<=100) )
 8
 9
10
     The CONNEG auxiliary predicate "profile-uif-c" is defined to expand as
11
         (& (image-file-structure=TIFF-limited)
12
            (MRC-mode=0)
13
            (color=full)
14
            (image-coding=JPEG)
15
            (image-coding-constraint=JPEG-T4E)
16
            (color-subsampling="4:1:1")
17
            (color-levels<=16777216)
18
            (color-space=CIELAB)
19
            (color-illuminant=D50)
20
            (CIELAB-L-min>=0)
21
22
23
            (CIELAB-L-max<=100)
            (CIELAB-a-min>=-85)
            (CIELAB-a-max<=85)
24
            (CIELAB-b-min>=-75)
25
            (CIELAB-b-max<=125) )
26
27
28
     The CONNEG auxiliary predicate "profile-uif-lg" is defined to expand as
29
         (& (image-file-structure=TIFF-limited)
30
            (MRC-mode=0)
31
            (color=grey)
32
            (image-coding=JBIG)
33
            (image-coding-constraint=JBIG-T43)
34
            (JBIG-stripe-size=128)
35
            (image-interleave=stripe)
36
            (color-space=CIELAB)
37
            (color-levels<=256)
38
            (color-illuminant=D50)
39
            (CIELAB-L-min>=0)
40
            (CIELAB-L-max<=100) )
41
42
43
     The CONNEG auxiliary predicate "profile-uif-l" is defined to expand as
44
         (& (image-file-structure=TIFF-limited)
45
            (MRC-mode=0)
46
            (color=full)
47
            (image-coding=JBIG)
48
            (image-coding-constraint=JBIG-T43)
49
            (JBIG-stripe-size=128)
50
            (image-interleave=stripe)
```

```
1 (color-levels<=16777216)
2 (color-space=CIELAB)
3 (color-illuminant=D50)
4 (CIELAB-L-min>=0)
5 (CIELAB-L-max<=100)
6 (CIELAB-a-min>=-85)
7 (CIELAB-a-max<=85)
8 (CIELAB-b-min>=-75)
9 (CIELAB-b-max<=125))
```

12

28

29

30

31

### A.1.2.2 Application of auxiliary predicates

- 13 Use of these new auxiliary predicates allows a Receiver to more concisely represent its feature set with
- 14 CONNEG. Note that frequently varied features (i.e., 'dpi' and 'dpi-xyratio') have been left out of the
- auxiliary predicates defined in Section A.1.2.1. Also note that feature tags for which a "minimum"
- level of support is not indicated in the minimum receiver capability strings shown in sections A.1.1.1
- through A1.1.6 (i.e., 'paper-size', 'size-x', and 'ua-media'). In both cases, these feature tags should be
- logically "AND-ed" with the auxiliary predicates defined in Section A.1.2.1.
