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18	Internet Printing Protocol/1.0 & 1.1: Job Independent Subscriptions
19	Version 0.5
20	Abstract
21 22 23 24 25	This document describes an extension to the IPP Event Notification Proposal [ipp-not]. Three new Printer operations are specified: Subscribe, Renew-Subscription, and Unsubscribe. The semantics of the two subscription Operation attributes ("notify-events" and "notify-recipients") used in create operations is preserved. These two subscription attributes are supplied by the client as operation attributes in this new Subscribe operation, along with a new "notify-lease-time" operation attribute.
26 27	A client can remove a subscription explicitly using the Unsubscribe operation or can let the lease time expire. A client can extend the lease time for a subscription using the Renew-Subscription.
28 29 30 31	Subscriptions sent to the IPP object using a job submission operation are called "Job Submission Subscriptions." Subscriptions sent to the IPP object using the new "Subscribe" operation are called "Job Independent Subscriptions". The semantic differences between job submission subscriptions and job independent subscriptions are presented.

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1 Summary of the proposal

1. If this "Job Independent Subscription" specification is supported, the IPP Printer MUST support three new Printer operations: Subscribe, Renew-Subscription, and Unsubscribe. These operations allow a client that is not submitting a job to subscribe, renew a subscription, and to unsubscribe independently from job submission.

2. The Subscribe operation uses the following two REQUIRED subscription operation attributes:

"notify-recipients" (1setOf uri)

"notify-events" (1setOf type2 keyword)

These are the same operation attributes used to subscribe for notifications in the create operations [ipp-not].

3. A third REQUIRED operation attribute, "notify-lease-time", specifies the length of time in seconds that the subscription is to be in effect, after which the subscription is automatically canceled.

4. A fourth REQUIRED operation attribute, "subscription-id", allows a client to maintain a reference to the applicable subscriptions made and know which event report were generated as a result of this subscription.

5. The subscription created by a Subscribe operation is called a "Job Independent Subscription". Just like Job Submission Subscriptions, this subscription can include job and/or device events. Subscriptions for Job Events in this type apply to all jobs. For these types of subscriptions, it is most likely that the subscribing entity is either a server-based device management application submitting jobs to a device which has IPP embedded in it or an accounting application collecting accounting data.

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2 The Model for Job Independent Subscriptions

