# **MSIS**

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1 Requirements - Management Using 2 **Web Services Architecture** 3 Working Draft 3, 27 May 7 Jul 2003 4 5 **Document identifier:** 6 wsdm-muwsa-regmts-draft-43 7 Location: 8 http://www.oasis-open.org/committees/documents.php?wg\_abbrev=security (To be changed) 9 Pankaj Kumar, Hewlett-Packard Co. (pankaj\_kumar@hp.com) 10 John DeCarlo, The MITRE Corp. (jdecarlo@mitre.org) 11 Nick Swart, Computer Associates (Nic.Swart@ca.com) 12 Sanjeev Kumar (sakumar@attbi.com) 13 14 **Contributors:** 15 16 **Abstract:** 17 This document lists the requirements for Management Using Web Services Architecture 18 (MUWSA) specification. 19 Status: 20 This document is a working draft of the OASIS Web Services Distributed Management (WSDM) Technical Committee. Comments are most welcome. 21 22

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### 1 Introduction

This document lists the requirements to be satisfied by *Management Using Web Services Architecture*, part of an OASIS standard to be developed by WSDM-TC, as per the TC charter:

To define web services management. This includes using web services architecture and technology to manage distributed resources. This TC will also develop the model of a web service as a manageable resource. This TC will collaborate with various evolving activities within other standards groups, including, but not limited to, DMTF (working with its technical work groups regarding relevant CIM Schema), GGF (on the OGSA common resource model and OGSI regarding infrastructure), and W3C (the web services architecture committee). Also liaison with other OASIS TCs, including the security TC and other management oriented TCs.

This document is concerned only with requirements for management using Web services architecture. A companion document will identify requirements for management of Web services.

#### 1.1 Basic Structure and Components of a Management Framework

An enterprise deploying a management solution would typically have following components:

Several manageable resources capable of being monitored, configured and
controlled via one or more remote applications, known as manager. The software
component representing or part of the manageable resource responsible for
interacting with the manager is referred to as the managed object in this document.
Traditionally, such software is also known as agent. The main difference is that a
managed object referents only one manageable resource whereas an agent is
typically responsible for a complete node or application.

 Management protocol to convey control and data packets between manageable resources and the manager.

 Model of manageable resources describing
 Attributes

OperationsEvent Notifications

Relations with other manageable resources

To support such solutions, a management framework consists of:

92	
93	A protocol definition
94	<ul> <li>A language to specify management models</li> </ul>
95	<ul> <li>Description of common model elements</li> </ul>
96	<ul> <li>Description of domain specific models</li> </ul>
97	
98	1.2 Existing Management Frameworks
99	
100	A number of standard management frameworks are currently in wide use
101	
102	<ul> <li>SNMP (SNMPv1, SNMPv2 and SNMPv3) and related standards developed by IETF.</li> </ul>
103	CIM/WBEM developed by DMTF
104 105	<ul> <li>Open Management Interface (OMI) – submitted to OASIS MPTC by HP and webMethods.</li> </ul>
106	
107	Besides these, there are many proprietary frameworks developed by various vendors.
108 109	Though OMI is XML based and uses SOAP for packaging, none of these frameworks are based on Web services architecture and leverage its benefits.
110	
111	1.3 Notation
112	
113	The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD",
114 115	"SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as described in IETF RFC 2119.
116	
117	
118	

