- 1 Subj: IPP Bake Off 2 Issues
- 2 From: Peter Zehler, Tom Hastings, and Bob Herriot
- 3 File: Issues-raised-at-Bake-Off2.doc
- 4 Version: 1.84
- 5 Date: <u>5/10</u>4/12/1999

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- This version incorporates the discussion on the mailing list and three telecons held 3/24/99, 3/31/99, and
- 8 4/7/99 and the New Orleans meeting, 4/14-4/15 and the 4/21/99, 4/28/99, and 5/5/99 telecons on
- 9 resolving the IPP/1.1 issues raised at Bake Off 2. The revision marks show changes since the 4/12/1999
- version. In the suggested text, the revision marks show changes from the existing text in the IPP/1.0
- 11 Model and Semantics document (RFC 2566).
- 12 NOTE: Since the Model and Semantics document and the Encoding and Transport documents are going
- to cover both IPP/1.0 and IPP/1.1, as agreed at the March IETF meeting, any issue that does not mention
- 14 IPP/1.0 or IPP/1.1 explicitly means that the resolution applies to BOTH IPP/1.0 and IPP/1.1 in the same
- way. Only if IPP/1.0 and/or IPP/1.1 is mentioned explicitly is there to be a difference explicitly stated in
- 16 the resulting IPP/1.1 standards track document that covers both IPP/1.0 (non-standards track) and
- 17 IPP/1.1 (standards track). We've taken the issues that Peter published in the Bake Off 2 Summary and
- started a separate file. We've add some additional information that we gathered at the Bake Off with the
- 19 people raising the issues. We've also added to each issue, either a list of "possible alternatives" or a
- 20 "suggested clarification", "suggested change", or "suggested addition" for the discussion, so that we can
- 21 reach agreement as soon as possible. Finally, we've added "suggested text" with proposed resolutions.
- 22 This text is what has been published in the May 10 Internet Draft. Please feel free to add additional
- 23 alternatives or disagree with our suggested clarifications or additions or suggested text via e-mail so that
- 24 the group may have the widest possible set of alternatives to choose from. All the additional material is
- 25 indicated with revision marks from the issues list that Peter Zehler published March 19, 1999.

Status of Issues and Summary

- 27 This section lists the status of each issue and a brief summary. The next section is the detailed
- description of the issue and the resolution or alternatives, if the issue is still OPEN. Please review this
- 29 status and the detailed issues to see if you agree or disagree with the status so far. Silence will be
- interpreted as agreement.
- Note: These are issues that are to be resolved in the IPP/1.1 documents before forwarding them to the
- 32 IESG for publication as proposed standards. The IPP/1.0 documents have already been forwarded to the
- 33 RFC Editor after approval by the IESG for publication as Informational RFCs, so these issues and their
- 34 resolution will not affect the IPP/1.0 documents.
- 35 Status codes:
- 36 AGREED agreement on the telecon on the suggested clarification, suggested change, or
- suggested. Subsequence silence on the DL will be interpreted as agreement. If you disagree,
- please indicate this to the ipp@pwg.org DL with the subject line containing: "MOD Issue nn
- 39 ...", where nn is the Issue number, and ... is the brief description of the issue.
- 40 OPEN still being discussed at future telecons and on the DLAll 36 issues have been closed.
- 41 OPEN issues remaining: 2, 17, 30, 31, 32, and 33nonenone.

- 43 1) ISSUE: Is 'application/octet-stream REQUIRED?
- Suggested change: AGREED no, change 1.1 back to agree with 1.0.

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- 46 2) ISSUE: How can client force identified (authenticated) mode?
- 47 Possible alternatives: OPEN AGREED Add a "uri-authentication-supported (1setOf type2 keyword)"
- 48 REQUIRED Printer Description attribute that identifies the authentication mechanism associated with
- 49 <u>each URI listed in the "printer-uri-supported" attribute. Also add this attribute as a RECOMMENDED</u>
- directory schema attribute in the Directory Appendix E.
- 51 <u>IIG: Add examples that show using suffixes to the URL to make multiple URLs, when distinct URLs</u>
- are needed.alternatives being discussed: new operation, two URLs, its not a problem. Also relationship
- 53 to SLP template.

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- 55 3) ISSUE: How reject down stream auto-sensed unsupported PDL?
- Suggested addition (similar addition for "compression" in Issue 6): AGREED add 'unsupported-
- 57 document-format' and 'document-format-error' job state reasons.
- 58 IIG: Add an example showing a PostScript Level 3 job being aborted by a PostScript Level 2 printer.

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- 4) ISSUE: Client (desktop or server) closes slow channel
- 61 Suggested clarification (same as Issues 5 and 20): AGREED that client MUST-SHOULD NOT close
- channel, unless user indicates or policythe layer that initiated the submission does the close. RAISE on
- 63 DL explicitly to verify AGREEMENT.
- 64 IIG: Suggest that a client implementer avoid using synchronous writes, since they automatically close
- 65 the channel. Use asynchronous writes instead, so that the lower layer doesn't time out the channel.

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- 5) ISSUE: Client (desktop or server) closes stopped device
- 68 Suggested clarification (same as Issues 4 and 20): AGREED that client MUST SHOULD NOT close
- 69 channel, unless user indicates or policy. RAISE on DL explicitly to verify AGREEMENT.
- 70 <u>IIG: Add examples.</u>

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72 6) ISSUE: What error if wrong compressed data supplied?

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- 73 Suggested addition (similar addition for document-format in Issue 3; see related Issue 28): AGREED -
- add 'client-error-compression-error' status code and 'compression-error' and 'unsupported-compression'
- 75 job state reasons.

- 77 7) ISSUE: Please implement Manufacturer make and model printer attribute and send the .INF file
- 78 model name of the printer.
- 79 AGREED Leave the description of "make" ambiguous in the Model.
- 80 Suggested clarification for the IIG: <u>Document what Microsoft does with "printer-make-and-model".</u>
- 81 Document what any other platform does with this or similar attributes as suggested by
- 82 <u>participants. OPEN Recommend that the value contain the vendor name and the model in that order.</u>

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- 84 8) ISSUE: In IPP/1.0 Model and Semantics 3.2.6.1, the definition for "limit", "which-jobs" and "my-
- 85 jobs" is contradicting each other.
- 86 Suggested clarification: AGREED clarify the "limit" limits the number so that the other two don't have
- 87 to return ALL.

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- 89 9) ISSUE: Customers become very unhappy when they go to the printer to pick up their job and a ream
- 90 of PostScript source code is sitting in the output bin.
- 91 Suggested clarification: AGREED clarify that application/octet-stream (auto-sense) can happen at
- 92 submit time and/or processing time, depending on implementation. If auto-sense detects an unsupported
- 93 document format at submit time, it returns the 'client-error-document-format-not-supported' error status
- ode and rejects the create request.

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- 96 10) ISSUE: How distinguish between submit vs processing auto-sense?
- 97 Suggested clarification in [ipp-mod] and [ipp-iig]: AGREED clarify in [ipp-mod] that auto-sense
- 98 MAY happen at either submit-time and/or processing-time. In IIG explain that with compression, it is
- 99 much harder to auto-sense at submit time, since some compression methods require processing the entire
- 100 file. Do NOT add a way for the client to determine whether auto-sensing happens at submit time or
- processing time.

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- 103 11) ISSUE: Return what attributes with 'client-error-document-format-not-supported'?
- Suggested clarification (see also Issues 18 and 23): AGREED IPP/1.1 MUST_NEED NOT return
- "document-format=xxx" in Unsupported Attribute Group even though a special error status code, to
- make this error consistent with the rules for unsupported attributes. Propose to DL explicitly, since not

- 107 many implementations did return the attribute. In IPP/1.1 document say that IPP/1.0 MAY, but NEED 108 NOT. 109 12) ISSUE: length fields for the "UNSUPPORTED" tag 110 111 Suggested clarification (same as Issue 15): AGREED - clarify [ipp-mod] to agree with [ipp-pro] that the length MUST be 0 and no value is returned. 112 113 114 13) ISSUE: What job-state value should be returned in the Create-Job response? Suggested clarification: AGREED - can be 'pending-held', 'pending', or 'processing' (the latter for a non-115 spooling printer that doesn't implement the 'pending' job state). Add 'job-data-insufficient' job-state-116 reason for use in any of the three job states if actual ripping or marking cannot begin until sufficient data 117 has arrived. 118 Suggested clarification to IIG: AGREED - Explain the difference between the two job state reasons job-119 incoming' and 'job-data-insufficient', since both are likely to be meaningful for a spooling server. 120 121 14) ISSUE: Job-state for a forwarding server that can't get status from the device or system? 122 123 Suggested clarified and addition: AGREED - 'completed' is ok, but also add 'queued-in-device' job state reason which MUST be supported. Bring out on the DL explicitly for confirmation. 124 125 126 15) ISSUE: 'unknown' and 'unsupported' Out of band values. Suggested clarification (same clarification as Issue 12): AGREED - clarify [ipp-mod] to agree with [ipp-127 pro] that the length MUST be 0 and no value is returned. 128 129 130 16) ISSUE: Get-Printer-Attributes Polling 131 Suggested clarification in the IIG: AGREED - Add to IIG that clients SHOULD request only the attributes needed, rather than always asking for all. 132 133 134 17) ISSUE: OPEN—Client display of absolute time for job attributes? Suggested change: Change "time-at-processing (integer(0:MAX))", "time-at-processing 135
- OPTIONAL to REQUIRED. Change their range from 0:MAX to MIN:MAX so that negative times (or 137 138

(integer(0:MAX))", and "time-at-processing (integer(0:MAX))" Job Description attributes from

0) MAY be used to indicate job events that happened before the most recent power-up. REQUIRE the Zehler, Hastings, Herriot Version 1.1 page 4 of 49

139 Printer to reset its "printer-up-time" to 1 on power-up and change all persistent job time attributes to 0 or negative, eliminating the option to keep the uptime monotonically increasing across restarts so that the 140 job attribute event times did not need to be changed. Also add the 'dateTime' as a second attribute 141 syntax that MAY be supported in version 1.1 requests and responses only. 142 143 Suggested change: AGREED - Change the ranges from (0:MAX) to (MIN:MAX) for the three 144 attributes: 145 "time at creation (integer(MIN:MAX))" "time at processing (integer(MIN:MAX))" 146 "time-at-completion (integer(MIN:MAX))" 147 148 and clarify that the value can be negative for jobs that are retained across a system reboot, indicating 149 some time in the past. 150 Suggested addition: AGREED Add three OPTIONAL Job Description attributes: 151 "date-time-at-creation (dateTime)" "date-time-at-processing (dateTime)" 152 "date-time-at-completion (dateTime)" 153 154 Possible alternatives: OPEN carry on discussion on DL to add three new date/time job attributes or add dateTime attribute syntax to the existing job attributes: 155 156 IIG: Indicate how ISSUE: Make the time job attributes REQUIRED for IPP/1.1? any network printer can get time from NTP Time server. See RFC 1305. Also DHCP option 32 in RFC 2132 returns the IP 157 158 address of the NTP server. 159 18) ISSUE: Return all Job Template errors on Print-Job fidelity=true 160 Suggested clarification (same clarification as Issue 27): AGREED - all unsupported Job Template 161 attributes MUST be returned, not just the first, to agree with June IPP/1.0 draft. (In the November draft 162 this requirement was moved to the IIG, which seems to have been a mistake). 163 164 165 19) ISSUE: User Performing the Send-Document Operation 166 Suggested clarification: AGREED - same user MUST do Send-Document as did Create-Job. Same security level or higher for subsequent operations on the job. Introduce the terms: "job owner" and 167

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- 170 20) ISSUE: Non-spooling printers accept/reject additional jobs
- Suggested clarification (same as Issues 4 and 5): AGREED that IPP object MAY accept an 171
- 172 implementation-defined number of subsequent create operations, including NONE. RAISE on DL
- explicitly to verify AGREEMENT. 173

"authenticated user".

- 174 <u>IIG: Add warning to clients that an IPP Printer MAY either reject subsequent jobs and/or may accept</u>
- some, but flow control them down.

- 177 21) ISSUE: Does 'none' "uri-security-supported" mean Basic/Digest?
- 178 Suggested clarification: AGREED "uri-security-supported" does not cover this kind of HTTP
- authentication. Also add a note to refer to [ipp-pro] for authentication since some authentication is
- transport-dependent. And the new "uri-authentication-supported" attribute covers authentication. See
- 181 <u>Issue 2.</u>

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- 183 22) ISSUE: Status code on variable-length attributes that are 'too short'
- Suggested clarification in the IIG: AGREED clarify in IIG that no special processing is needed if a
- client supplied a keyword with 0 length, since the keyword will not match any "xxx-supported"
- 186 keywords.

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- 188 23) ISSUE: There seems to be some misunderstanding about the unsupported-attributes group.
- Suggested clarification (related to Issues 11 and 18): AGREED clarify that the IPP object MUST
- return only requested attributes that are unsupported.

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- 192 24) ISSUE What status does Get-Jobs return when no jobs?
- 193 Suggested clarification: AGREED MUST return 'successful-ok'.

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- 195 25) ISSUE MAY an IPP object return more Operation attributes?
- 196 Suggested clarification: AGREED client MUST process or ignore additional operation attributes
- 197 returned.

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- 199 26) ISSUE: MAY an IPP object return additional groups?
- 200 Suggested clarification: AGREED Yes, and a client MUST process or ignore additional attribute
- 201 groups returned in any order.

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203 27) ISSUE: Return first or all unsupported Job Template attributes in Unsupported Group?

- Suggested clarification (same clarification as Issue 18): AGREED all unsupported Job Template
- attributes MUST be returned, not just the first, to agree with June IPP/1.0 draft. (In the November draft
- this requirement was moved to the IIG, which seems to have been a mistake).

- 208 28) ISSUE: What if compression is supplied but not supported?
- 209 Suggested IPP/1.1 Change (related to Issues 3 and 6): AGREED CLOSED propose to the DL
- 210 explicitly that "compression" and "compression-supported" is REQUIRED for IPP/1.1 (with at least the
- inone' value), even though it is OPTIONAL for IPP/1.0. Add the 'client-error-document-format-error' for
- 212 error detected at request time with a supported document format, such as PostScript Level 3 not
- supported by a PostScript level 2 printer. Describe the priority between 'client-error-document-format-
- 214 not-supported', 'client-error-compression-not-supported', 'client-error-document-format-error', and
- 215 'client-error-compression-error' status codes. Also add "compression-supported" to the Appendix E on
- 216 directory schema as a RECOMMENDED attribute.
- 217 <u>IIG only:</u> IPP/1.0 <u>implementations</u> SHOULD at least check for the "compression" attribute being
- 218 present and reject the create request, if they don't support "compression". Not checking is a bug, since
- 219 the data will be unintelligible.
- 220 It was brought up that we need to check what compression HTTP supports and whether that would allow
- 221 <u>us to drop the "compression" attribute in IPP altogether (or use it only in Print-URI and Send-URI). The</u>
- 222 HTTP compression would have to work on POST.