Figure 1 shows both 1) Job Submission Subscriptions and 2) Job Independent Subscriptions.

```
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    Legend:
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        A = Job Independent Subscription Client and
91
             Notification Recipient
92
        B = Job Submission Subscription Client and
93
             Notification Recipient
94
        C = Notification Recipient (subscription by some third party)
95
96
        O A +----+
97
        /|\ | client/ |----Job Independent Subscription---+
98
        / \ | notif. | oper-| recip. | <---Any Job and device----+
99
        ator +----- Event Reports |
100
        0 B +----- Job Submission \#########
101
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        /|\ | client/ |----Subscription-----># IPP #
105
        106
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        109
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        / \ recip. | <---Job and device-----+
end- | Event Reports
111
112
113
        user +----+
114
115
        D = server-based device management application submitting
116
        jobs to a device which has IPP embedded in it
117
        E = an accounting application collecting accounting data
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119
                     +----Job Independent Subscription-----+
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121
122
        IPP D +----- Job Submission #########
123
        jobs---->| server/ |----Subscription-----># IPP #
124
         | notif. |
                                      # Printer #
125
        other---> | recipient | <---Job and device-----# Object #
        jobs +----+ Event Reports ######### | ^
126
             127
128
          129
      accounting notif.
130
131
        app. | recipient | -- Job Independent Subscription ---+
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                +----+
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```

Figure 1 - Model for Job and Printer Notification

3 Job Independent Subscription Semantics

- There are semantic differences between job submission subscriptions and job independent subscriptions.
- A "Job Submission Subscription" is established between the client and the device when a job create
- request is made. The attributes as specified in [ipp-not] are sent to the device and the response includes
- attributes telling the client if the subscription was successful or not. A "Job Independent Subscription"
- is established between a client and a device using the three new operations defined herein. Some
- specific differences are:

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- 1. Either type of subscription can register for job events, printer events, or both.
- 2. For "Job Submission Subscriptions", the subscription is only valid while the job is "not-complete" (see [ipp-not] section 4).
- 3. For "Job Independent Subscriptions", the subscription is valid until it is explicitly canceled with an Unsubscribe operation or the time (in seconds) that was specified in the "notify-lease-time" operation attribute expires, whichever occurs first.
- 4. Job Events in a "Job Submission Subscription" apply only to "this job" (the Job object created because of the job create operation).
- 5. Job Events in a "Job Independent Subscription" apply to ALL jobs contained in the IPP Printer object. There is no way to use a Job Independent Subscription to subscribe to job events on a specific job. Only a "Job Submission Subscription" applies to a specific job (the submitted job).
- 6. Printer Events in both "Job Submission Subscriptions" and "Job Independent Subscriptions" apply no matter which job is being processed.

4 The Subscribe, Renew-Subscription, and Unsubscribe Operations

- 156 The Subscribe, Renew-Subscription, and Unsubscribe operations are like any other IPP operation with
- respect to common operation attributes (target, charset, "requesting-user-name", natural language,
- unsupported attributes, etc.).

4.1 Subscribe Operation

- 160 If Job Independent Subscription is implemented, this operation is REQUIRED to be supported by the
- device. This operation allows a client to receive event reports from a specified device regarding it's own
- events and all the jobs associated with it. If a notification recipient has already done a subscribe
- operation, a subsequent subscribe will renew his subscription and update the events list and lease-time.
- 164 ISSUE 1: Who filters out duplicate subscriptions? Client or Printer? If it is the client, then the
- Subscription list needs to be made available to it. If it is the Printer, how are duplicate subscriptions
- defined? If a Subscribe operation is performed and the "notify-recipient" and "notify-events" match a
- subscription already granted (whose lease time has not expired), does the IPP Printer just update the
- subscription's "notify-events" and "lease-time"? How defend against malicious clients? If the Printer
- filters out duplicates, then can we get rid of the Renew-Subscription operation?

- 170 If the Printer has a bounded set of concurrent subscriptions (for the specified events or any events), it
- rejects the operation and returns the 'server-error-too-many-subscriptions' status code. The client
- 172 SHOULD try again later.

4.1.1 Subscribe Request

- 174 The following groups of attributes are part of the Subscribe Request:
- 175 Group 1: Operation Attributes
- 176 Natural Language and Character Set:

The "attributes-charset" and "attributes-natural-language" attributes as described in [ipp-mod] section 3.1.4.1.

179 Target:

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The "printer-uri" (uri) operation attribute which is the target for this operation as desribed in [ipp-mod] section 3.1.5.

Requesting User Name:

The "requesting-user-name" (name(MAX)) attribute SHOULD be supplied by the client as described in [ipp-mod] section 8.3.

"notify-recipients" (1setOf uri):

The client MUST supply this attribute. If Job Independent Subscription is implemented, the printer object MUST support this attribute. It describes both where (the address) and how (the delivery method) event reports are to be delivered when any of the events specified in the "notify-events" attribute occur. See [ipp-not] section 4.1.1.

