

## 1 Introduction

2 The intent of this paper is to review and refresh the rationale for the MFD Modeling effort and the  
3 generation of PWG Semantic Model version 2. Specifically, this paper:

- 4 1) Reaffirms the value of PWG modeling work and its benefits to PWG members and the industry  
5 as a whole.
- 6 2) Outlines the next steps necessary to realize these benefits, building upon the foundation laid by  
7 the MFD modeling effort. As the Modeling work nears completion, a shared understanding of  
8 what comes next and why is important.
- 9 3) Examines the realization of the MFD model to various concrete mappings. The industry will  
10 benefit from this work only by the application of the model in various network environments.
- 11 4) Proposes a realization of the MFD model in a concrete mapping to Web Services. Examine how  
12 we can utilize the modeling work in real-world situations. The mapping can help in addressing  
13 existing problems as well as in allowing additional functionality through the application of  
14 existing Web Service Standards.
- 15 5) Considers how the MFD modeling work can be part of an evolutionary path to advance the  
16 Internet Printing Protocol to an Imaging Peripherals Protocol.

## 17 Evolution of Semantic Model

18 Semantic Model V1.0 was approved as PWG Candidate Standard 5105.1 in 2004. Establishing industry  
19 wide consensus on the semantics of printing has had many benefits. The semantics are applicable to a  
20 number of capabilities including Service Location, representation in Directory Services, Device  
21 Monitoring and Management, and Job Submission, monitoring and control. Industry wide consensus has  
22 allowed the alignment of print related semantics across many environments, promoting consistent  
23 behavior regardless of the specific mapping.

24 The consensus on a common model has benefited device and service vendors by permitting rapid  
25 development of new protocol bindings (e.g., WS-Print) and industry specific applications (e.g., JDF Digital  
26 Print). The common model has resulted in reduced product development cost, increased reliability, and  
27 quicker time to market for print service related product implementations. This is possible because the  
28 semantic elements need be instrumented and/or implemented only once, with thin gateways providing  
29 the syntactic translation required by the multiple protocol implementations (e.g., IPP, WS-Print, JDF  
30 Digital Print, SNMP, CIM).

31 The trend in both enterprise and SOHO environments has been from locally attached or network  
32 connected printers and scanners to MFDs. As the Operating System and Application vendors evolve their  
33 systems to take advantage of the more user-friendly 'imaging services' approach, it will benefit device  
34 vendors to have a comprehensive, integrated model for these services. Therefore, the immediate goal of  
35 the current MFD work has been to extend the Semantic Model to a complete data and operational  
36 model of the user facing services common in today's MFDs. This industry-wide, comprehensive model  
37 allows the use a common set of MFD semantics that can be applied to different environments and

38 applications to allow environment specific solutions including Service advertisement, discovery,  
39 monitoring, management, job submission and tracking, and that facilitate workflow solutions.

## 40 **Model Extensibility and Vendor Differentiation**

41 Although adherence to a common model makes use easier for the consumer and benefits both  
42 application and device manufacturers, vendors need to differentiate their products by adding features  
43 and capabilities. A model that is limited to a common subset of elements and operations does not  
44 provide adequate benefit to PWG members. The modeling approach is designed to easily be extended  
45 with vendor specific features. The extended capabilities will be discoverable and the application of  
46 appropriate features will be easily incorporated into job submissions. Furthermore, the PWG MFD  
47 Semantic Model is structured to allow a mechanism for revisions to accommodate the inclusion of  
48 additional features. These features may be extensions that are sufficiently common to be incorporated  
49 in the core MFD model, or they may be new features made possible by new technology or made  
50 necessary by Consumer requirements. Along with extension capability, the model allows for subsets so  
51 that resource-constrained or low cost products can be fully integrated into any environment supporting  
52 the model.

## 53 **Value of Web Services Mapping**

54 Although many protocol bindings are possible, there are many benefits to Web Services. The Web  
55 Services approach (i.e. SOAP protocol and XML data binding) enables a wider range of tools to be used  
56 to implement client and server applications. Even if WSDL or SOAP tools are not used, the XML Schema  
57 that describes the message bodies can be used to validate and parse the messages. Furthermore, there  
58 is a benefit for development engineers in that XML makes the protocol and any stored document  
59 instances human readable.

60 **WS-Discovery** provides for the discovery of devices and its hosted services in an ad hoc environment.  
61 WS-Discovery can be used in concert with other discovery/Service Location mechanisms (e.g. UDDI, WS-  
62 Discovery Remote Extensions, Bonjour) to accommodate discovery in managed environments,  
63 enterprises or the Internet.