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- 224 29) ISSUE: Should "queued-job-count" be REQUIRED?
- 225 Suggested change: CLOSED propose to the DL explicitly that AGREED The "queued-job-count" be
- is REQUIRED for IPP/1.1; even though it is a SHOULD for in the IPP/1.0 document.

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- 228 30) ISSUE: Should "job-state-reasons" and "printer-state-reasons" be REQUIRED in for an IPP/1.1
- 229 Printer?
- 230 Suggested change: <u>AGREED The "job-state-reasons" and "printer-state-reasons" will be REQUIRED</u>
- for IPP/1.1; OPTIONAL in IPP/1.0.OPEN—Considering that we tend to put more and more information
- 232 into the currently OPTIONAL 'job-state-reason' and 'printer-state-reason' attributes, should we make
- 233 them a MUST for the IPP/1.1 version? Raise on DL explicitly to see if there is agreement. (Discussion
- 234 in 990324 phone conference).

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- 236 31) ISSUE: How indicate a ripped job that is waiting for the marker?
- 237 Suggested addition: OPEN Three alternatives being pursued AGREED An implementation MAY use
- 238 any of the following: job stays in 'processing', job moves to 'pending', job moves to 'pending-held' job
- states. Any of the alternatives MAY use a new 'interpreted waiting to printqueued-for-marker' job state

- reason to indicate that the job has been ripped but is waiting for the marker in a high end system. The
- 241 'pending-held' state is used by systems where the Operator explicitly does a Release-Job to schedule the
- next job to be marked, while the 'pending' or 'processing' state is used by systems that choose the next
- job to mark automatically. The 'processing' state is typically used by systems that tend not to have much
- 244 time between ripping and marking.
- Also need to clarify that more than one job can be in the 'processing' state at the same time when some
- 246 are being ripped while one is being marked.

- 248 32) ISSUE: Is Digest REQUIRED for an IPP client and an IPP Printer to support?
- 249 Suggested change to Encoding and Transport document: OPEN_AGREED -
- 250 <u>1) Require an IPP Printer to at least implement either or both of:</u>
- a) HTTP Basic over a TLS secured channel (implementing TLS authentication is NOT
- 252 REQUIRED), OR,
- b) the client authentication part of HTTP Digest
- 254 <u>2) Require clients to implement at least both of the above.</u>
- 255 Ask the Area Director whether Digest MUST be supported by an IPP Printer or not.

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- 257 33) OPEN ISSUE: Ok to iInclude the IPP/1.0 conformance requirements in the IPP/1.1 document?
- 258 Suggested change: <u>AGREED No. The IPP/1.1 Model and Semantics document and the IPP/1.1</u>
- 259 Encoding and Transport document will only cover IPP/1.1. They will NOT obsolete the experimental
- 260 RFC that describes IPP/1.0.
- 261 The IPP/1.1 documents will say that for interoperability with IPP/1.0 clients, that an IPP Printer
- 262 SHOULD accept IPP/1.0 requests and respond with IPP/1.0 responses.
- The IPP/1.1 documents will NOT describe IPP/1.0 at all. However, the IPP/1.1 documents will contain
- an appendix that summarizes each difference from IPP/1.0 by section number and a brief description
- 265 (see February 1999 I-Ds).
- 266 IIG: The IIG will discuss the advantages of a Printer supporting both IPP/1.0 and IPP/1.1 to maximize
- interoperability with clients. Also discuss the advantage of a client supporting both IPP/1.0 and IPP/1.1
- 268 to maximize interoperability with IPP Printers. Most conformance requirements are the same for IPP/1.0
- and IPP/1.1. For those make no special indication in the document. For those for which the
- 270 conformance is REQUIRED for IPP/1.1, but OPTIONAL for IPP/1.0, state: "IPP/1.1 xxx MUST ...;
- 271 OPTIONAL in IPP/1.0", where xxx is either clients or Printers.
- 272 34) OPEN-ISSUE: Ok to REQUIRE "multiple-document-handling if Create-Job is supported?

273	Suggested change:	Allow Create-Job and Send-Document to be supported even when only	one one

- 274 <u>document jobs are supported.</u> Add a new "multiple-document-jobs-supported (boolean) Printer
- 275 Description attribute to indicate whether or not multiple documents are supported.
- 276 <u>35) OPEN-ISSUE: What error code to return on Print-URI or Send-URI if document not accessible?</u>
- 277 <u>Suggested addition: Add both a new 'client-error-document-access-error' status code and a 'document-</u>
- 278 <u>access-error' value for "job-state-reasons", just like we have done for compression and document format</u>
- errors for Issue 3, 6, and 28.
- 280 <u>36) ISSUE: Don't require 1.0 support and add REQUIRED "version-numbers-supported" attribute</u>
- Suggested addition: RECOMMEND, rather than REQUIRE, conforming IPP/1.1 clients and the IPP/1.1
- 282 Printers to support IPP/1.0 requests and responses. Therefore, add an "ipp-versions-supported" Printer
- 283 Description attribute. Also add this attribute as RECOMMENDED in the directory schema list in the
- Appendix.

285 Detailed Descriptions of Issues and Resolutions or Alternatives.

286 1) ISSUE: Is 'application/octet-stream REQUIRED?

- Is application/octet-stream REQUIRED. IPP/1.0 appears not to require it, while IPP/1.1 indicates
- 288 "REQUIRED".

289 Suggested change:

- 290 Change IPP/1.1 Model and Semantics document back to agree with IPP/1.0 not to require support of the
- 291 'application/octet-stream' document format.

292 2) OPEN - ISSUE: How can client force identified mode?

- 293 If an IPP Printer supports both authenticated and unauthenticated access, there is no way for a client to
- force itself to be authenticated, i.e., be in identified mode, since it is the server that forces authentication
- by issuing a challenge to the client. It is very useful for a client to be able to get into identified mode as
- soon as possible. Today you have to wait to be challenged by the server, which may never happen or
- 297 happens at an unpredictable time. The security conformance requires that the authentication for
- operations be the same for all operations. So for authenticated Cancel-Job, the Print-Job has to be
- 299 authenticated as well. We would like to add another operation that forces the server to generate a 401
- authentication challenge which the client would submit before submitting the print job in the first place.
- 301 Unless somebody has a different solution (Microsoft)

302 Possible alternatives:

- 1. Add the operation as an OPTIONAL operation to IPP/1.0 and IPP/1.1 that forces the IPP object to issue a challenge to the client.
- 2. Use two URLs for the same IPP Printer object, one requires authentication and the IPP server always issues a challenge and the other never does. So the client that wants to be authenticated submits requests to the URL that requires authentication. ISSUE: How does the client discover which URL to use, since "uri-security-supported" is about security, not authentication?
- 309 3. Use two IPP Printer objects that fan-in to the same device. One IPP Printer object requires authentication and always issues the challenge and the other never does. ISSUE: How does the client discover which IPP Printer to use for authenticated access?
- 4. Request that the HTTP WG add some kind of header that allows the client to request that the HTTP server issue a challenge. ISSUE: It is unlikely that the HTTP group would do such a thing, since it is not needed for the usual use of HTTP which is to access documents on a server.
- 5. Some say that it isn't a problem that the client cannot force authentication.

316 Suggested addition:

317 Add the following REQUIRED Printer Description attribute (alternative #2 above):

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- 318 <u>4.4.2 uri-authentication-supported (1setOf type2 keyword)</u>
- 319 This REQUIRED Printer attribute MUST have the same cardinality (contain the same number of values)
- 320 as the "printer-uri-supported" attribute. This attribute identifies the authentication mechanism associated
- 321 with each URI listed in the "printer-uri-supported" attribute. The Printer object uses the specified
- mechanism to identify the authenticated user. The "i th" value in "uri-authentication-supported"
- 323 corresponds to the "i th" value in "printer-uri-supported" and it describes the authentication mechanisms
- associated with the URI. See [IPP-PRO] for more details on Client Authentication.
- 325 The following standard keyword values are defined:
- 326 'none': There is no authentication mechanism associated with the URI. The Printer object assumes
 327 that the authenticated user is "anonymous".
- 328 'requesting-user-name': When a client performs an operation whose target is the associated URI, The
 329 Printer object assumes that the authenticated user is specified by the "requesting-user-name"
 330 Operation attribute. If this attribute is absent, the Printer object assumes that the authenticated user is "anonymous".
 - 'basic': When a client performs an operation whose target is the associated URI, the Printer object challenges the client with HTTP basic authentication. The Printer object assumes that the authenticated user is the name received via the basic authentication mechanism. This authentication mechanism SHOULD be used with a secure channel, that is, the corresponding value of "uri-security-supported" SHOULD NOT be 'none'.
 - 'digest': When a client performs an operation whose target is the associated URI, the Printer object challenges the client with HTTP digest authentication. The Printer object assumes that the authenticated user is the name received via the digest authentication mechanism.
- 340 'certificate': When a client performs an operation whose target is the associated URI, the Printer
 341 object expects the client to provide a certificate. The Printer object assumes that the authenticated
 342 user is the textual name contained within the certificate.

3) ISSUE: How reject down stream auto-sensed unsupported PDL?

- 345 If auto-sensing happens AFTER the job is accepted (as opposed to auto-sensing at submit time before
- returning the response), what does the implementation do?
- Presumably, it is similar to encountering a mal-formed PDL. So the implementation aborts the job, puts
- the job in the 'aborted' state and sets the 'aborted-by-system' value in the job's "job-state-reasons", if
- 349 supported. If the "job-state-reasons" attribute is supported. The 'aborted-by-system' value seems
- appropriate, but it would be good to have a more specific reason to indicate the reason that the job was
- aborted by the system.

Suggested addition (similar addition for "compression" in Issue 6):

- Add 'unsupported-document-format' as a "job-state-reasons" value for use when the job is aborted
- because the document format that is auto-sensed is not a supported document format. Also add a
- 355 'document-format-error' as a "job-state-reasons" value for use when the job is aborted because any kind
- of PDL error is encountered while processing the document.

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Suggested text:

'unsupported-document-format': The job was aborted by the system because the document-data's document-format is not among those supported by the Printer. If the client specifies the document-format as 'application/octet-stream', the printer MAY abort the job and post this reason even though the format is a member of the "document-format-supported" printer attribute, but not among the auto-sensed document-formats.

'document-format-error': The job was aborted by the system because the Printer encountered an error in the document-data while processing it. If the Printer posts this reason, the document-data has already passed any tests that would have led to the 'unsupported-document-format' job-state-reason.

4) ISSUE: Client (desktop or server) closes slow channel

- 368 Some IPP Printer implementations, such as forwarding servers, want to accept an IPP job, even though
- 369 the down stream channel is being used at the moment by another job stream that the device supports.
- Rejecting the job would mean that an IPP job might never get in, since these other protocols queue the
- 371 request.
- However, some clients close the channel when it is flowed controlled off for too long a time?
- 373 Suggested clarification (same as Issues 5 and 20):
- 374 Clarify the IPP/1.1 Model and Semantics document that Clients (desktop or server) MUST-SHOULD
- NOT close the channel when flowed controlled off, unless the layer that initiated the submission does
- 376 the close. Clients SHOULD do Get-Printer-Attributes and determine state of the device. Alert user if
- 377 the printer is stopped. Let user decide whether to abort the job transmission or not.
- 378 IIG: Suggest that a client implementer avoid using synchronous writes, since they automatically close
- the channel. Use asynchronous writes instead, so that the lower layer doesn't time out the channel.
- Also clarify the IPP/1.1 Model and Semantics document that the following actions are conforming for
- 381 non-spooling IPP Printer objects: After accepting a create job operation, a non-spooling IPP Printer
- 382 MAY either:
- 1. Reject any subsequent create job operations while it is busy transferring and/or processing an accepted job request and return the 'server-error-busy (0x0507).
- 2. Accept up to some implementation-defined subsequent create job operations and flow control them to prevent buffer overflow. When the implementation-defined number of jobs is exceeded, the IPP Printer MUST return the 'server-error-busy' status code and reject the create job request as in 1 above.
- 389 Client (desktop or server) MUST-SHOULD NOT close the channel when flow controlled off, unless the
- 390 layer that initiated the submission does the close. Clients that are rejected with a 'server-error-busy'
- 391 status code MAY retry periodically, try another IPP Printer, and/or subscribe for a 'ready-for-job' event
- when we have notification specified.
- Clarify that a client may be either in a desktop under control of a user or in a server that accepts some
- 394 <u>protocol (IPP or other) and uses IPP to controls printers.</u>

395 Suggested text for section 2.1 IPP Objects
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- In this document the term "client" refers to a software entity that sends IPP operation request to an IPP
- 397 Printer object and accepts IPP operation responses. A client MAY be:
- 1. contained within software controlled by an end user, e.g. activated by the "Print" menu item in an application and/or
- 2. a component of a print server that communicates (using IPP operations) with either an output device
 or another "downstream" print server.
- The term "IPP Printer" is a network entity that accepts IPP operation requests and returns IPP operation responses. As such, an IPP object MAY be:
- 1. (embedded) software that controls a device
- part of a print server that accepts IPP operation requests and, in turn, sends operation requests
 using (the IPP or other) protocol to one or more networked device(s).

407 <u>Suggested text for section 5.1 Client Conformance Requirements:</u>

- 408 This section describes the conformance requirements for a client (see section Error! Reference source
- 409 **not found.**), whether it be:
- 1. contained within software controlled by an end user, e.g. activated by the "Print" menu item in an application or
- a component of a print server that communicates (using IPP operations) with either an output device or another "downstream" print server.
- While a client is sending data to a printer, it SHOULD do its best to prevent a channel from being closed
- by a lower layer when the channel is blocked (i.e. flow-controlled off) for whatever reason, e.g. 'out of
- paper' or 'job ahead hasn't freed up enough memory'. However, the layer that launched the print
- submission (e.g. an end user) MAY close the channel in order to cancel the job. When a client closes a
- channel, a Printer MAY print all or part of the received portion of the document. See the "Encoding and
- 419 Transport" document [IPP-PRO] for more details.

420 Suggested text for section 5.2 IPP Object Conformance Requirements:

- This section specifies the conformance requirements for conforming implementations with respect to
- objects, operations, and attributes whether they be (1) IPP objects that accept IPP requests and control
- one or more devices or are embedded in a single device or (2) servers that accept IPP requests and
- 424 <u>forward them to networked devices (using IPP or other protocol).</u>

5) ISSUE: Client (desktop or server) closes stopped device

- When a non-spooling printer is accepting data and putting it on media and runs into a problem, such as
- paper out or paper jam, what should it do?
- Returning an error is not user friendly, if fixing the problem would allow the job to complete normally.