"notify-events" (1setOf type2 keyword):

The client OPTIONALLY supplies this attribute. If Job Independent Subscription is implemented, the printer object MUST support this attribute. If the client does not supply the attribute, then the Printer object uses its "notify-events-default" attribute value. See [ipp-not] section 4.1.3.

"notify-lease-time" (integer(0:MAX)):

The client OPTIONALLY supplies this attribute. If Job Independent Subscription is implemented, the printer object MUST support this attribute. This attribute requests a lease time for the subscription, i.e., the number of seconds before the subscription expires.

There is no way to query the outstanding subscriptions. Instead, every subscription has a lease time, so that no subscription can be carried too long and become a dead subscription because the Notification Recipient has gone away.

If the client does not supply this attribute in the request, the Printer object uses its "notify-lease-time-default" attribute value.

4.1.2 Subscribe Response

- 210 The following groups of attributes are part of the Subscribe Response:
- 211 Group 1: Operation Attributes

212 Status Message:

213 In addition to the REQUIRED status code returned in every response, the response OPTIONALLY includes a "status-message" (text) operation attribute as described in [ipp-mod] 214

215 section 3.1.6.

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Natural Language and Character Set:

The "attributes-charset" and "attributes-natural-language" attributes as described in [ipp-mod] section 3.1.4.1.

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"subscription-id" (integer(1:MAX)):

The Printer object MUST support this attribute and return it in the response. It contains an integer subscription identifier assigned by the Printer object for use in event reports and Renew-Subscription/Unsubscribe operations.

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"notify-lease-time" (integer(0:MAX)):

The Printer object MUST support this attribute and return it in the response. It contains the actual lease time granted, which MUST be in the range specified by the Printer's "notify-leasetime-supported" attribute. If the client supplied a value that is less than the minimum or greater than the maximum, the Printer sets the actual lease time to the minimum or maximum value, respectively, but otherwise accepts and performs the operation normally and no special status code is returned. It is up to the client to check this returned value, if it cares.

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Group 2: Unsupported Attributes

See section 3.1.7 for details on returning Unsupported Attributes.

4.2 Renew-Subscription 237

- 238 If Job Independent Subscriptions is implemented, this operation is REQUIRED to be supported by the
- 239 device. This operation allows a client to renew the lease time on an already established subscription.
- 240 The client supplies the "subscription-id" for this operation.

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4.2.1 Renew-Subscription Request

- 243 The following groups of attributes are part of the Subscribe Request:
- 244 Group 1: Operation Attributes
 - Natural Language and Character Set:

The "attributes-charset" and "attributes-natural-language" attributes as described in [ipp-mod] section 3.1.4.1.

248

249 Target:

250 The "printer-uri" (uri) operation attribute which is the target for this operation as described in 251 [ipp-mod] section 3.1.5.

253 Requesting User Name: The "requesting-user-name" (name(MAX)) attribute SHOULD be supplied by the client as 254 described in [ipp-mod] section 8.3. 255 256 257 "subscription-id" (integer(1:MAX)): 258 The client MUST supply this attribute. The printer object MUST support this attribute. It 259 identifies the subscription to be renewed, i.e., it is the integer subscription identifier returned by 260 the Printer object in a previous Subscribe or Renew-Subscription operation. 261 262 The Printer returns a 'client-error-not-found' status code, if the subscription id is not found (or has expired). 263 264 265 "notify-lease-time" (integer(0:MAX)): 266 See the description of "notify-lease-time" for the Subscribe operation request in Section .4.1.1. 267 268 269 4.2.2 Renew-Subscription Response 270 The following groups of attributes are part of the Subscribe Response: 271 Group 1: Operation Attributes 272 Status Message: 273 In addition to the REQUIRED status code returned in every response, the response 274 OPTIONALLY includes a "status-message" (text) operation attribute as described in [ipp-mod] 275 section 3.1.6. 276 277 Natural Language and Character Set: The "attributes-charset" and "attributes-natural-language" attributes as described in [ipp-mod] 278 279 section 3.1.4.1. 280 281 "subscription-id" (integer(1:MAX)): See the description of "subscription-id" for the Subscribe operation response in Section 4.1.2. 282 283 The value returned in the response MAY be different than the value supplied in the request, i.e., 284 the implementation MAY assign a new subscription ID to the renewed subscription. 285 ISSUE 2 - Ok to permit an implementation to assign a new Subscription ID on a Renew-286 287 Subscription or should we require the ID to remain the same (and not be returned in the 288 response)? 289 290 "notify-lease-time" (integer(0:MAX)): 291 See the description of "notify-least-time" for the Subscribe operation response in Section 4.1.2. 292 293 Group 2: Unsupported Attributes

See section 3.1.7 for details on returning Unsupported Attributes.

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296 **4.3 Unsubscribe**

- 297 If Job Independent Subscription is implemented, this operation is REQUIRED to be supported by the
- device. This operation allows a client to unsubscribe from the notification service. The "subscription-
- 299 id" is required to perform this operation. Once a client performs an Unsubscribe operation, the printer
- immediately stops sending event reports to the associated Notification Recipient(s) for that subscription.
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- 301