64 **WS-Security**[WSS] provides for both connection and message based security. It codifies mechanisms for  
65 message integrity and confidentiality. This provides a mechanism for associating security tokens with  
66 message content.

67 **WS-Eventing**[WSE] can provide a common framework for event subscription management and delivery.  
68 This will provide connect ion oriented event delivery. Extensions are possible to provide a lighter weight  
69 event delivery if SOAP over UDP can be applied as a protocol binding for event delivery.

70 **WS-Addressing** [WSA] will provide a protocol independent means to identify an instance of an MFD.  
71 Included in the WS-Addressing specification are Web Service header elements that can be used to route  
72 messages in an asynchronous implementation.

73 **Devices Profile for Web Services** [DPWS] provides a common profile for secure Web service messaging,  
74 discovery, description, and eventing on resource-constrained devices.

75 **Web Services Business Process Execution Language** [BPEL] defines a language for specifying business  
76 process behavior based on Web Services. This language can integrate MFDs as on and off ramps for  
77 documents in workflows including those in the office.

78 Because the MDF Semantic Model is an extension of the original PWG Semantic Model, which was  
79 manifest in the Internet Printing Protocol (IPP), IPP is retained as the Print Service model. Although IPP  
80 was originally intended to be XML, the XML data types were not yet complete when it was being  
81 formulated. However, we can leverage existing standards to provide missing functionality in current IPP  
82 implementations.

### 83 **Office Workflows**

84 One of the limitations in the adoption of protocols defined by the PWG has been native support for the  
85 protocol in major operating systems. A protocol for all the services hosted on MFDs will allow workflow  
86 applications to directly integrate the MFD as on and off ramp for documents. Various vendors can  
87 provide innovative solutions to real world problems and integrate devices that implement an  
88 appropriate set of PWG services. The processes within the workflow can communicate directly with the  
89 devices for document acquisitions, transformation and routing to intermediate or final destinations.

90 The modeling of MFD services has shown that there is a great deal of commonality in the system and job  
91 states, in state transitions and in the life cycles of jobs and documents. The exploitation of this  
92 commonality provides an advantage in the development of workflows that utilize MFDs as on and off  
93 ramps for documents.

94 A Web Services binding of the MFD model has the advantage of an existing standard workflow language  
95 (Web Services Business Process Execution Language). This workflow language and other Web Service  
96 based languages can integrate MFDs into distributed or hosted solutions. Perhaps one of these could be  
97 leveraged for device resident workflows (i.e. complex MFD jobs).

### 98 **Effective Standards and the PWG**

99 Experience has shown that the PWG standards are most widely implemented when they define specific  
100 protocols and managed information. Abstract specifications without interoperable implementations are  
101 perceived to be of reduced value. However, defining capabilities and characteristics in the abstract is  
102 often necessary preparation for the concepts to be durable and the various binding-specific standards to  
103 be reasonably cohesive. For example, the value of PWG Semantic Model v1 is realized in its concrete  
104 mapping to IPP, UPnP Basic Print, Java Print APIs, WS-Print, LDAP Printer Schema, SLP Printer Template,  
105 etc. But without the abstract Semantic Model, there might well have been little consistency among  
106 these various concrete, applied standards. Similarly, the benefits of PWG Semantic Model v2 will be  
107 realized in mapping of abstract elements of all of the MFD imaging services to concrete protocols

## Goal of the Multifunction Device Modeling effort

108 Many of our participating printer vendors already instrument some the elements in Semantic Model v2  
109 and make them accessible through proprietary means. And there may some belief that fully proprietary  
110 solutions provide more advantageous product differentiation than adherence to standards. But such  
111 approaches complicate the job of third parties to provide compatible solutions and ultimately weaken  
112 the functionality and flexibility hard-copy device vendors can provide to their customers. Proprietary  
113 solutions often result in de-facto standards when PWG members are left with the choices of limiting  
114 their potential market or reverse engineering and emulating other vendor's approaches rather than  
115 providing their own proprietary solution which may or may not be incorporated into third party  
116 solutions. De facto standard implementations are seldom standard since they are not developed on an  
117 industry-wide basis and often are not even documented. Third party solutions developers, to make their  
118 products address as wide a base as possible, typically water down their application to deal with the least  
119 functional implementation.

120 Vendor differentiation advantages come best not from fully proprietary solutions but from being able to  
121 leverage vendor-specific extensions to standard, well supported capabilities, giving their products an  
122 edge in the target marketplace. The Semantic Model was designed with this capability fully integrated  
123 throughout the data model.