 Zehler, Hastings, Herriot

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429 Suggested clarification (same as Issues 4 and 20):

- Clarify the IPP/1.1 Model and Semantics document that IPP Printers MUST not return an error status
- code during a Print-Job operation when a device problem, such as jam or out of paper. Instead, the IPP
- Printer object flow controls the data off. Otherwise, only a partial job will be produced, when a whole
- job would be produced when the problem is attended to.
- 434 Clients (desktop or server) MUST notSHOULD NOT close the channel when flow controlled off, unless
- 435 <u>the layer that initiated the submission does the close</u>. Clients SHOULD do Get-Printer-Attributes and
- determine state of the device. Alert user if the printer is stopped. Let user decide whether to abort the
- job transmission or not.
- 438 <u>IIG: Add examples.</u>

439 Suggested text for section 5.1 Client Conformance Requirements:

- While a client is sending data to a printer, it SHOULD do its best to prevent a channel from being closed
- by a lower layer when the channel is blocked (i.e. flow-controlled off) for whatever reason, e.g. 'out of
- paper' or 'job ahead hasn't freed up enough memory'. However, the layer that launched the print
- submission (e.g. an end user) MAY close the channel in order to cancel the job. When a client closes a
- channel, a Printer MAY print all or part of the received portion of the document. See the "Encoding and
- Transport" document [IPP-PRO] for more details.

446 6) ISSUE: What error if wrong compressed data supplied?

- Problem: IPP server supports 'deflate' and 'gzip'. If client sets "compression attribute" = 'deflate' but
- sends gziped data, what error does IPP server return to client? Cannot use the existing 'client-error-
- attributes-or-values-not-supported' (0x040B). But returning the operation attribute with the value that
- was sent ('deflate') would be incorrect, because 'deflate' is supported!

451 Suggested addition (similar addition for document-format in Issue 3; see related Issue

- 452 **28)**:
- Add a new error status code: 'client-error-compression-error' that the IPP object can return if the
- compression error is detected before the create job response is returned. Also add 'compression-error' as
- a "job-state-reason" value for use when the job is aborted because any kind of compression error is
- detected while decompressing the data after the create job response has been returned to the client.
- The new 'client-error-compression-error' (0x0410) status code definition is:
- The IPP object is refusing to service the request because the document data cannot be decompressed
- when using the algorithm specified by the "compression" operation attribute. This error is returned
- independent of the client-supplied "ipp-attribute-fidelity". The Printer object MUST return this status
- code, even if there are other attributes that are not supported as well, since this error is a bigger problem
- than with Job Template attributes.

The suggested new job state reason definitions are:

- insupported-compression': The job was aborted by the system because the Printer determined while attempting to decompress the document-data's that the compression is actually not among those supported by the Printer.
- 'compression-error': The job was aborted by the system because the Printer encountered an error in the document-data while decompressing it. If the Printer posts this reason, the document-data has already passed any tests that would have led to the 'document-access-error' or 'unsupported-compression' job-state-reasons.

7) ISSUE: Please implement Manufacturer make and model printer

- attribute and send the .INF file model name of the printer.
- 473 If you do this we will automatically install the correct driver (if we have it) (Microsoft)
- 474 Suggested clarification for the IIG:
- 475 At the front of the Implementer's Guide, indicate that implementation considerations that relate to
- particular operating system and NOS will be incorporated as they become known. Add recommendation
- 477 to the IPP/1.1 Implementer's Guide that printer vendors are encouraged to configure the IPP Printer's
- 478 "printer-make-and-model" attribute with the make and model name that matches the .INF file on
- 479 Microsoft platforms. When so configured, the Microsoft driver install program will skip asking the user
- for the make and model of the printer being installed and use the value of the "printer-make-and-model"
- 481 attribute.
- 482 Recommend that the "printer make and model" value contain the vendor name and the model in that
- 483 order. Do not attempt to clarify the "printer-make-and-model" attribute as to whether it includes a vendor
- 484 <u>name or not.</u>
- 485 8) ISSUE: In IPP/1.0 Model and semantics 3.2.6.1, the definition for "limit",
- 486 "which-jobs" and "my-jobs" is contradicting each other.
- The problem is that the definition for "which-jobs" and "my-jobs" states that "all" jobs MUST be
- returned, while "limit" restricts the number of jobs to be returned. (Stefan Andersson Axis
- 489 Communication AB)
- 490 Suggested clarification:
- 491 Clarify IPP/1.1 Model and Semantics "which-jobs" and "my-jobs" operation attributes to indicate that
- 492 the number of jobs returned is limited by the "limit" attribute if supplied by the client.
- 493 Suggested text for section 3.2.6.2 Get-Jobs Response
- 494 <u>In the first sentence add the phrase:</u>
- 495 up to the number specified by the "limit" attribute
- 496 to give:

497 498	The Printer object returns all of the Job objects up to the number specified by the "limit" attribute that match the criteria as defined by the attribute values supplied by the client in the request.
499 500 501	9) ISSUE: Customers become very unhappy when they go to the printer to pick up their job and a ream of PostScript source code is sitting in the output bin.
502	Cause: A PostScript datastream is accidentally sent to a PCL printer.
503 504	IPP Issue: IPP needs to clarify the standard in section 3.2.1.1 of the Model and Semantics document. Lines 1219-1221 defining the "document-format" operation attribute state that:
505 506 507	If the client does not supply the [document format] attribute, the Printer object assumes that the document data is in the format defined by the Printer object's "document-format-default" attribute.
508	I would like to see the following clarification:
509 510 511 512	If the client does not supply the [document format] attribute and the Printer object is not able to auto-sense the document format at print-job request time, the Printer object assumes that the document data is in the format defined by the Printer object's "document-format-default" attribute.
513 514 515 516 517 518 519 520	If the Printer object senses that the document format is PostScript, then job should be rejected if it is being sent to a PCL-only printer. The 'application/octet-stream' mechanism discussed in section 4.1.9 does not seem to be helpful in this case, because it appears to assume that the auto-sensing occurs at document processing time. Until the document is actually "ripped", the document format remains unknown. So it seems to me that lines 2453-2476 do not address the problem described above where the wrong document format is submitted. These lines, rather, seem to apply to the case of a printer that handles multiple document formats and assumes that the submitted document is in one of the supported formats.
521	Suggested clarification:
522 523	Add the suggested clarification that auto-sensing MAY be done at either job-submission time and/or job processing time to the IPP/1.1 Model and Semantics documents.
524 525	Suggested text for a new section 4.1.9.1 Application/octet-stream Auto-Sensing the document format:
526 527 528 529	During auto-sensing, a Printer may determine that the document-data has a format that the Printer doesn't recognize. If the Printer determines this problem before returning an operation response, it rejects the request and returns the 'client-error-document-format-not-supported' status code. If the Printer determines this problem after accepting the request and returning an operation response with one of the

reasons" attribute.

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successful status codes, the Printer adds the 'unsupported-document-format' value to the job's "job-state-

10) ISSUE: How distinguish between submit vs processing auto-sense?

- There are two different implementations of auto-sensing:
- at print submit time BEFORE the Print-Job or Send-Document responds
- at document processing (ripping) time AFTER the Print-Job or Send-Document has accepted the job and returned the response.
- The description of 'application/octet-stream' doesn't clarify whether one, the other or both is meant. How
- can a client determine which is supported?

539 Suggested clarification in [ipp-mod] and [ipp-iig]:

- 540 Clarify IPP/1.1 Model and Semantics document that 'application/octet-stream' means either auto-sensing
- at job submission time and/or job processing time depending on implementation. Do NOT add a way
- for the client to determine whether auto-sensing happens at submit time or processing time.
- Add to Implementer's Guide a discussion about the advantages of auto-sensing at job submit time, rather
- than waiting until job processing time, so that an IPP Printer can reject an unsupported document format
- instead of accepting the job and then aborting the job sometime later. Also discuss for print by reference
- that an IPP Printer may want to examine the file, at least the first few octets, in order to check that the
- document-format is supported. On the other hand, network delays may make such a strategy take too
- long. Alternatively, the client may want to supply the "document-format" explicitly when doing print-
- 549 by-reference either using the file extension as a hint, or actually accessing the first few octets of the data
- an implementing an auto-sensing in the client.

551 Suggested text for section 4.1.9 mimeMediaType:

- One special type is 'application/octet-stream'. If the Printer object supports this value, the Printer object
- MUST be capable of auto-sensing the format of the document data, either as part of the create operation
- and/or at document processing time.

11) ISSUE: Return what attributes with document-format-not-supported?

- If a server receives a request with a document format which is not supported, it returns the client-error-
- document-format-not-supported (0x040A) status code. Is it also necessary to include document format
- in the unsupported attribute group?
- We suggest adding text which says it NEED NOT be supplied in the unsupported group.

560 Suggested clarification (see also Issues 18 and 23):

- Clarify IPP/1.1 Model and Semantics document that when returning the 'client-error-document-format-
- not-supported' in a create response or a Send-Document response, that IPP/1.1 MUST-NEED NOT
- return "document-format=xxx" in Unsupported Attribute Group even thoughsince there is a special error
- status code, to make this error consistent with the rules for unsupported attributes. In IPP/1.1 document
- say that IPP/1.0 MAY, but NEED NOT.

566 Suggested clarification for section 13.1.4.11 client-error-document-format-not-

- 567 **supported**
- 568 <u>13.1.4.11 client-error-document-format-not-supported (0x040A)</u>
- The IPP object is refusing to service the request because the document data is in a format, as specified in
- 570 the "document-format" operation attribute, that is not supported by the Printer object. This error is
- 571 returned independent of the client-supplied "ipp-attribute-fidelity". The Printer object MUST return this
- status code, even if there are other Job Template attributes that are not supported as well, since this error
- is a bigger problem than with Job Template attributes. See section 0. Issue 11

12) ISSUE: length fields for the "UNSUPPORTED" tag

- 575 IPP/1.0: Model and Semantics, 16 Nov 1998, 3.2.1.2, Group 2 (unsupported attributes) -- states that in
- 576 the case of an unsupported attribute name, the printer object should return a substituted out of band value
- of "unsupported". This impression is strengthened by the reference to section 4.1, where it gives the legal
- out of band values, none of which is an empty string.
- 579 This appears to conflict with Internet Printing Protocol/1.0: Encoding and Transport, 16 Nov 1998,
- section 3.10, where it states that the value length must be 0 and the value empty. (Claudio Cordova,
- Wade Mergenthal Xerox Corp.)

582 Suggested clarification (same as Issue 15):

- Clarify the IPP/1.1 Model and Semantics document so that it does not appear to contradict the Encoding
- and Transport document. However, whether each of the "out-of-band" values are encoded as distinct
- attribute syntaxes with no value or as a single attribute syntax with a value that indicates which out-of-
- band value, is purely an encoding matter and cannot be indicated in the Model and Semantics document.
- Therefore, indicate in the IPP/1.1 Model and Semantics document that the reader is to refer to the
- 588 IPP/1.1 Encoding and Transport document for the encoding of the out-of-band values.

589 **Suggested text for section 3.1.7:**

- This value's syntax type is "out-of-band" and its encoding is defined by special rules for "out-of-band"
- 591 <u>values in the "Encoding and Transport" specification [IPP-PRO]</u>. Its value indicates no support for the
- attribute itself (see the beginning of section 4.1).

593 **Suggested text for section 4.1:**

- In addition, the value of an attribute in a response (but not in a request) MAY be one of the "out-of-
- band" values whose special encoding rules are defined in the "Encoding and Transport" specification
- 596 [IPP-PRO].

13) ISSUE: What job-state value should be returned in the Create-Job

- 598 response?
- Pending, pending-held, or either depending on implementation?

- The problem with 'pending' is that the job is not a "candidate to start processing" as the definition states.
- The 'pending-held' state seems more reasonable. Its definition is:
- opending-held: The job is not a candidate for processing for any number of reasons but will return to the 'pending' state as soon as the reasons are no longer present. The job's "job-state-
- reason" attribute MUST indicate why the job is no longer a candidate for processing.
- Also there is a "job-state-reason" value 'job-incoming' which states:
- job-incoming: The Create-Job operation has been accepted by the Printer, but the Printer is expecting additional Send-Document and/or Send-URI operations and/or is accessing/accepting document data.
- 609 But "job-state-reasons" is OPTIONAL. Do we mandate it or recommend it if supporting Create-Job?

Suggested clarification:

- Clarify the IPP/1.1 Model and Semantics document that an IPP Printer MAY put the job into the
- 612 'pending', 'pending-held', or 'processing' states after a Create-Job, depending on implementation as
- 613 follows:

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- 'pending' if the job is a candidate for processing whether all of the document data is present or not. Add the 'waiting-for-data' "job-state-reasons" value to the job as an indication why this 'pending' job is not being processed OR
- 'pending-held' if the job is not a candidate for processing until the last Send-Document or Send-URI operation has been performed with the "last-document" set to 'true' and the document data transferred. Here the implementation SHOULD support set the "job-state reasons" and use the 'job-incoming' value of the "job-state-reasons" attribute until the last data has arrived. The IPP Printer removes the 'job-incoming' value when the last data has arrived, and transitions the job from the 'pending-held' to the 'pending' job state OR
- 'processing' if the IPP Printer is a non-spooling printer that does not implement the 'pending' state, i.e., it either accepts a job and processes it or rejects the job if it already processing a job. However, if a non-spooling printer does accept additional jobs while processing a job, then the additional jobs MUST NOT be put into the 'processing' state immediately. See Issue 20 resolution for non-spooling printers.

Suggested text addition to section 3.2.4 Create-Job operation:

- After the Create-Job operation has completed, the value of the "job-state" attribute is similar to the "job-
- 630 <u>state" after a Print-Job, even though there is no document-data. A Printer MAY set the job-data-</u>
- insufficient' value of the job's "job-state-reason" attribute to indicate that processing cannot begin until
- 632 <u>sufficient data has arrived and set the "job-state" to either 'pending' or 'pending-held'. A non-spooling</u>
- printer that doesn't implement the 'pending' job state MAY even set the "job-state" to 'processing', even
- though there is not yet any data to process.

Suggested text addition to section 4.3.8 job-state-reasons:

- Add the 'job-data-insufficient' value to be used with "job-state-reasons" with the following definition:
- ijob-data-insufficient': The Create-Job operation has been accepted by the Printer, but the Printer is
- expecting additional document data before it can move the job into the 'processing' state. If a
- Printer starts printing processing before it has received all data, the Printer removes the 'job-
- data-insufficient' reason, but the 'job-incoming' remains. If a Printer starts printing processing
- after it has received all data, the Printer removes the 'job-data-insufficient' reason and the 'job-
- incoming at the same time.
- Suggested clarification to IIG: AGREED Explain the difference between the two job state reasons job-
- incoming' and 'job-data-insufficient', since both are likely to be meaningful for a spooling server.
- Note: Change the Bake Off 2 bo38.test script so that the 'pending-held', the 'pending', or 'processing' job
- state is expected after a Create-Job operation.

14) ISSUE: Job-state for a forwarding server?

- What job-state value should be returned in the Print-Job response for an IPP object that forwards the
- data over a one-way interface, such as a parallel port or LPD? pending, processing, completed, or
- unknown?

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- Unknown is the strict interpretation of section 4.3.7 "job-state", but it isn't very user friendly. The "job-
- state" SHOULD reflect the actual job state, but these implementations have no idea when the job
- actually starts or finishes.
- How about a new "job-state-reasons" value: 'queued-in-device' (from PWG Job Monitoring MIB)?