 - 4.3.1 Unsubscribe Request
- The following groups of attributes are part of the Subscribe Request:
- 304 Group 1: Operation Attributes
- 305 Natural Language and Character Set:

The "attributes-charset" and "attributes-natural-language" attributes as described in [ipp-mod] section 3.1.4.1.

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309 Target:

The "printer-uri" (uri) operation attribute which is the target for this operation as desribed in [ipp-mod] section 3.1.5.

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Requesting User Name:

The "requesting-user-name" (name(MAX)) attribute SHOULD be supplied by the client as described in [ipp-mod] section 8.3.

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"subscription-id" (integer(1:MAX)):

The client MUST supply this attribute. The printer object MUST support this attribute. It identifies the subscription to be removed, i.e., it is the integer subscription identifier returned by the Printer object in a previous Subscribe or Renew-Subscription operation.

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The Printer returns a 'client-error-not-found' status code, if the subscription id is not found (or has expired).

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4.3.2 Unsubscribe Response

- The following groups of attributes are part of the Subscribe Response:
- 327 Group 1: Operation Attributes
- 328 Status Message:

In addition to the REQUIRED status code returned in every response, the response OPTIONALLY includes a "status-message" (text) operation attribute as described in the response of the respons

OPTIONALLY includes a "status-message" (text) operation attribute as described in [ipp-mod] section 3.1.6.

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333 Natural Language and Character Set:

The "attributes-charset" and "attributes-natural-language" attributes as described in [ipp-mod] section 3.1.4.1.

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Group 2: Unsupported Attributes

338	See section 3.1.7 for details on returning Unsupported Attributes.		
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341	5 Printer Description Attributes		
342 343	The following Printer Description attributes are defined for use with job independent subscriptions for notification:		
344	5.1 notify-lease-time-supported (rangeOfInteger(0:MAX))		
345 346 347	This REQUIRED Printer attribute specifies the range of values in seconds that are supported for the "notify-lease-time" operation attribute. See section 4.1.2 for the behavior if the client-supplied value is outside this range.		
348	5.2 notify-lease-time-default (integer(0:MAX))		
349 350 351	This REQUIRED Printer attribute specifies the value of the lease time that the Printer object has been configured to assume if the client does not supply a "notify-lease-time" operation attribute in the Subscribe or Renew-Subscription operations.		
352	5.3 subscription-expire-warning-time (integer(0:MAX))		
353 354 355	This CONDITIONAL Printer attribute specifies the amount of time in seconds ahead of the subscription expiration time that the Printer will send a 'subscription-about-to-expire' event. This attribute MUST is supported if the 'subscription-about-to-expire' event is supported.		
356			
357	6 References		
358 359 360	[ipp-mod] R. deBry, T. Hastings, R. Herriot, S. Isaacson, P. Powell, "Internet Printing Protocol/1.1: Model and Semantics", <draft-ietf-ipp-model-v11-02.txt>, work in progress, May, 1999.</draft-ietf-ipp-model-v11-02.txt>		
361	See also "Internet Printer Protocol/1.0: Model and Semantics", RFC 2566, April 1999.		
362 363 364 365	[ipp-not] Isaacson, S., Martin, J., deBry, R., Hastings, T., Shepherd, M., "Internet Printing Protocol/1.0 & 1.1: IPP Event Notifications ", <draft-ietf-ipp-notifications-00.doc>, work in progress, May 17, 1999.</draft-ietf-ipp-notifications-00.doc>		
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8 Other Open ISSUES

- The following issues need to be addressed:
- 3. If the IPP Printer is forwarding Subscribe operations to a notification service which rejects the subscription, is there any way the IPP Printer can return that implementation specific error condition
- back to the client? Or is it better for the IPP Printer to map the error codes to standard IPP error
- 418 status codes for interoperability?
- 4. Should we allow for an "Unsubscribe ALL" feature in some operation?
- 5. Should we define subscription service events? Such as: "subscription-about-to-expire",
- "subscription-expired", "are-you-alive" notification events? The Notification Recipient would have
- 422 to know to issue the Renew-Subscription operation, (not the original client). These events would be
- ones that are available with the Subscribe operation, but not create operations, correct?
- 424 6. What happens when a printer crashes or reboots? Are the subscriptions lost or are they required to be retained of does that depend on implementation? How does rebooting affect lease times?
- 7. Jini defines a sequence number for events so that clients can re-order the events if they are received out-of-order. IPP should support this too.
- 8. Section 8: Security Considerations of [ipp-mod] needs to be updated to reflect the use of these new
- operations related to security, especially regarding section 8.5: Operations performed by operators
- and system administrators. Also malicious clients renewing or unsubscribing subscriptions that do
- 431 not belong to them.

432 **9 Change History**

- The following changes were made from the July 7, 1998 to create the May 19, 1999 version:
- 1. Added the lease time concept from Jini and SLP event notification mechanisms, where the client
- requests a lease, but may be granted more or less, depending on the "notify-lease-time-supported
- 436 (rangeOfInteger) attribute.
- 437 2. Added "notify-lease-time-default" so that the client can request the "usual", if it doesn't care.
- 438 3. Added returning the subscription ID in the Renew-Subscription response in case the implementation
- 439 needs to change the ID.
- 440 4. Added some issues.

10 Appendix A: Full Copyright Statement

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