- For example, a Device that supports UIF Profile F and no optional resolutions could advertise the following:

As another example, a Receiver that supports UIF Profile F with optional resolution support of 1200x1200 dpi and UIF Profile C with optional resolution support for 600x600 dpi could advertise the following:

```
32
     (| (profile-tiff-fx-s)
33
         (& (paper-size=[letter,A4])
34
            (size-x <= 2150/254)
35
            (ua-media=stationery)
36
            (& (profile-uif-f)
37
               (dpi=[200,300,600,1200])
38
               (dpi-xyratio=1) )
39
            (& (profile-uif-c)
40
               (dpi=[200,300,600])
41
               (dpi-xyratio=1) ) ))
42
     or, equivalently,
43
     (| (profile-tiff-fx-s)
44
        (& (profile-uif-f)
45
            (dpi=[200,300,600,1200])
46
            (dpi-xyratio=1) )
47
            (paper-size=[letter,A4])
```

11

45

46

As a final example, the auxiliary predicates defined earlier allow the composite UIF Profile M to take the form shown below:

```
12
     (| (profile-tiff-fx-s)
13
         (& (profile-uif-c)
14
            (dpi=[200,300])
15
            (dpi-xyratio=1)
16
            (paper-size=[letter,A4])
17
            (size-x <= 2150/254)
18
            (ua-media=stationery) )
19
        (& (image-file-structure=TIFF-MRC-limited)
20
            (MRC-mode=1)
21
            (MRC-max-stripe-size<=256)
22
            (dpi=[200,300,400])
23
            (dpi-xyratio=1)
24
            (| (& (image-file-structure=TIFF-minimal)
25
                   (color=Binary)
26
27
28
29
30
31
32
33
34
                   (image-coding=MH) )
               (& (image-file-structure=TIFF-limited)
                  (color=full)
                  (image-coding=JPEG)
                  (image-coding-constraint=JPEG-T4E)
                  (color-subsampling="4:1:1")
                   (color-levels<=16777216)
                   (color-space=CIELAB)
                  (color-illuminant=D50)
35
                  (CIELAB-L-min>=0)
36
                  (CIELAB-L-max<=100)
37
                  (CIELAB-a-min>=-85)
38
                  (CIELAB-a-max<=85)
39
                  (CIELAB-b-min>=-75)
40
                  (CIELAB-b-max<=125) ) )
41
            (paper-size=[letter,A4])
42
            (size-x <= 2150/254)
43
            (ua-media=stationery) ) )
44
```

### A.2 UIF Profiles supported

- 47 A UIF Sender should query the potential UIF Receiver for the UIF Profiles supported by the Receiver.
- 48 A UIF Receiver should respond with the UIF Profiles that it supports. When a Receiver indicates the
- document formats / profiles that are supported, the list should include all the UIF Profiles described in
- this document that are supported and, if UIF Profile M is supported, all of the combinations with UIF-
- Profile M that are supported. The Sender should interpret a missing or otherwise invalid response as an

- 1 indication that the Receiver does not support UIF. The method of transport and the actual data values
- 2 used to indicate supported UIF Profiles are protocol-specific and beyond the scope of this document.

### 3 A.3 Media supported

- 4 A UIF Sender should query the potential UIF Receiver for media supported. A UIF Receiver should
- 5 respond with the media supported by the Receiver (e.g., letter, legal, A4, etc.). The method of
- 6 transport, the valid range of media, and the actual data values used to indicate supported media are
- 7 protocol-specific and beyond the scope of this document; however, the Sender should be able to infer
- 8 actual dimensions from the media values used.

### 9 A.4 Media ready

- 10 A UIF Sender should query the potential UIF Receiver for media ready. A UIF Receiver should
- respond with the subset of media supported that is ready to print with no user intervention. The method
- of transport, the valid range of media, and the actual data values used to indicate ready media are
- protocol-specific and beyond the scope of this document; however, the Sender should be able to infer
- 14 actual dimensions from the media values used.

### A.5 Image reduction supported

- 16 A UIF Sender may query the potential UIF Receiver to determine whether or not image reduction is
- supported. A Receiver should be capable of indicating whether or not it supports image reduction. The
- method by which this query occurs is protocol-specific and beyond the scope of this document.

19

15

20

## 1 Appendix B. UIF-related Extensions to TIFF-FX

2

- 3 This appendix describes TIFF-FX extensions intended to complement those found in [tiff-fx-ext1] and
- 4 provide the necessary level of conformance for UIF Documents. It is to be removed once the definition
- 5 of TIFF-FX Extensions 20 through 26 have been formalized in a separate document.

### 6 B.1 TIFF-FX Extension 20: Relaxed Image Widths and Resolutions

- 7 The allowances shown below supersede the TIFF-FX requirements specified in [RFC2301] concerning
- 8 the ImageWidth, XResolution, and YResolution TIFF fields:
- If this TIFF-FX Extension is supported, then the ImageWidth, XResolution, and YResolution TIFF fields are not constrained to the set of resolutions specified in [RFC2301]; however, the.
- Receiver MUST support the image width & length that are determined by the media size and
- resolutions supported.