655 **Suggested addition:**

- Add to the IPP/1.1 Model and Semantics document the 'queued-in-device' value for use with the "job-
- state-reasons" attribute. REQUIRE that an IPP/1.1 implementation that forwards jobs, but does not have
- any means to query the state of the down stream job, MUST support the "job-state-reasons" attribute and
- the new 'queued-in-device' value of the REQUIRED "job-state-reasons" attribute when returning the job
- in the 'completed' state. IPP/1.0 implementations of forwarding servers NEED NOT support "job-state-
- reasons" with the 'queued in device' value.

Suggested text for section 4.3.7 job-state:

- Add the following qualification to the "job-state" description:
- Note: As with all other IPP attributes, if the implementation can not determine the correct value for this
- attribute, it SHOULD respond with the out-of-band value 'unknown' (see section 4.1) rather than try to
- guess at some possibly incorrect value and give the end user the wrong impression about the state of the
- Job object. For example, if the implementation is just a gateway into some printing system from which
- it can normally get status, but temporarily is unable, then the implementation should return the
- 369 'unknown' value. However, if the implementation is a gateway to a printing system that never does not
- provides detailed status about the print job, the implementation MAY set the IPP Job object's state to

- 671 'completed', provided that it also sets the 'queued-in-device' value in the job's "job-state-reasons"
- attribute (see section 4.3.8) might literally be 'unknown'.

673 Suggested text for section 4.3.8 job-state-reasons:

- <u>'queued-in-device': The job has been forwarded to a device or print system that is unable to send</u>
- back status. The Printer sets the job's "job-state" attribute to 'completed' and adds the 'queued-
- in-device' value to the job's "job-state-reasons" attribute to indicate that the Printer has no
- additional information about the job and never will have any better information.

15) ISSUE: 'unknown' and 'unsupported' Out of band values.

- It is very unclear from the spec as to whether or not you should use the word 'unknown' (or unsupported
- in that case) as the value for attributes that are unknown.
- You can read it that you set the length equal to zero and set the type to 'unknown'. You can also read it as
- saying you set the value to the string 'unknown'.
- This is not helped by the Transport and Encoding spec saying you must set the length to zero and then
- telling a client what to do with a non-zero length. (Microsoft)

685 Suggested clarification (same clarification as Issue 12):

- 686 Clarify the IPP/1.1 Model and Semantics document so that it does not appear to contradict the Encoding
- and Transport document. However, whether each of the "out-of-band" values are encoded as distinct
- attribute syntaxes with no value or as a single attribute syntax with a value that indicates which out-of-
- band value, is purely an encoding matter and cannot be indicated in the Model and Semantics document.
- Therefore, indicate in the IPP/1.1 Model and Semantics document that the reader is to refer to the
- 691 IPP/1.1 Encoding and Transport document for the encoding of the out-of-band values.

692 Suggested text for section 3.1.7:

- 693 This value's syntax type is "out-of-band" and its encoding is defined by special rules for "out-of-band"
- values in the "Encoding and Transport" specification [IPP-PRO]. Its value indicates no support for the
- attribute itself (see the beginning of section 4.1).

696 Suggested text for section 4.1:

- In addition, the value of an attribute in a response (but not in a request) MAY be one of the "out-of-
- band" values whose special encoding rules are defined in the "Encoding and Transport" specification
- 699 [IPP-PRO].

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16) ISSUE: Get-Printer-Attributes Polling

- Some client polls printer periodically by Get-Printer-Attributes without specifying "requested-attributes".
- 702 So printer has to reply all attributes. It consumes printer resource.

703 Suggested clarification in the IIG:

- 704 RECOMMEND in the IPP/1.1 Implementer's Guide that Clients should specify "requested-attributes", if
- 705 it wants to get just the printer status.

17) OPEN - ISSUE: Client display of absolute time for job attributes? 706

- 707 What are clients doing with printers that don't support absolute time? How can client display an absolute
- 708 time that a job was submitted, started processing, and completed (which is what is useful for a user)?
- Possible Solution 709
- 710 Get Uptime from printer ("printer-up-time" - time system has been up in seconds)
- 711 Get Job(s)
- 712 Calculate Display time = job tick time ("time-at-xxx" - in seconds that system has been up) – uptime
- ("printer-up-time") + local client absolute date and time. The down side is that the client has to get the 713
- 714 "printer-up-time" every time with a separate Get-Printer-Attributes operation.
- 715 Alternatively: Add OPTIONAL job attributes: "date-time-at-creation (dateTime)", "date-time-at-
- processing (dateTime)", and "date-time-at-completion (dateTime)" 716
- 717 (Microsoft)

718 Possible alternatives:

- 719 Clarify that the "time-at-xxx" attributes can be negative if an IPP Printer is re-booted while jobs
- 720 remain. One of the following alternatives:
- 721 1. Allow the job time attributes of jobs that persist across power-ups to be negative, so that they could
- 722 represent the time of an event that happened before the most recent power up: "time-at-creation
- (integer(MIN:MAX))", "time-at-processing (integer(MIN:MAX))", and "time-at-completion 723
- ((MIN:MAX))" 724
- 725 2. Add to the IPP/1.1 Model and Semantics document OPTIONAL job description attributes: "date-
- time-at-creation (dateTime)", "date-time-at-processing (dateTime)", and "date-time-at-completion 726
- (dateTime)". 727
- 3. Add to the IPP/1.1 Model and Semantics document OPTIONAL job description attributes: "date-728
- time-at-creation (integer | dateTime)", "date-time-at-processing (integer | dateTime)", and "date-time-729
- at-completion (integer | dateTime)". 730
- 731 4. Instead of adding new job attributes, just add the dateTime attribute syntax as a second choice for the
- existing job attributes changing them to: 732
- "time-at-creation (integer | dateTime)", "time-at-processing (integer | dateTime)", and "time-at-733
- completion (integer | dateTime)" 734
- 735 5. Same as 1, but make the job attributes be REQUIRED for IPP/1.1.

- 736 6. Same as 2, but make the job attributes be REQUIRED for IPP/1.1, but keep support of the dateTime OPTIONAL.
- 738 7. Same as 2, but make the job attributes be REQUIRED for IPP/1.1, and REQUIRE a Printer
- 739 <u>implementation attempt to get the dateTime from somewhere (person or the network) at startup time.</u>
- The implementation MUST use the integer form when the date cannot be obtained from a person or
- 741 <u>the network at startup time.</u>
- 8. Same as 32, but make support of the dateTime REQUIRED for IPP/1.1.
- 9. Add three new "delta-time-at-xxx(integer)" where the value is the number of seconds in the past that the event occurred. In other words, the server does the subtract of:
- 745 <u>job tick time ("time-at-xxx" in seconds that system has been up) uptime ("printer-up-time")</u>
- at query time, so that the client doesn't have to also query the Printer Description "printer-up-time" at all. Then the client just subtracts the value from the client's current local absolute date and time.
- 748 10. Return "printer-up-time" (in seconds) as an operation attribute in Get-Jobs and Get-Job-Attributes response.
- 11. Make the "printer-up-time" Printer Description attribute also be a Job Description attribute. Clients that request the "time-at-xxx" job attributes should also request the "printer-up-time" job attribute, so that they can avoid requesting it using a separate Get-Printer-Attributes request.
- 12. Add a REQUIRED "job-printer-up-time" Job Description attribute which is a copy of the IPP/1.0
 REQUIRED "printer-up-time" Printer Description attribute.
- 755 **Suggested resolution:**
- 1. Keep Change the range on the 3 "time-at-xxx" job time attributes from as 0:MAX as it is in IPP/1.0 to MIN:MAX:
- 758 time-at-creation(integer(MIN0:MAX))
- 759 time-at-processing(integer(MIN0:MAX))
- 760 time-at-completed(integer(MIN0:MAX))
- 761 There is no need for negative time A negative value indicates an event that happened that many seconds
- before the most recent power-up of the Printer; a 0 value means that the event occurred at some
- unspecified time before the printer was powered up most recently. Describe the 0 and negative values
- once in the time-at-xxx section. Add a forward reference to 4.4.26 printer-up-time about a 0 value
- 765 meaning the event was before the printer was powered up, since many readers missed the point that the
- 766 restart problem was already handled in IPP/1.0.
- 767 2. Keep Change the current section 4.4.26 printer-up-time(integer(1:MAX)) as it is in IPP/1.0 with
- respect to restarts. Eliminate the IPP/1.0 Printer option to NOT reset the "printer-up-time" on power-up.
- REQUIRE IPP/1.1 Printer's to reset the "printer-up-time" to 1 on power-up. Then this attribute tracks
- the MIB-II sysUpTime attribute and the Printer MIB prtAlertTime (except "printer-up-time" is in
- seconds, instead of 100th of a second). In order to solve the problem of time attributes for jobs that
- persist across the power-up, either the implementation MUST:

- 773 (a) knows that it was restarted and so the value on the restart is greater than it was in the printer's former life or(a) return "time-at-xxx" Job time attributes using the dateTime form or
- (b) the Printer sets its "printer up time" to 1 and resets the "time-at-xxx" Job time attributes for any persistent jobs back to 0 to indicate that the event took place sometime before the most recent power-up or to a negative value that represents the number of seconds before the most recent power-up that the event took place.
- 3. Problem: Make it easier for clients to get clock time for job events, <u>make it easier for clients to</u> correlate job events with notifications which need to use date and time (since there may not be
- intermediate servers to translate relative tick time to absolute date/time), allow the Printer to not have to
- adjust the <u>time attribute</u> values of all the persistent jobs on power-up, <u>avoid the need for intermediate</u>
- 783 <u>IPP servers to translate relative tick time as responses are cascaded back to original client.</u>
- Solution: add a dateTime attribute syntax choice to the three (now REQUIRED) job time attributes, so that they become:
- time-at-creation(integer(<u>MIN</u>0:MAX) | dateTime) time-at-processing(integer(<u>MIN</u>0:MAX) | dateTime) time-at-completed(integer(<u>MIN</u>0:MAX) | dateTime)
- Thus the value returned is either the value of the Printer's REQUIRED "printer-up-time(integer)" or the Printer's "printer-current-time(dateTime)" when the event occurred, depending on implementation. Now
- the client simply requests these attributes and deal with which ever value it gets back.
- For compatibility with IPP/1.0, indicate that an IPP/1.1 Printer MUST return the integer value if the
- version number of the request is '1.0'.
- Clarify that the date and time does not have to be very accurate. The time does not have to be that
- 795 precise in order to work in practice.
- 796 If an implementation cannot get the dateTime, that it MUST return the integer value that corresponds
- 797 with its REQUIRED "printer-up-time(integer)", rather than returning the out-of-band 'no-value' value
- that corresponds to its OPTIONAL "printer-current-time(dateTime)".
- 799 4. To solve the problem of the client having to make two trips to the printer when displaying jobs:
- first to get the "time-at-xxx" job attributes with Get-Jobs or Get-Job-Attributes, and
- second to get the "printer-up-time" with Get-Printer-Attributes,
- we'll add a REQUIRED job attribute:
- job-printer-up-time(integer(1:MAX))
- which is a copyan alias of the Printer's "printer-up-time(integer(1:MAX))".
- 5. To help clients being able to depend on getting time, change the 3 "time-at-xxx(integer)" job time
- attributes from OPTIONAL to REQUIRED. This shouldn't be a burden, since the corresponding printer
- attribute: "printer-up-time" is already REQUIRED in IPP/1.0. Also the draft Printer MIB and MIB-II
- require that a device have a clock tick capability.

- 6. Clarify that if an implementation supports the OPTIONAL "printer-current-time(dateTime)" attribute
- by getting the time from some source such as the network or an operator, but was unable to, that it
- MUST return the out-of-band 'no-value' which means not configured (yet). See the beginning of section
- 812 4.1 in the Model.
- 7. Clarify that the time zone NEED NOT be that used by people in the vicinity of the Printer or device
- and that clients SHOULD convert dateTime attributes to the time zone of the client before display to the
- 815 user.

- 816 IIG: Describe some of the many ways that implementations can get the date and time:
- 1. Any network printer can get time from NTP Time server. See RFC 1305. Also DHCP option 32 in RFC 2132 returns the IP address of the NTP server.
 - 2. Get the date and time at startup from a human operator
- 3. Have an operator set the date and time using a web administrative interface
- 4. Get the date and time from incoming HTTP requests, though the problems of spoofing need to be considered. Perhaps comparing several HTTP requests could reduce the chances of spoofing.
- 5. Internal date time clock battery driven.
- 825 6. Query "http://tycho.usno.navy.mil/cgi-bin/timer.pl"
- 826 7.Include a GPS module???
- 827 **Suggested text:**
- 828 Group the three "time-at-xxx" Job Description time attributes into a single section so that the common
- 829 semantics can be said once: Add the following sentence to each of the "time-at-xxx" Job Description
- 830 attributes:
- 4.3.12 Event Time Job Description Attributes
- This section defines the Job Description attributes that indicate the time at which certain events occur for
- a job. The attribute syntax MUST be either 'integer' or 'dateTime' for any response in which the
- 834 "version-number" parameter is supplied as '1.1', but MUST be an 'integer' for any response in which the
- 835 "version-number" parameter is supplied as '1.0', for compatibility with IPP/1.0 [RFC2566]. See section
- 836 Error! Reference source not found..
- 837 <u>In order to populate these Event Time Job Description Attributes, the Printer object copies either:</u>
- 1. the value in its "printer-current-time" attribute for the 'dateTime' value at the time the event occurred if the printer supports the attribute "printer-current-time" and its value is not the out-of-band 'no-value' value,
- 2. the value in its "printer-up-time" attribute for the 'integer' value at the time the event occurred otherwise

843 844 845	Note: because the time MAY become known to the Printer some time after power-up, a client could receive jobs that contain some Event Time Job Description Attributes that use the 'integer' time tick representation while the later events use the 'dateTime' date/time representation.
846 847 848 849	If the Printer implementation keeps jobs persistently across power cycles, then an implementation MUST reset its "printer-up-time" attribute to 1 on each power-up. In addition, an implementation that uses the 'integer' form MUST change all of its Event Time Job Description attributes for those persistent jobs either:
850	1. to 0 to indicate that the event happened before the most recent power up
851 852	2. to the negative of the number of seconds before the most recent power-up that the event took place, though the negative number NEED NOT reflect the exact number of seconds.
853 854	An implementation that uses the 'dateTime' form does not change the values of any of its Event Time Job Description Attributes for persistent jobs on power-up.
855	$4.3.12.1$ time-at-creation (integer($MIN\theta$:MAX))
856 857 858	This <u>REQUIRED</u> attribute indicates the point in time at which the Job object was created. In order to populate this attribute, the Printer object uses the value in its "printer-up-time" attribute at the time the Job object is created.
859	4.3.12.2 time-at-processing (integer(MIN0:MAX))
860 861 862 863	This <u>REQUIRED</u> attribute indicates the point in time at which the Job object began processing. <u>The out-of-band 'no-value' value is returned if the job has not yet been in the 'processing' state (see the beginning of Section 4.1). In order to populate this attribute, the Printer object uses the value in its "printer-up-time" attribute at the time the Job object is moved into the 'processing' state for the first time.</u>
864	4.3.12.3 time-at-completed (integer(MINO:MAX))
865 866 867 868 869	This <u>REQUIRED</u> attribute indicates the point in time at which the Job object completed (or was cancelled or aborted). The out-of-band 'no-value' value is returned if the job has not yet completed, been canceled, or aborted (see the beginning of Section 4.1). In order to populate this attribute, the Printer object uses the value in its "printer-up-time" attribute at the time the Job object is moved into the 'completed' or 'canceled' or 'aborted' state.
870	4.3.12.4 job-printer-up-time(integer(1:MAX))
871 872 873	This REQUIRED Job Description attribute indicates the amount of time (in seconds) that the Printer implementation has been up and running. This attribute is an alias for the "printer-up-time" Printer Description attribute (see Section 4.4.27).
874 875 876	Note: A client MAY request this attribute in a Get-Job-Attributes or Get-Jobs request and use the value returned in combination with other requested Event Time Job Description Attributes in order to display time attributes to a user. The difference between this attribute and the integer value of a "time-at-xxx"

attribute is the number of seconds ago that the "time-at-xxx" event occurred. A client can compute the

- wall-clock time at which the "time-at-xxx" event occurred by subtracting this difference from the client's wall-clock time.
- wan clock time.