124 So, for the benefits of the Semantic Model V2 to be realized, the model must be mapped to a concrete  
125 consumer-usable capability. A comprehensive effort to demonstrate interoperable implementations will  
126 afford the opportunity to showcase the usefulness of standard access to these data elements. Many  
127 vendors already have a Web Services framework implemented on their platforms; the addition of new  
128 Web Services can be done with reduced effort compared to other protocol bindings. A protocol binding  
129 for the PWG Semantic Model version 2 results in a verified unified model that encompasses job  
130 submission and monitoring as well as service and device monitoring and management.

## 131 **The Internet Printing Protocol becoming the Imaging Peripheral** 132 **Protocol**

133 A transition from IPP v2 to a Web Services based Print Service can be accomplished by a Web Service  
134 Gateway front end to an existing IPP service or a gateway that is bound to the same platform APIs used  
135 by the IPP service. A Web Services binding for IPP allow resolution some of IPP limitations, including its  
136 inability to easily extend data types. IPPv2 would require an update to the specification to extend the  
137 registered tags corresponding to data types. Moving to an XML encoding allows for the use of all the  
138 defined XML data types. For example, moving IPP to an XML encoding reduces the scope of "attribute-  
139 fidelity" to be element-wide instead of operation-wide, allowing a more expressive Job Ticket.

140 Because Semantic Model v2 views all MFD Services in a way analogous to the IPP View of the Print  
141 Service, the Web Services based Print Service protocol derived from IPP can be expanded to include  
142 other MFD services. The MFD protocol and associated data model has the advantage of exposing device  
143 aspects(e.g. InputTrayMediaSizeName, InputTrayCurrentLevel, DeviceId) of MFDs as well as the service  
144 aspects(e.g. PrinterState, PrinterStateReasons). The short term benefit is that this enables the protocol

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145 to encompass system monitoring and management in addition to Job submission, monitoring and  
146 control. The long-term benefit is an integrated Imaging Peripheral Protocol that utilizes the standard  
147 Web Services capabilities, which will continue to expand.

148 Semantic Model V2 also suggests a way to address the bothersome issue of the plethora of device  
149 drivers. Imaging Service interoperability can be tightened up through mandatory support of a small set  
150 of document formats. Implementation of the Transform Service would permit on-device repurposing of  
151 documents for the hosted services. For example a print subsystem that is limited to a possibly non-  
152 standard set of PDL (e.g. PostScript with vendor extensions) can make use internally of the Transform  
153 Service to allow support of mandated document formats. The Print Service could advertise its support  
154 for the mandatory document format (e.g. PDF) and internal to the device the submitted client's  
155 document could be run through the Transform Service to convert it from PDF to suitable PostScript with  
156 vendor extensions prior to submission to the native print subsystem.

## 157 **Current State and Tasks**

158 Specification of the Print, Scan, and Resource Services are complete, covering the semantics of image  
159 acquisition, hard copy output and the handling of Jobs, Tickets and Templates. These Services account  
160 for the majority of the functionality inherent to an MFD, although it is likely that some service specific  
161 semantics will be added as the remaining services are fleshed out. Currently under development are  
162 Copy and FaxOut, which rely heavily on the semantics from Print and Scan. The specification of EmailOut  
163 and Transform Services should be straightforward, given the similarities to existing services. FaxIn and  
164 EmailIn Services have jobs initiated by inbound traffic, a significant difference from the job lifecycles  
165 associated with the other services, and the specification of these Services will require more thought.  
166 Specification of the Overall MFD Service that allows control of the hosted services and provides access  
167 of system wide data will then follow.

168 As the various services are defined, XML schema has been used to model the data and the operation  
169 messages. WSDL has been used to model the operations offered by the services. This has both been an  
170 editorial convenience and promotes rapid prototyping of the services defined. It is unclear at this time  
171 whether WSDL 1.1 or 2.0 would be used for a Web Services binding. Tools exist to assist in the  
172 conversion.

173 The data model for the device aspects is also mostly complete. The data represented by the Printer MIB  
174 is in place. Device data that is associated with subunits used by services such as FaxOut is under  
175 development. New emerging standards such as Power Monitoring and Management are being  
176 incorporated as the standards are being defined. Individual services will provide access to the data  
177 associated with the subunits used by the service. The Overall MFD Service will allow access to device  
178 data across the system.

## 179 **Proposed Timeline**

180 The MFD Service Specifications under development can be completed by Q1 2010. They can move to  
181 Last Call Q2 2010, provided that someone will step up and complete a prototype. The remaining MFD  
182 Service Specifications can be completed by Q4 2010. The development of an Imaging Peripheral Protocol  
183 with a Web Services Binding can begin Q3, although it is not clear how long such an undertaking would  
184 take. However, with the WSDL and Schema defined, development should be expedited by the use of  
185 existing tools.

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