### 13 B.2 TIFF-FX Extensions 21 – Required Resolution

- 14 The requirement shown below supersedes the TIFF-FX requirements in [RFC2301] concerning the
- 15 XResolution, YResolution, and ResolutionUnit TIFF fields:
- If this TIFF-FX Extension is supported, then Receivers MUST support
- 17 XResolution=YResolution=200 and ResolutionUnit=2 (inches)

## 18 B.3 TIFF-FX Extensions 22 – Required Resolution

- 19 The requirement shown below supersedes the TIFF-FX requirements in [RFC2301] concerning the
- 20 XResolution, YResolution, and ResolutionUnit TIFF fields:
- If this TIFF-FX Extension is supported, then Receivers MUST support
- 22 XResolution=YResolution=300 and ResolutionUnit=2 (inches)

# 23 B.4 TIFF-FX Extensions 23 – Required Resolution

- 24 The requirement shown below supersedes the TIFF-FX requirements in [RFC2301] concerning the
- 25 XResolution, YResolution, and ResolutionUnit TIFF fields:
- If this TIFF-FX Extension is supported, then Receivers MUST support
- 27 XResolution=YResolution=400 and ResolutionUnit=2 (inches)

# 28 B.5 TIFF-FX Extensions 24 – Required Resolution

- 29 The requirement shown below supersedes the TIFF-FX requirements in [RFC2301] concerning the
- 30 XResolution, YResolution, and ResolutionUnit TIFF fields:
- If this TIFF-FX Extension is supported, then Receivers MUST support
- 32 XResolution=YResolution=600 and ResolutionUnit=2 (inches)

# 1 B.6 TIFF-FX Extensions 25 – Required Field

4

5

11

- 2 The requirement shown below supersedes the conformance found in [tiff-fx-ext1] concerning the
- 3 JPEGTables field (see [TTN2] for a description of the JPEGTables field):
  - If this TIFF-FX Extension is supported, then Receivers MUST support the use the JPEGTables Extension Field

### 6 B.7 TIFF-FX Extension 26 – Required Compression

- 7 The requirement shown below supersedes TIFF-FX requirements in [RFC2301] concerning the required Compression TIFF field:
- If this TIFF-FX Extension is supported, Receivers MUST support Resolution=4 (2-dimensional MMR encoding as defined in [T.6]) and T6Options=0.

### 1 Appendix C. Suggested Sender/Receiver Behavior (Informative)

- 2 Appendix C is intended to provide suggested Sender and Receiver behavior. Actual Sender and
- 3 Receiver is determined by the protocol used to transmit the UIF Document.

### 4 C.1 Image-Reduction

- 5 It is possible that a Sender might send an image that does not match the announced drawing surface of
- 6 the Receiver (for example a Sender may have an image that it cannot change). In this case the Sender
- 7 may indicate to the Receiver in a protocol-specific manner whether or not the Receiver is to reduce the
- 8 image.
- 9 If the Receiver does not support image reduction and the received image dimensions are larger than
- what is allowed by the supported media, then the Receiver should flow extra data to the next page. If
- the Receiver does support image reduction, then the Sender MAY request in a protocol-specific
- manner that the Receiver use image-reduction if necessary. If the Receiver receives such a request, and
- the received image dimensions are larger than what is allowed by the supported media, then the
- Receiver should reduce the image so as to fit it to the page while maintaining the aspect ratio. If the
- Receiver uses image reduction, the Receiver should determine if reduction is necessary for each page
- and if so, apply reduction. The scaling is calculated separately for each page. The scaling applies to all
- pages of the Document unless the protocol used by the Sender and Receiver supports a means of
- specifying image reduction on a page-by-page basis (e.g., IPPFAX's potential use of page level
- 19 overrides[ipp-override]).

### 20 C.2 Intra-Document media selection

- 21 When the image dimensions are different on a page-by-page basis such that use of a single type of
- 22 media is not possible without scaling, the Sender / Receiver protocol should arbitrate media selection.
- The ImageWidth and ImageLength TIFF tags should not select the media.