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Suggested text for section 4.4.27 printer-current-time

- 4.4.27 printer-up-time (integer(1:MAX))
- This REQUIRED Printer attribute indicates the amount of time (in seconds) that this <u>Printer</u> instance of
- this Printer implementation has been up and running. The value is a monotonically increasing value
- starting from 1 when the Printer object is started-up (initialized, booted, etc.). This value or the value of
- 885 "printer-current-time" is used to populate the Job attributes "time-at-creation", "time-at-processing", and
- "time-at-completed", depending on implementation (see Section 4.3.12). These time values are all
- 887 measured in seconds and all have meaning only relative to this attribute, "printer up time". The value is
- 888 a monotonically increasing value starting from 1 when the Printer object is started-up (initialized,
- 889 booted, etc.).
- 890 If the Printer object software ceases runninggoes down at some value 'n', and comes back uprestarts
- 891 without knowing the last value for "printer-up-time", the implementation MAYMUST reset this value to
- 1. However, if the device or devices that the Printer object is representing are restarted or power cycled,
- 893 the Printer object MAY continue counting this value or MAY reset this value to 1 depending on
- 894 <u>implementation</u>. If this value is reset and the implementation has persistent jobs and the Event Time Job
- Description Attributes are represented using the 'integer' form (instead of the 'dateTime' form), they
- 896 MUST be reset according to Section 4.3.13÷
- 1. Know how long it has been down, and resume at some value greater than 'n', or
- 898 2. Restart from 1.
- 900 In the first case, the Printer SHOULD not tweak any existing related Job attributes ("time at creation",
- 901 "time-at-processing", and "time-at-completed"). In the second case, the Printer object SHOULD reset
- 902 those attributes to 0. If a client queries a time-related Job attribute and finds the value to be 0, the client
- 903 MUST assume that the Job was submitted in some life other than the Printer's current life. An
- 904 implementation MAY use both cases, depending on warm versus cold start, respectively.

Suggested text for section 4.4.28 printer-current-time:

- 906 4.4.28 printer-current-time (dateTime)
- 907 This Printer attribute indicates the current absolute wall-clock time. This value or the value of "printer-
- 908 uptime-time" is used to populate the Job attributes "time-at-creation", "time-at-processing", and "time-at-
- completed", depending on implementation (see Section 4.3.12). If an implementation supports this
- attribute, then a client could calculate the absolute wall-clock time each Job's "time-at-creation", "time-
- 911 at processing", and "time at completed" attributes by using both "printer up time" and this attribute,
- 912 "printer-current-time". If an implementation does not support this attribute, a client can only calculate
- 913 the relative time of certain events based on the REQUIRED "printer-up-time" attribute.
- The date and time is obtained on a "best efforts basis" and does not have to be that precise in order to
- work in practice. A Printer implementation sets the value of this attribute by obtaining the date and time

- 916 <u>via some implementation-dependent means, such as getting the value from a network time server,</u>
- 917 <u>initialization at time of manufacture, or setting by an administrator. See [ipp-iig] for examples. If an</u>
- 918 implementation supports this attribute and the implementation knows that it has not yet been set to a
- 919 correct value, then the implementation MUST return the value of this attribute using the out-of-band 'no-
- 920 value' meaning not configured. See the beginning of section 4.1.
- The time zone of this attribute NEED NOT be the time zone used by people located near the Printer
- object or device. The client MUST NOT expect that the time zone of any received 'dateTime' value to
- be in the time zone of the client or in the time zone of the people located near the printer.
- The client SHOULD display any dateTime attributes to the user in client local time by converting the
- 325 <u>'dateTime' value returned by the server to the time zone of the client, rather than using the time zone</u>
- 926 returned by the Printer in attributes that use the 'dateTime' attribute syntax.

927 18) ISSUE: Return all **Job Template** errors on Print-Job fidelity=true

- 928 If ipp-attributes-fidelity=true, MUST all Job Template attributes that are not supported, be returned, or
- 929 can just the first error be returned? Section 16.3 and 16.4 of the Model and Semantics document was
- moved to the Implementer's Guide when creating the November 1998 draft from the June 1998 draft.
- The following note was contained in section 16.4 that was moved:
- Note: whether the request is accepted or rejected is determined by the value of the "ipp-attribute-fidelity"
- attribute in a subsequent step, so that all Job Template attribute supplied are examined and all
- unsupported attributes and/or values are copied to the Unsupported Attributes response group.

935 Suggested clarification (same clarification as Issue 27):

- Clarify in the IPP/1.1 Model and Semantics document that all operation attributes and all Job Template
- attributes MUST be returned in the Unsupported Attributes group, unless there is a specific error status
- 938 for the unsupported operation attribute, such as: server-error-version-not-supported, server-error-
- operation-not-supported, client-error-charset-not-supported, client-error-compression-not-supported,
- olient-error-document-format-not-supported, and client-error-uri-scheme-not-supported's client-error-uri-scheme-not-supported's client-error-uri-scheme-not-supported's client-error-uri-scheme-not-supported client-error-uri-scheme-not-scheme-not-supported client-error-uri-scheme-not-supported clien
- 941 document-not-supported'.

942 Suggested text for section 3.1.6 Status Codes and a new section 3.1.7:

- 943 If the Printer performs an operation with no errors and it encounters no problems, it MUST return the
- 944 <u>status code 'successful-ok' in the response. See section 14.</u>
- 945 If the client supplies unsupported values for the following parameters or Operation attributes, the Printer
- object MUST reject the operation, NEED NOT return the unsupported attribute value in the
- 947 Unsupported Attributes group, and MUST return the indicated status code:

Parameter/Attribute	Status code
version-number	server-error-version-not-supported
operation-id	server-error-operation-not-supported
attributes-charset	client-error-charset-not-supported

compression	client-error-compression-not-supported
document-format	client-error-document-format-not-supported
document-uri	<u>client-error-uri-scheme-not-supported, client-error-document-</u> access-error

- If the client supplies unsupported values for other attributes, or unsupported attributes, the Printer returns
 the status code defined in the next section on Unsupported Attributes.
- 950 <u>3.1.7 Unsupported Attributes</u>
- 951 The Unsupported Attributes group contains attributes that are not supported by the operation. This group
- 952 <u>is primarily for the job creation operations, but all operations can return this group.</u>
- A Printer object MUST include an Unsupported Attributes group in a response if the status code is one
- of the following: 'successful-ok-ignored-or-substituted-attributes', 'successful-ok-conflicting-attributes',
- 955 'client-error-attributes-or-values-not-supported' or 'client-error-conflicting-attributes'.
- 1956 If the status code is one of the four specified in the preceding paragraph, the Unsupported Attributes
- 957 group MUST contain all of those attributes and only those attributes that are:
- a) an Operation or Job Template attribute supplied in the request, and
- b) unsupported by the printer. See below for details on the three categories "unsupported" attributes. Issues 18, 23, and 27

19) ISSUE: User Performing the Send-Document Operation

- The Send-Document and Send-URI commands need the following clarification with regard to the user performing the operation. In the requesting-user-name section of Send-Document add:
- The user performing the Send-Document operation must be the same as for the Create- Job operation that created the job. The printer determines the user performing the operation from the requesting-user-name or the underlying authentication mechanism as described in Section 8.3 of the model document.
- The wording in the Send-URI section would imply that the above change applies to Send-URI as well.
- 970 **Suggested clarification:**

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- Add the suggested clarification to the IPP/1.1 Model and Semantics document. <u>Introduce the terms:</u> "job
- 972 <u>owner" and "authenticated user". The new text for section 8.3 is:</u>
- 973 8.3 URIs for each authentication mechanisms
- Each URI has an authentication mechanism associated with it. If the URI is the ith element of "printer-
- 975 uri-supported", then authentication mechanism is the "i th" element of "uri-authentication-supported".
- 976 For a list of possible authentication mechanisms, see section 4.4.2.

- 977 The Printer object uses an authentication mechanism to determine the name of the user performing an
- 978 operation. This user is called the "authenticated user". The credibility of authentication depends on the
- mechanism that the Printer uses to obtain the user's name. When the authentication mechanism is 'none',
- 980 <u>all authenticated users are "anonymous".</u>
- During job creation operations, the Printer initializes the value of the "job-originating-user-name"
- 982 <u>attribute to be the authenticated user. The authenticated user is this case is called the "job-owner".</u>
- 983 If an implementation can be configured to support more than one authentication mechanism, then it
- 984 MUST implement rules for determining equality of authenticated user names which have been
- authenticated via different authentication mechanisms. One possible policy is that identical names that
- 986 <u>are authenticated via different mechanism are different.</u> For example, a user can cancel his job only if he
- 987 <u>uses the same authentication mechanism for both Cancel-Job and Print-Job. Another policy is that</u>
- 988 <u>identical names that are authenticated via different mechanism are the same if the authentication</u>
- 989 mechanism for the later operation is not less strong than the authentication mechanism for the earlier job
- 990 <u>creation operation</u>. For example, a user can cancel his job only if he uses the same or stronger
- authentication mechanism for Cancel-Job and Print-Job. With this second policy a job submitted via
- 'requesting-user-name' authentication could be cancelled via 'digest' authentication. With the first policy,
- the job could not be cancelled in this way.
- A client is able to determine the authentication mechanism used to create a job. It is the ith value of the
- Printer's "uri-authentication-supported" attribute, where i is the index of the element of the Printer's "uri-
- 996 <u>printer-supported" attribute equal to the job's "job-printer-uri" attribute.</u>
- 997 *which replaces the following text:*

998 8.3 The "requesting-user-name" (name(MAX)) Operation attribute

- Each operation MUST specify the user who is performing the operation in both of the following two
- 1000 <u>ways:</u>

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- 1) via the REQUIRED "requesting-user-name" operation attribute that a client SHOULD supply in all operations. The client MUST obtain the value for this attribute from an environmental or network login name for the user, rather than allowing the user to supply any value. If the client does not supply a value for "requesting-user-name", the printer MUST assume that the client is supplying some anonymous name, such as "anonymous".
 - 2) via an authentication mechanism of the underlying transport which may be configured to give no authentication information.

1009 There are six cases to consider:

- a) the authentication mechanism gives no information, and the client doesn't specify "requestinguser-name".
- b) the authentication mechanism gives no information, but the client specifies "requesting-user-name".
- 1014 c) the authentication mechanism specifies a user which has no human readable representation, and the client doesn't specify "requesting-user-name".
- d) the authentication mechanism specifies a user which has no human readable representation, but the client specifies "requesting-user-name".

- 1018 e) the authentication mechanism specifies a user which has a human readable representation. The Printer object ignores the "requesting-user-name". 1019 1020 f) the authentication mechanism specifies a user who is trusted and whose name means that the value of the "requesting-user-name", which MUST be present, is treated as the authenticated 1021 1022 name. 1023 1024 Note: Case "f" is intended for a tightly coupled gateway and server to work together so that the "user" 1025 name is able to be that of the gateway client and not that of the gateway. Because most, if not all, system vendors will initially implement IPP via a gateway into their existing print system, this mechanism is 1026 necessary unless the authentication mechanism allows a gateway (client) to act on behalf of some other 1027 1028 client. 1029 The user-name has two forms: 1030 - one that is human readable: it is held in the REQUIRED "job-originating-user-name" Job 1031 Description attribute which is set during the job creation operations. It is used for presentation 1032 only, such as returning in queries or printing on start sheets - one for authorization: it is held in an undefined (by IPP) Job object attribute which is set by the job 1033 creation operation. It is used to authorize other operations, such as Send-Document, Send-URI, 1034 Cancel-Job, to determine the user when the "my-jobs" attribute is specified with Get-Jobs, and to 1035 1036 limit what attributes and values to return with Get-Job-Attributes and Get-Jobs. 1037 1038 The human readable user name: 1039 - is the value of the "requesting-user-name" for cases b, d and f. 1040 - comes from the authentication mechanism for case e - is some anonymous name, such as "anonymous" for cases a and c. 1041 1042 1043 The user name used for authorization: - is the value of the "requesting-user-name" for cases b and f. 1044 - comes from the authentication mechanism for cases c, d and e 1045 1046 - is some anonymous name, such as "anonymous" for case a. 1047 1048 The essence of these rules for resolving conflicting sources of user-names is that a printer 1049 implementation is free to pick either source as long as it achieves consistent results. That is, if a user uses the same path for a series of requests, the requests MUST appear to come from the same user from 1050 1051 the standpoint of both the human-readable user name and the user name for authorization. This rule 1052 MUST continue to apply even if a request could be authenticated by two or more mechanisms. It doesn't 1053 matter which of several authentication mechanisms a Printer uses as long as it achieves consistent
- 1056 20) ISSUE: Non-spooling printers accept/reject additional jobs
- Some IPP Printer implementations reject a second Print-Job (or Create-Job) while they are processing a
- Print-Job. Other IPP Printer implementations, such as forwarding servers and non-spooling printers,

results. If a client uses more than one authentication mechanism, it is recommended that an

administrator make all credentials resolve to the same user and user-name as much as possible.

accept some number of subsequent jobs, but flow control them off until the first job is finished.

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1060 Suggested clarification (same as Issues 4 and 5):

- Also clarify the IPP/1.1 Model and Semantics document that the following actions are conforming for
- non-spooling IPP Printer objects: After accepting a create job operation, a non-spooling IPP Printer
- 1063 MAY either:
- Reject any subsequent create job operations while it is busy transferring and/or processing an accepted job request and return the 'server-error-busy (0x0507).
- Accept up to some implementation-defined subsequent create job operations and flow control them to prevent buffer overflow. When the implementation-defined number of jobs is exceeded, the IPP Printer MUST return the 'server-error-busy' status code and reject the create job request as in 1 above.
- 1070 Client (desktop or server) MUST SHOULD NOT close the channel when flow controlled off, unless the
- layer that initiated the submission does the close. Clients that are rejected with a 'server-error-busy'
- status code MAY retry periodically, try another IPP Printer, and/or subscribe for a 'ready-for-job' event
- when we have notification specified.
- 1074 IIG: Suggest that a client implementer avoid using synchronous writes, since they automatically close
- the channel. Use asynchronous writes instead, so that the lower layer doesn't time out the channel.
- 1076 Suggested text for section 3.1.9 Job Creation Operations:
- 1077 At job submission time, a Printer object, especially a non-spooling Printer, MAY accept jobs that it does
- 1078 not have enough space for. In such a situation, a Printer object MAY stop reading data from a client for
- an indefinite period of time. A client MUST be prepared for a write operation to block for an indefinite
- period of time (See section 5.1 on client conformance).
- When a Printer object has too little space for starting a new job, it MAY reject a new create request. In
- this case, a Printer object MUST return a response (in reply to the rejected request) with a status-code of
- 3 'server-error-busy' (See section 14.1.5.8) and it MAY close the connection before receiving all bytes of
- the operation. When receiving a 'server-error-busy' status-code in an operation response, a client MUST
- be prepared for the Printer object to close the connection before the client has sent all of the data
- 1086 (especially for the Print-Job operation). A client MUST be prepared to keep submitting a create request
- until the IPP Printer object accepts the create request.

1088 Suggested text for section 5.1 Client Conformance Requirements:

- While a client is sending data to a printer, it SHOULD do its best to prevent a channel from being closed
- by a lower layer when the channel is blocked (i.e. flow-controlled off) for whatever reason, e.g. 'out of
- paper' or 'job ahead hasn't freed up enough memory'. However, the layer that launched the print
- submission (e.g. an end user) MAY close the channel in order to cancel the job. When a client closes a
- 1093 channel, a Printer MAY print all or part of the received portion of the document. See the "Encoding and
- 1094 Transport" document [IPP-PRO] for more details.

1095 21) ISSUE: Does 'none' "uri-security-supported" mean Basic/Digest?

Section 4.4.2 "uri-security-supported" 'none' values says:

- in one. There are no secure communication channel protocols in use for the given URI.
- Should be clarified that the REQUIRED Basic and Digest are intended for the 'none' value. (Hugo Parra)

1099 Suggested clarification:

- Instead, clarify that the "uri-security-supported" is only referring to the privacy part of security, not the
- authentication part, such as HTTP Basic and Digest authentication. Add a note to both the "uri-security-
- supported" attribute and Section 5.4 on Security Conformance Requirements in the IPP/1.1 Model and
- Semantics that authentication conformance requirements are specific to a transport, such as HTTP Basic
- and Digest, and are specified in the Encoding and Transport [ipp-pro] document.

1105 <u>Suggested text for (new) section 4.4.2</u> "<u>uri-authentication-supported</u>":

1106 <u>basic</u>: When a client performs an operation whose target is the associated URI, the Printer object
 1107 <u>challenges the client with HTTP basic authentication. The Printer object assumes that the</u>
 1108 <u>authenticated user is the name received via the basic authentication mechanism. This</u>

authentication mechanism SHOULD be used with a secure channel, that is, the corresponding

value of "uri-security-supported" SHOULD NOT be 'none'.

1111 <u>Suggested text for section 4.4.3</u> "<u>uri-security-supported</u>":

- This attribute is orthogonal to the specification of a client authentication mechanism. Specifically, 'none'
- does not exclude client authentication. See section 4.4.2.

1114 22) ISSUE: Status code on variable-length attributes that are 'too short'

- 1115 IPP defines a status code 'client-error-request-value-too-long' for a variable-length attribute that exceeds
- the maximum length allowed by the attribute. However, it is not clear what status code to use in the
- opposite case, i.e. the supplied attribute value is shorter than the requirement. In the current spec, this
- problem will arise when a 0-length value is supplied in 'keyword' attributes. In this case, should the
- request be rejected with status code 'client-error-request-value-too-long' or 'client-error-bad-request'?
- Furthermore, if "ipp-attribute-fidelity" is 'false', should the request be rejected at all? (Jason Chien-Hung
- 1121 Chen)

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Suggested clarification in the IIG:

- No special status code is needed and no special action is needed by the IPP object. Since this is a
- keyword, its value needs to be compared with the supported values. Assuming that the printer doesn't
- have any values in its corresponding "xxx-supported" attribute that are keywords of zero length, the
- 1126 comparison will fail. Then the request will be accepted or rejected depending on the value of "ipp-
- attributes-fidelity" being 'false' or 'true', respectively. No change to the [ipp-mod]. Indicate this handling
- of too short keywords in the IIG. All other variable length attribute syntaxes have a minimum greater
- 1129 than 0.

23) ISSUE: There seems to be some misunderstanding about the 1130 unsupported-attributes group. 1131 1132 Some implementations return all the attributes that are in the spec that their implementation does not support in the Unsupported Attributes group on a get-attributes operation, independent of the attributes 1133 that were actually requested. The unsupported-attributes presumably contains all the attributes the 1134 implementation knows about but does not support. I do not believe this is the proper use of the 1135 unsupported-attributes group. Do we need a clarification in the specification. 1136 1137 Suggested clarification (related to Issues 11 and 18): Clarify IPP/1.1 Model and Semantics document that only attributes (operation, Job Template, ...) 1138 supplied in the request by the client that the IPP object does not support are returned in the Unsupported 1139 Attributes group, not all attributes that the implementation doesn't support. 1140 Suggested text for section 3.1.3 Attributes: 1141 1142 The Unsupported Attribute group is defined for all operation responses for returning unsupported attributes that the client supplied in the request. 1143 Suggested text for (new) section 3.1.7 Unsupported Attributes: 1144 1145 See Issue 18. What status does Get-Jobs return when no jobs? 24) ISSUE 1146 1147 Should Get-Jobs return 'successful-ok' when there are no jobs to be returned? The client can see that the Jobs group contains no jobs from the response. Returning an error may confuse the client. Some 1148 implementations returned 'client-error-not-found' error code. 1149 1150 Suggested clarification: Clarify IPP/1.1 Model and Semantics document that the IPP Printer MUST return 'successful-ok' even 1151 1152 when there are no jobs to return. The operation is successful and the client will see that there are no returned jobs. 1153 Suggested text for section 3.2.6.2 Get-Jobs Response: 1154 1155 It is not an error for the Printer to return 0 jobs. If the response returns 0 jobs because there are no jobs

- 1156 matching the criteria, and the request would have returned 1 or more jobs with a status code of
- 1157 'successful-ok' if there had been jobs matching the criteria, then the status code for 0 jobs MUST be
- 'successful-ok'. 1158

25) ISSUE - MAY an IPP object return more Operation attributes? 1159

- Is it ok for an IPP object to return additional operation attributes in a response (as an extension to the 1160
- standard)? If so, then the client MUST ignore or do something with them. (Hugo Parra) 1161

1162	Suggested	C	lari	fic	ati	or):
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- 1163 Clarify IPP/1.1 Model and Semantics document that the client MUST ignore or do something with
- additional operation attributes returned than are in the IPP/1.1 Model and Semantics specification.

1165 Suggested text for section 5.1 Client Conformance:

- 1166 A query-response MAY contain attribute groups, attributes, and values that the client does not expect.
- Therefore, a client implementation MUST gracefully handle such responses and not refuse to inter-
- operate with a conforming Printer that is returning registered or private extensions, including attribute
- groups, attributes, and attribute values that conform to Section 6. Clients may choose to ignore any
- parameters, attributes, or values that they do not understand.

1171 **26) ISSUE: MAY an IPP object return additional groups?**

- 1172 It is ok for an IPP object to return additional groups of attributes in a response (as an extension to the
- standard)? For example, returning the "job-state" and "job-state-reasons" in a Hold-Job, Release-Job,
- and/or Cancel-Job operation. What about newly registered groups of attributes. If so, then the client
- 1175 MUST ignore or do something with them. (Hugo Parra)

1176 Suggested clarification:

- 1177 Clarify IPP/1.1 Model and Semantics document that the client MUST ignore or do something with
- additional attribute groups returned than are in the IPP/1.1 Model and Semantics specification. Also
- clarify that these additional groups MAY occur in any position.

1180 Suggested text for section 5.2.2 Operations:

- 1181 Conforming IPP objects MAY return operation responses that contain attributes groups, attributes name
- and attribute values that are extensions to this standard. The additional attribute groups MAY occur in
- any order.

1184 27) ISSUE: Return first or all unsupported attributes in Unsupported

1185 **Group?**

- 1186 Section 16.3 and 16.4 of the Model and Semantics document was moved to the Implementer's Guide
- when creating the November 1998 draft from the June 1998 draft. The following note was contained in
- 1188 section 16.4 that was moved:
- Note: whether the request is accepted or rejected is determined by the value of the "ipp-attribute-fidelity"
- attribute in a subsequent step, so that all Job Template attribute supplied are examined and all
- unsupported attributes and/or values are copied to the Unsupported Attributes response group.

1192 Suggested clarification (same clarification as Issue 18):

- 1193 Clarify in the IPP/1.1 Model and Semantics document that all operation attributes and all Job Template
- attributes MUST be returned in the Unsupported Attributes group, unless there is a specific error status
- 1195 <u>for the unsupported operation attribute</u>, such as: <u>server-error-version-not-supported</u>, <u>server-error-</u>

- operation-not-supported, client-error-charset-not-supported, client-error-compression-not-supported,
- 1197 <u>client-error-document-format-not-supported</u>, and client-error-uri-scheme-not-supported.
- 1198 **Suggested text:**
- 1199 <u>See Issue 18.</u>
- 1200 **28)** ISSUE: What if compression is supplied but not supported?
- The "compression" operation attribute is an OPTIONAL attribute for a Printer object to support in a
- create operation. However, if a client supplies the "compression" attribute, but the IPP object doesn't
- support the attribute at all, the Printer might attempt to print data it doesn't understand, because it is
- 1204 compressed. In order to prevent this error, the "compression" operation attribute should have been
- 1205 REQUIRED.
- 1206 Possible Alternatives (related to Issues 3 and 6):
- 1207 1. Clarify that an IPP object MUST reject a request that supplies a "compression" operation attribute, if
- the IPP object does not support the "compression" attribute at all. As with any such error, the IPP
- object copies the "compression" attribute to the Unsupported Attribute Group setting the value to the
- out-of-band 'unsupported' value and returns the "client-error-attributes-or-values-not-supported"
- status code. The IPP object MAY reject the request, even if the client supplies the 'none' value, since
- the IPP Printer does not have a corresponding "compression-supported" attribute.
- 1213 2. Add a 'client-error-compression-not-supported' error status code. Require IPP Printer's to support
- this error code if they do not support the "compression" operation attribute.
- 1215 3. Change IPP/1.1 Model and Semantics conformance requirement for the "compression" and
- "compression-supported" attributes from OPTIONAL to REQUIRED.
- 1217 Suggested change:
- 1218 Suggested IPP/1.1 Change (related to Issues 3 and 6): REQUIRE that IPP/1.1 implementations MUST
- support "compression" and "compression-supported" (with at least the 'none' value), even though it is
- 1220 OPTIONAL for IPP/1.0.
- Add the 'client-error-document-format-error' for error detected at request time with a supported
- document format, such as PostScript Level 3 not supported by a PostScript level 2 printer. Describe the
- priority between 'client-error-document-format-not-supported', 'client-error-compression-not-supported',
- 1224 'client-error-document-format-error', and 'client-error-compression-error' status codes.
- Also add "compression-supported" to the Appendix E on directory schema as a RECOMMENDED
- 1226 attribute.
- 1227 Add to IIG for IPP/1.0: IPP/1.0 SHOULD at least check for the "compression" attribute being present
- and reject the create request, if they don't support "compression". Not checking is a bug, since the data
- will be unintelligible.

	3/22/99	Issues raised during the IPP Bake O112
1230	Suggested tex	t for "compression" operation attribute:
1231	"compression	<u>" (type3 keyword)</u>
1232	The client	t OPTIONALLY supplies this attribute. The Printer object MUST support this attribute
1233	and the "c	compression-supported" attribute (see section 4.4.30). The client supplied
1234	"compress	sion" operation attribute identifies the compression algorithm used on the document
1235	data. The	following cases exist:
1236	a)	If the client omits this attribute, the Printer object MUST assume that the data is not
1237		compressed (i.e. the Printer follows the rules below as if the client supplied the
1238		"compression" attribute with a value of 'none').
1239	b)	If the client supplies this attribute, but the value is not supported by the Printer object,
1240		i.e., the value is not one of the values of the Printer object's "compression-supported"
1241		attribute, the Printer object MUST reject the request, and return the 'client-error-
1242		compression-not-supported' status code. See section 3.2.1.2 for returning unsupported
1243		attributes and values.
1244	c)	If the client supplies the attribute and the Printer object supports the attribute value,
1245		the Printer object uses the corresponding decompression algorithm on the document
1246		<u>data.</u>
1247	d)	If the decompression algorithm fails before the Printer returns an operation response,
1248		the Printer object MUST reject the request and return the 'client-error-compression-
1249		error' status code.
1250	e)	If the decompression algorithm fails after the Printer returns an operation response,
1251		the Printer object MUST abort the job and add the 'compression-error' value to the
1252		job's "job-state-reasons".
1253	f)	If the decompression algorithm succeeds, the document data MUST then have the
1254		format specified by the job's "document-format" attribute (q.v.).
1255	Suggested tex	t for a new section 13.1.4.16 client-error-compression-not-supported
1256	13.1.4.16 client-e	error-compression-not-supported (0x040F)
1257		
1257	•	refusing to service the request because the document data, as specified in the peration attribute, is compressed in a way that is not supported by the Printer object.
1259		rned independent of the client-supplied "ipp-attribute-fidelity". The Printer object
1260		s status code, even if there are other Job Template attributes that are not supported as
1261		ror is a bigger problem than with Job Template attributes. See section 0.
1201	wen, since this cr	o.
1262	29) ISSUE:	Should "queued-job-count" be REQUIRED?
1202	20, 100021	
1263		count" Printer Description attribute is an OPTIONAL attribute for a Printer object to
1264		ome clients may want a quick way to determine the load on an IPP Printer, querying the
1265	"Printer's "queued	d-job-count" should always be possible, but an implementation might not support it.

1266 Suggested change:

1267 Change IPP/1.1 Model and Semantics so that the "queued-job-count" changes from RECOMMENDED

to REQUIRED.

1269	30) OPEN - ISSUE:	Should "job-state-reason	ns" and "printer-state-rea	sons'
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- 1270 be REQUIRED in IPP/1.1?
- 1271 Considering that we tend to put more and more information into the currently OPTIONAL job-state-
- reason' and 'printer-state-reason' attributes, should we make them a MUST for the IPP/1.1 version?
- 1273 (Discussion in 990324 phone conference).
- 1274 Suggested change:
- 1275 <u>Change IPP/1.1 document "job-state-reasons" and "printer-state-reasons" from OPTIONAL to</u>
- 1276 REQUIRED for IPP/1.1. All references to "If the "job-state-reasons" attribute is supported, need to be
- 1277 <u>removed.</u>
- 1278 Suggested changed to the "job-state-reasons" description in Print-Job response:
- "job-state-reasons":

The Printer object MUST OPTIONALLY-return the Job object's REQUIRED OPTIONAL "jobstate-reasons" attribute. If the Printer object supports this attribute then it MUST be returned in the response. If this attribute is not returned in the response, the client can assume that the "jobstate-reasons" attribute is not supported and will not be returned in a subsequent Job object

1284 query.

- - 31) OPEN ISSUE: How indicate a ripped job that is waiting for the
- 1287 marker?

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- Three alternatives being pursued: job stays in 'processing', job moves to 'pending', job moves to
- ipending-held job states. Any of the alternatives MAY use a new 'queued-for-markerinterpreted-
- 1290 waiting to print' job state reason to indicate that the job has been ripped but is waiting for the marker in
- a high end system. The 'pending-held' state is used by systems where the Operator explicitly does a
- Release-Job to schedule the next job to be marked, while the 'pending' or 'processing' state is used by
- systems that choose the next job to mark automatically. The 'processing' state is typically used by
- systems that tend not to have much time between ripping and marking.
- 1295 **Suggested clarifications:**
- 1. Clarify that a Printer may have more than one job in the processing state at the same time.
- 2. Clarify that a job can remain in the 'processing' state even when the Printer is 'stopped', if that job is
- being ripped; only the job that is being marked MUST be moved to the 'processing-stopped' state.
- 1299 Suggested addition:
- All three job states may be used to represent jobs that have been interpreted and are waiting to be
- marked, depending on implementation.

Suggested text for section 4.3.8 job-state-reasons:

job-queued-for-marker': Job is in any of the 'pending-held', 'pending', or 'processing' states, but more 1303 specifically, the Printer has completed enough processing of the document to be able to start marking 1304 and the job is waiting for the marker. Systems that require human intervention to release jobs using the 1305 1306 Release-Job operation, put the job into the 'pending-held' job state. Systems that automatically select a 1307 job to use the marker put the job into the 'pending' job state or keep the job in the 'processing' job state while waiting for the marker, depending on implementation. All implementations put the job into (or 1308 back into) the 'processing' state when marking does begin. 1309

Suggested text for section 4.4.10 printer-state:

3' 'idle': If a Printer receives a job (whose required resources are ready) while in this state, such a job MUST transit into the 'processing' state immediately. If the "printerstate-reasons" attribute contains any reasons, they MUST be reasons that would not prevent a job from transiting into the 'processing' state immediately, e.g., 'toner-low'.

> If a Printer can interpret one or more jobs while marking a job, then it is idle if it is available to interpret jobs even while marking a job.

If a Printer controls more than one output device, the above definition implies that a Printer is 'idle' if at least one output device is idle, i.e., the IPP Printer is available to immediately start processing a job if a client submitted it.

'processing': If a Printer receives a job (whose required resources are ready) while in this state, such a job MUST transit into the 'pending' state immediately. Such a job MUST transit into the 'processing' state only after jobs ahead of it complete. If the "printer-state-reasons" attribute contains any reasons, they MUST be reasons that do not prevent the current job from printing, e.g. 'toner-low'.

If a Printer can interpret one or more jobs while marking a job and receives a job (whose required resources are ready) while in this state, such a received job MAY transit into the 'processing' state along with the job that is being marked, if any.

If a Printer controls more than one output device, the above definition implies that a Printer is 'processing' if at least one output device is processing, and none is idle.

'stopped': If a Printer receives a job (whose required resources are ready) while in this state, such a job MUST transit into the 'pending' state immediately. Such a job MUST transit into the 'processing' state only after some human fixes the problem that stopped the printer and after jobs ahead of it complete processing. The "printer-state-reasons" attribute MUST contain at least one reason, e.g. 'media-jam', which prevents it from either processing the current job or transitioning a 'pending' job to the 'processing' state.

If a Printer can interpret one or more jobs while marking a job and receives a job (whose required resources are ready) while in this state, such a submitted job MAY transit into the 'processing' state in order to be interpreted even while the

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Zehler, Hastings, Herriot

	3/22/99	Issues raised during the IPP Bake Off2
1348		Printer is in the 'stopped' state. However, before such a job can be completed, a
1349		human needs to fix the problem.
1350 1351		If a Printer controls more than one output device, the above definition implies that
1351		a Printer is 'stopped' only if all output devices are stopped.
1353		and analysis of the state of th
1354		Note: Also, it is tempting to define 'stopped' as when a sufficient number of output
1355 1356		devices are stopped and leave it to an implementation to define the sufficient
1357		number. But such a rule complicates the definition of 'stopped' and 'processing'. For example, with this alternate definition of 'stopped', a job can move from
1358		'pending' to 'processing' without human intervention, even though the Printer is
1359		stopped.
1360		
1361	-	JE: Is Digest REQUIRED for an IPP Client and an IPP Printer
1362	to support?	
1363	The Transport and Er	acoding document contains the following incorrect sentence:
1364	The IPP Mode	el document defines an IPP implementation with "authentication" as one that
1365	implements th	ne standard way for transporting IPP messages within HTTP 1.1.
1366	since the IPP Model of	document doesn't mention HTTP 1.1, since that is a transport issue.
1367	*	acoding document refers to RFC 2068 (HTTP/1.1) and RFC 2069 (Digest), but does
1368 1369	•	2069 be supported. Furthermore, RFC 2068 does not require that RFC 2069 be
1309	supported either.	
1370	Suggested change	e <i>:</i>
1371	Change the Transport	and Encoding document to require that clients and Printers MUST support HTTP
1372	1.1.	
1373	Suggested change	e:
1374	IPP/1.1 clients and Pr	rinters MUST support Digest [RFC 2069]; OPTIONAL for IPP/1.0.
1375	Suggested change to	Encoding and Transport document for IPP/1.1 conformance:
1376		r MUST contain software that allows an administrator to configure the client
1377	authentication	part of HTTP Digest (but not encryption of the body)
1378		UST implement the above in order to be able to interoperate with conforming
1379	Printers.	
1200	CII I I I I I	

Clients and Printers MAY also support additional Client Authentication, such as:

1381 1382	1. HTTP Basic (not certificates) over a TLS secured channel (implementing TLS authentication is NOT REQUIRED).
1383	2. HTTP Basic (not certificates) over an SSL3 secured channel.
1384 1385	A Printer implementation MAY allow an administrator to configure the Printer so that all, some, or none of the users are authenticated.
1386	Suggested text for Section 5.1 Client Conformance:
1387	A client MUST/SHOULD [which is to be determined in consultation with the Area Director] support
1388	Client Authentication as defined in the IPP/1.1 Encoding and Transport document [ipp-pro]. A client
1389	SHOULD support Operation Privacy and Server Authentication as defined in the IPP/1.1 Encoding and
1390	Transport document [ipp-pro]. See also [ipp-mod] section 8.
1391	Suggested text for a new sub-section to Section 5.2 IPP Object Conformance:
1392	5.2.7 Security
1393	An IPP Printer implementation MUST/SHOULD [which is to be determined in consultation with the
1394	Area Director] contain support for Client Authentication as defined in the IPP/1.1 Encoding and
1395	Transport document [ipp-pro]. A Printer implementation MAY allow an administrator to configure the
1396	Printer so that all, some, or none of the users are authenticated. See also [ipp-mod] section 8.
1397	An IPP Printer implementation SHOULD contain support for Operation Privacy and Server
1398	Authentication as defined in the IPP/1.1 Encoding and Transport document [ipp-pro]. A Printer
1399 1400	implementation MAY allow an administrator to configure the degree of support for Operation Privacy and Server Authentication. See also [ipp-mod] section 8.
1401 1402	33) OPEN - ISSUE: Ok to include the IPP/1.0 conformance requirements in the IPP/1.1 document?
1403	Suggested change:
1404 1405	No. The IPP/1.1 Model and Semantics document and the IPP/1.1 Encoding and Transport document
1403	will only cover IPP/1.1. They will NOT obsolete the experimental RFC that describes IPP/1.0. They will NOT describe IPP/1.0 at all.
1407	The IPP/1.1 document will say that for interoperability with IPP/1.0 clients, that an IPP Printer
1408	SHOULD accept IPP/1.0 requests ("version-number" parameter = '1.0') and, if they accept the request,
1409	MUST respond with IPP/1.0 responses ("version-number" parameter = '1.0'). Furthermore, an IPP/1.1
1410	conforming Printer or an IPP/1.0 conforming Printer MAY respond with any IPP/1.1 feature in such an
1411	IPP/1.0 response that would not jeopardize interoperability with any IPP/1.0 client. See Issue 17 for an
1412	example of an IPP/1.1 extension that MUST NOT be returned in a '1.0' response. If the IPP/1.1 Printer
1413 1414	does not support version '1.0' requests, then it MUST reject such requests and return the 'server-error-version-number-not-supported' status code with the "version-number" parameter set to '1.1'.
1415	Fig. the mule for using minor version numbers so that we can still use? I 1? for this version

Suggested text for section 3.1.7 versions:

- 1417 3.1.7 Versions
- Each operation request and response carries with it a "version-number" parameter. Each value of the
- "version-number" is in the form "X.Y" where X is the major version number and Y is the minor version
- number. By including a version number in the client request, it allows the client to identify which
- version of IPP it is interested in using. If the IPP object does not support that version, the object
- responds with a status code of 'server-error-version-not-supported' along with the closest version number
- that is supported (see section 13.1.5.4).
- There is no version negotiation per se. However, if after receiving a 'server-error-version-not-supported'
- status code from an IPP object, there is nothing that prevents a client from trying again with a different
- version number. In order to conform to IPP/1.1, an IPP object implementations MUST support versions
- 1427 '1.10' and SHOULD support version '1.0'.
- There is only one notion of "version number" that covers both IPP Model and IPP Protocol changes.
- 1429 Thus the version number MUST change when introducing a new version of the Model and Semantics
- document [IPP-MOD] or a new version of the "Encoding and Transport" document [IPP-PRO].
- 1431 Changes to the major version number indicate structural or syntactic changes that make it impossible for
- older version of IPP clients and Printer objects to correctly parse and correctly process the new or
- changed attributes, operations and responses. If the major version number changes, the minor version
- numbers is set to zero. As an example, adding the <u>REQUIRED</u> "ipp-attribute-fidelity" attribute <u>to</u>
- version '1.1' (if it had not been part of version '1.04'), would have required a change to the major version
- number, since an IPP/1.0 Printer would not have processed a request with the correct semantics that
- 1437 contained the "ipp-attribute-fidelity" attribute that it did not know about. Items that might affect the
- changing of the major version number include any changes to the Model and Semantics document [IPP-
- MOD] or the "Encoding and Transport" document [IPP-PRO] itself, such as:
- reordering of ordered attributes or attribute sets
- changes to the syntax of existing attributes
- 1442 changing Operation or Job Template attributes from OPTIONAL to REQUIRED and vice versa
- 1443 adding REQUIRED (for an IPP object to support) operation attributes
- adding REQUIRED (for an IPP object to support) operation attribute groups
- adding values to existing **REQUIRED** operation attributes
- adding REQUIRED operations
- 1448 Changes to the minor version number indicate the addition of new features, attributes and attribute
- values that may not be understood by all IPP objects, but which can be ignored if not understood. Items
- that might affect the changing of the minor version number include any changes to the model objects and
- attributes but not the encoding and transport rules [IPP-PRO] (except adding attribute syntaxes).
- Examples of such changes are:

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- grouping all extensions not included in a previous version into a new version
- adding new attribute values
- adding new object attributes
- adding OPTIONAL (for an IPP object to support) operation attributes (i.e., those attributes that an IPP object can ignore without confusing clients)

- adding OPTIONAL (for an IPP object to support) operation attribute groups (i.e., those attributes that an IPP object can ignore without confusing clients)
- adding new attribute syntaxes
- adding OPTIONAL operations
- changing Job Description attributes or Printer Description attributes from OPTIONAL to
 REQUIRED or vice versa.
- 1464 adding OPTIONAL attribute syntaxes to an existing attribute.

- 1466 The encoding of the "version-number" MUST NOT change over any version number (either major or
- 1467 minor). This rule guarantees that all future versions will be backwards compatible with all previous
- versions (at least for checking the "version-number"). In addition, any protocol elements (attributes,
- error codes, tags, etc.) that are not carried forward from one version to the next are deprecated so that
- they can never be reused with new semantics.
- 1471 Implementations that support a certain major version NEED NOT support ALL previous versions. As
- each new major-version is defined (through the release of a new specification), that major version will
- specify which previous major versions MUST and which versions SHOULD be supported in compliant
- implementations.

1475 Suggested text for the Appendices

- 1476 The IPP/1.1 documents will contain an appendix that summarizes each difference from IPP/1.0 by
- section number and a brief description (see February 1999 I-Ds). The appendix will contain two
- separate lists: one is clarifications and OPTIONAL additions to IPP/1.1 and the other is changes in
- 1479 conformance requirements of existing IPP/1.0 features or new REQUIRED IPP/1.1 features.
- 1480 Here are the items for the Appendix for IPP-PRO:
- 1. <u>IPP/1.1 clients and Printers MUST support the IPP scheme; IPP/1.0 clients and Printers MUST</u> support the http scheme.
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 2. IPP/1.1 clients MUST support the secured channel part of TLS with at least Basic authentication AND the user authentication part of Digest and non-TLS access; IPP/1.0 clients SHOULD support SSL3 which uses the https scheme and non-SSL3 access. (See Issue 32)
- 3. <u>IPP/1.1 Printers MUST be configurable to support the secured channel part of TLS access with at least Basic authentication OR the user authentication part of Digest; IPP/1.0 Printers SHOULD support SSL3 which uses the https scheme and non-SSL3 access. (See Issue 32)</u>
- Here are the items for the second list in the Appendix for IPP-MOD:
- 1490 The following changes in semantics and/or conformance have been incorporated into this document:
- 1. Section 3.1.8, 5.2.4, and 13.1.5.4 Clients and IPP objects MUST support version 1.1 and SHOULD support version 1.0. Issue 33 and Issue 36
- 2. Section 3.2.1.1 and section 4.4.32 changed the "compression" and "compression-supported" attributes from OPTIONAL to REQUIRED. Issue 28

- 3. Sections 3.2.1.2 and 4.3.8 changed "job-state-reasons" from RECOMMENDED to
 REQUIRED, so that "job-state-reasons" MUST be returned in create operation responses. Issue
 30
 - 4. Sections 3.2.4, 3.3.1, 4.4.16, and 16 changed Create-Job/Send-Document so that they MAY be implemented while only supporting one document jobs. Added the "multiple-document-jobs-supported" boolean Printer Description attribute to indicate whether Create-Job/Send-Document support multiple document jobs or not. Added to the Directory schema. Issue 34
 - 5. <u>Section 4.1.9 deleted 'text/plain</u>; charset=iso-10646-ucs-2', since binary is not legal with the 'text' type.
 - 6. Section 4.3.8 changed "job-state-reasons" from RECOMMENDED to REQUIRED. Issue 30
 - 7. Section 4.3.12 added OPTIONAL 'dateTime' attribute syntax to "time-at-creation", "time-at-processing", and "time-at-completed" Event Time Job Description attributes for use in version '1.1' responses. Issue 17
 - 8. Section 4.3.12 changed the "time-at-creation", "time-at-processing", and "time-at-completed" Event Time Job Description attributes from OPTIONAL to REQUIRED. Issue 17
 - 9. Section 4.3.12.4 added the REQUIRED "job-printer-up-time (integer(1:MAX))" Job Description attribute as an alias for "printer-up-time" to reduce number of operations to get job times. Issue 17
 - 10. Section 4.4.2 added the REQUIRED "uri-authentication-supported (1setOf type2 keyword)"
 Printer Description attribute to describe the Client Authentication used by each Printer URI.

 Issue 2
 - 11. Section 4.4.11 clarified the "printer-state" to allow a Printer that can interpret one or more jobs (rip) while marking one job to have those jobs all in the 'processing' state. Issue 31
 - 12. <u>Section 4.4.12 changed "printer-state-reasons" Printer Description attribute from OPTIONAL to REQUIRED.</u> Issue 30
 - 13. <u>Section 4.4.14 added the REQUIRED "ipp-versions-supported (1setOf keyword)" Printer Description attribute, since IPP/1.1 Printers do not have to support version '1.0'.</u>
 - 14. Section 4.4.16 added the REQUIRED "multiple-document-jobs-supported (boolean)" Printer Description attribute so that a client can tell whether a Printer that supports Create-Job/Send-Document supports multiple document jobs or not. Issue 34
 - 15. <u>Section 4.4.24 changed the "queued-job-count" Printer Description attribute from</u> RECOMMENDED to REQUIRED. <u>Issue 29</u>
 - 16. <u>Section 4.4.32 changed "compression-supported (1setOf type3 keyword)" Printer Description</u> attribute from OPTIONAL to REQUIRED. <u>Issue 28</u>
 - 17. Section 5.1 changed the client security requirements from RECOMMENDED non-standards track SSL3 to MUST/SHOULD [which is to be determined in consultation with the Area Director] support Client Authentication as defined in the IPP/1.1 Encoding and Transport document [IPP-PRO]. A client SHOULD support Operation Privacy and Server Authentication as defined in the IPP/1.1 Encoding and Transport document [IPP-PRO]. Issue 32
 - 18. Section 5.2.7 changed the IPP object security requirements from OPTIONAL non-standards track SSL3 to MUST/SHOULD [which is to be determined in consultation with the Area Director] contain support for Client Authentication as defined in the IPP/1.1 Encoding and Transport document [IPP-PRO]. A Printer implementation MAY allow an administrator to configure the Printer so that all, some, or none of the users are authenticated. An IPP Printer implementation SHOULD contain support for Operation Privacy and Server Authentication as defined in the IPP/1.1 Encoding and Transport document [IPP-PRO]. A Printer implementation MAY allow an administrator to configure the degree of support for Operation Privacy and Server Authentication. Issue 32

1543	For the IIG:
1544 1545	1. <u>Discuss the advantage for client implementations to support both IPP/1.1 and IPP/1.0, so that they can interoperate with either Printer implementations.</u>
1546 1547	2. Discuss the advantage for Printer implementations to support both IPP/1.1 and IPP/1.0, so that they can interoperate with either client implementations.
1548 1549 1550 1551	Most conformance requirements are the same for IPP/1.0 and IPP/1.1. For those make no special indication in the document. For those for which the conformance is REQUIRED for IPP/1.1, but OPTIONAL for IPP/1.0, state: IPP/1.1 xxx MUST; OPTIONAL in IPP/1.0, where xxx is either client or Printers.
1552 1553	34) OPEN ISSUE: Ok to REQUIRE "multiple-document-handling if Create- Job is supported?
1554	The IPP/1.0 Implementer's Guide contains the following issue:
1555	2.16 Support of multiple document jobs
1556 1557	IPP/1.0 is silent on which of the four effects an implementation would perform if it supports Create-Job, but does not support "multiple-document-handling".
1558 1559 1560 1561 1562 1563 1564 1565	A fix to IPP/1.0 would be to require implementing all four values of "multiple-document-handling" if Create-Job is supported at all. Or at least 'single-document-new-sheet' and 'separate documents-uncollated-copies'. In any case, an implementation that supports Create-Job SHOULD also support "multiple-document-handling". Support for all four values is RECOMMENDED, but at least the 'single-document-new-sheet' and 'separate-documents-uncollated-copies' values, along with the "multiple-document-handling-default" indicating the default behavior and "multiple-document-handling-supported" values. If an implementation spools the data, it should also support the 'separate-documents-collated-copies' value as well.
1566 1567 1568 1569 1570	There is a need to allow Create-Job and Send-Document to be supported while making it OPTIONAL to support multiple documents per job. A client that wants to monitor a job while it is sending data can do so with Create-Job and Send-Document. A Printer that wants to support "long documents", namely, when the document data is indefinitely long (so long it can't be spooled) but does not want to support multiple documents.
1571	Suggested solution:
1572 1573	<u>Instead of requiring "multiple-document-handling" if Create-Job and Send-Document are supported as proposed in the original solution for Issue 34, lets:</u>
1574 1575 1576	1. Clarify that a conforming implementation NEED NOT support multiple documents when it supports the Create-Job and Send-Document operations. (There currently is no conformance sentence that requires support of multiple document jobs when Create-Job and Send-Document are supported, though

that was certainly our intent which this clarification would countermand).

1578	2. If the Printer does support the Create-Job and Send-Document operations, then it MUST support the
1579	(new) "multiple-document-jobs-supported (boolean)" Printer Description attribute. A 'true' value
1580	indicates that multiple documents are supported in a job.
1581	3. Add "multiple-document-jobs-supported (boolean)" to the Directory Schema in Appendix E as
1582	OPTIONAL.
1583	4. If the Printer does support multiple documents in a job, then it MUST support the "multiple-
1584	document-handling" Job Template attribute with at least one value and the associated "multiple-
1585	document-handling-default" and "multiple-document-handling-supported" Job Template Printer
1586	attributes.
1587	5. Add a new status code: 'server-error-multiple-document-jobs-not-supported'
1588	6. In the table in section 14.2 indicate that 'server-error-multiple-document-jobs-not-supported' can be
1589	used only with the Send-Document and Send-URI operations.
1590	Suggested text for section 3.2.4 Create-Job:
1501	
1591	If the Printer object supports this operation, then it MUST support the "multiple-document-jobs-
1592	supported" Printer Description attribute and indicate whether or not it supports multiple-document jobs.
1593	If the Printer object supports this operation and supports multiple documents in a job, then it MUST
1594	support the "multiple-document-handling" Job Template job attribute with at least one value (see section
1595	4.2.4) and the associated "multiple-document-handling-default" and "multiple-document-handling-
1596	supported" Job Template Printer attributes.
1597	Suggested text for section 3.3.1 Send-Document operation:
1598	If the Printer supports this operation but does not support multiple documents per job, the Printer MUST
1599	reject subsequent Send-Document operations supplied with data and return the 'server-error-multiple-
1600	document-jobs-not-supported'. However, the Printer MUST accept the first document with a 'true' or
1601	'false' value for the "last-document" operation attribute (see below), so that clients MAY always submit
1602	one document jobs with a 'false' value for "last-document" in the first Send-Document and a 'true' for
1603	"last-document" in the second Send-Document (with no data).
1.50.4	
1604	Suggested text for section 4.2.4 multiple-document-handling
1605	After the first sentence which says:
1606	This attribute is relevant only if a job consists of two or more documents.
1607	add:
1608	This attribute MUST be supported if the Printer supports multiple documents per job (see
1609	sections 3.2.4 and 3.3.1).
1610	Suggested text for new section 4.4.28 multiple-document-jobs-supported
1611	4.4.28 multiple-document-jobs-supported (boolean)

1612	This Printer attribute indicates whether or not the Printer supports more than one document per job, i.e.,
1613	more than one Send-Document or Send-Data operation with document data. If the Printer supports the
1614	Create-Job and Send-Document operations, it MUST support this attribute.
1615	Suggested text for new section 14.1.5.10:
1616	14.1.5.10 server-error-multiple-document-jobs-not-supported (0x0509)
1617 1618	The IPP object does not support multiple documents per job and a client attempted to supply document data with a second Send-Document or Send-URI operation.
1619	35) OPEN - ISSUE: What error code to return on Print-URI or Send-URI if
1620	document not accessible?
1621	Section 3.2.2, "Print-URI Operation", it looks like it's an implementation decision whether to pull the
1622	data from the document-uri at job submission time or at job processing time. Say I decide to pull the
1623	data at job submission time. Say I get some kind of error doing so, like no-route-to-host, or HTTP 404.
1624	Shouldn't I return some kind of error status? Currently, it looks like I have to return successful-ok as
1625	long as the document-uri uses a scheme I support, regardless of whether or not I can actually get the
1626	document data.
1627	Suggested addition:
1628	Add both a new 'client-error-document-access-error' status code and a 'document-access-error' value for
1629	"job-state-reasons", just like we have done for compression and document format errors for Issue 3, 6,
1630	and 28.
1631	Suggested text for section 3.2.2 Print-URI Operation:
1632	Replace the sentences:
1633	See The Implementer's Guide [IPP-IIG] for suggested additional checks. The Printer NEED
1634	NOT follow the reference and validate the contents of the reference.
1635	with:
1636	The IPP Printer MAY validate the accessibility of the document as part of the operation or
1637	subsequently. If the Printer determines an accessibility problem before returning an operation
1638	response, it rejects the request and returns the 'client-error-document-access-error' status code. If
1639	the Printer determines this accessibility problem after accepting the request and returning an
1640	operation response with one of the successful status codes, the Printer adds the 'document-access
1641	error' value to the job's "job-state-reasons" attribute. See The Implementer's Guide [IPP-IIG] for
1642	suggested additional checks.
1643	Suggested text for section 4.3.8 job-state-reasons:
1644	'document-access-error': After accepting a Print-URI or Send-URI request, the Printer could not
1645	access one or more documents passed by reference. This reason is intended to cover any file
1646	access problem, including file does not exist and access denied because of an access control

1647 1648 1649 1650	problem. Whether the Printer aborts the job and moves the job to the 'aborted' job state or prints all documents that are accessible and moves the job to the 'completed' job state and adds the 'completed-with-errors' value in the job's "job-state-reasons" attribute depends on implementation and/or site policy.
1651	Suggested text for section 14.1.4.19 Client Error Status Codes:
1652	4.1.4.19 client-error-document-access-error (0x0412)
1653 1654 1655 1656 1657 1658	The IPP object is refusing to service the Print-URI or Send-URI request because Printer encountered an access error while attempting to validate the accessibility or access the document data specified in the "document-uri" operation attribute. This error is returned independent of the client-supplied "ippattribute-fidelity". The Printer object MUST return this status code, even if there are Job Template attributes that are not supported as well, since this error is a bigger problem than with Job Template attributes.
1659 1660	36) OPEN_ISSUE: Don't require 1.0 support and add REQUIRED "version-numbers-supported" attribute
1661	Suggested addition:
1662 1663 1664 1665 1666 1667	RECOMMEND, rather than REQUIRE, conforming IPP/1.1 clients and the IPP/1.1 Printers to support IPP/1.0 requests and responses. Therefore, add an "ipp-versions-supported" Printer Description attribute. Indicate that version '1.0' can include any extension in the IPP/1.1 document as long as it follows the rules of an IPP/1.0 request, if any, such as in the "time-at-xxx" Job Description attributes and the "operations-supported" attribute. Also add this attribute as RECOMMENDED in the directory schema list in the Appendix.
1668	Suggested text for new attribute:
1669	4.4.n ipp-versions-supported(1setOf type2 keyword)
1670 1671 1672 1673 1674	This REQUIRED attribute identifies the IPP protocol versions that this Printer supports, including minor versions, i.e., the values of the "version-number" parameter that it will accept in requests and return in responses. If an IPP Printer receives a request with the "version-number" parameter set to a (two-octet binary) value that does not correspond to one of the values of this (US-ASCII) keyword, it MUST reject the request and return the 'server-error-version-not-supported' status code. See Section 3.1.8.
1675	The following standard keyword values are defined:
1676 1677 1678 1679 1680 1681 1682	 '1.0': Version 1.0 as specified in RFC 2566 [RFC2566] and RFC 2565 [RFC2565] including any extensions registered according to Section 6 and any extension defined in this version or any future version of this document following the rules when the "version-number" parameter is '1.0', if any. For an example of such a '1.0' rule, see section 4.3.12. '1.1': Version 1.1 as specified in this document and [IPP-PRO] including any extensions registered according to Section 6 or defined in any future version of this document following the rules when the "version-number" parameter is '1.1', if any.

1683	Suggested modification to section 3.1.7 Versions:
1684	See Issue 33.
1685	Suggested change to section 5.2.4 [Conformance of] Versions:
1686 1687	Clients MUST support version 1.1 and <u>SHOULD MAY</u> also support version 1.0. IPP objects MUST support <u>both</u> version 1. <u>10</u> and <u>SHOULD also support version</u> 1. <u>0</u> 1. See section 3.1.8.
1688	Suggested changes to section 13.1.5.4 server-error-version-not-supported (0x0503)
1689	13.1.5.4 server-error-version-not-supported (0x0503)
1690 1691 1692 1693 1694 1695	The IPP object does not support, or refuses to support, the IPP protocol version that was <u>used-supplied as</u> the value of the "version-number" operation parameter in the request <u>message</u> . The IPP object is indicating that it is unable or unwilling to complete the request using the same <u>major and minor</u> version <u>number</u> as supplied in the request other than with this error message. The <u>error</u> response <u>should</u> <u>SHOULD</u> contain a <u>"status-message" attribute</u> <u>Message</u> describing why that version is not supported and what other versions are supported by that IPP object. <u>See section 3.1.6</u> . <u>Issue 11</u>
1696	A conforming IPP/1.1 client MUST specify a valid version ('1.1' or '1.0') on each request. A conforming
1697	IPP/1.1 object MUST NOT return this status code to a conforming IPP/1.1 or IPP/1.0 client. An IPP
1 (00	1' AKTION AL' AL C' IDD 1' ON AKTION'I A'C

A conforming IPP/1.1 client MUST specify a valid version (1.1° or '1.0°) on each request. A conforming IPP/1.1 object MUST NOT return this status code to a conforming IPP/1.1 or IPP/1.0 client. An IPP object MUST return this status code to a non-conforming IPP client. The error response MUST identify in the "version-number" operation attributeparameter the closest version number that the IPP object does support. For example, if a client supplies version '1.0' and , a conforming IPP/1.1 object supports version '1.0', then it MUST respond with version '1.0'. If the IPP/1.1 object does not support version '1.0', then it MUST respond with this error code. Issue 36