#### 2 Management Framework 119 2.1 Functional Requirements 120 121 <HK remove the word 'protocol' from this entire section > 122 <HK Editors finished categorizing thru (I)> <HK TC finished section 2.1.2(A), 2.1.3(B)> 123 2.1.1 Specification requirements 124 2.1.12.1.2 WSA Compliance (A) [WSA-COMP] 125 [FR001WSA-COMP.001] The management protocol MUST use existing Internet 126 infrastructure and be compliant to Web Services Architecture developed by W3C WSA 127 Working Group. (Source: IBM, HP, MPTC). {#1, #11, #45, #96, 128, 125, 39, 22} 128 The standards included for the purpose of this section: 129 130 **XML** HTTP, HTTPS 131 132 SOAP WSDL (1.1 or 1.2?) 133 WS-I Basic Profile (as a goal for interoperability) 134 135 WS-Security (#25) Reliable Messaging (#90) 136 137 [FR001.1 WSA-COMP.001.1] The protocol MUST involve exchange of XML infoset 138 messages. <HK: not must, messages expressable in XML. > (attachments, http. 139 binary compression) 140 141 [WSA-COMP.001.2 FR001.2] The protocol-MUSTSHOULD allow discovery of manageable resources through Web services discovery mechanisms. These 142 143 mechanisms could be based on a central registry like UDDI and/or decentralized, 144 out-of-band gathering of WSDL documents (such as retrieving WSDL documents 145 through a crawler). (Source: IBM, HP, MPTC) {#6, #76} < Winston: Make separate high level requirement, look at discovery mechanism to see if sufficient (i.e. email, 146 asking one resource for other resources. May need normative method for interop. > 147 [WSA-COMP.001.3 FR001.3] The protocol-MUST require description of 148 management capabilities of a manageable resource using WSDL.and documents it 149 refers to (align and ref w/ 122). WSDL should be used for 150

interface -- props and ops that represent the management capabilities (#2, #15)

151

152

capabilities - ref 122

- access description binding of interface to wire format (including message packaging) (#3, #15)
- addressability description info necessary to send a message to invoke the interface described using the access described. (Source: IBM, HP, MPTC) {#2, #3, #4, #15}
- some of the capabilities may not be fully described in WSDL interface at design time, the details of some capabilities may be accessible only during runtime
- [WSA-COMP.001.4 FR001.4] Goal: Leverage, does not invent, non-management specific Web services infrastructure. If non-management specific services/infrastructure is required then it is placed as a requirement on the Web services community. This TC may need to assist in the development of the infrastructure services. Required infrastructure include: notifications, relationships, registry etc. {#1, #11, #22, #39, #57, #125, #128}
- <a href="mailto:delta"><a href="mailto:delta">delta"<a href=
- [WSA-COMP.001.6 FR001.6] Outline an architecture architecture for Management Using Web Services. {#28, #57}, management patterns, how a mgmt app uses, how a mgd resource uses, how to self manage. < move to specification requirements >
- [WSA-COMP.001.7 FR001.7] Interoperability between vendors. Goal: Should be WS-I basic profile compliantconformance.-{#71}
- <a href="mailto:delete"><delete</a> [WSA-COMP.001.8 FR001.8] Use HTTPS for security on the wire. {#25}, Should also leverage WS-Security as well. {#25} < Delete, covered by FR001 and security section)

(A) Access to manageability capabilities of manageable resources is described using WSDL (Binding)	<u>3.</u>
(A) Addressability or access point for manageability capabilities of manageable resources is described using WSDL (Port)	<u>4.</u>
(A) based on ws standards	<u>128.</u>
(A) be a GOOD web service (wsdl, use messaging efforts avail for ws allowing multiple transports, interoperability efforts underway)	<u>45.</u>
(A) composability, independently written put together so can understnd the result, like continuity principles, understanding semantics of change	<u>105.</u>
(A) Leverages, does not invent, non- management specific Web services infrastructure. If non-management specific services/infrastructure is required then it is	<u>11.</u>

placed as a requirement on the Web services community. Required infrastructure includes: notifications, relationships, registry, etc.	
(A) loose coupling	<u>48.</u>
(A) Manageability capabilities of manageable resources described using WSDL (PortType)	<u>2.</u>
(A) Manageable resources are discoverable in a manner consistent with the Web services architecture.	<u>6.</u>
(A) Use existing internet infrastructures	<u>1.</u>
(A) work in ws platform medium	<u>96.</u>
(A) ws management architecture – identify facilities that allow management using ws for management applications	<u>28.</u>
(A) wsdl based, portTypes, bindings	<u>15.</u>
(A, G) discovery	<u>76.</u>
(A,C) consistent w/ existing and future ws, don't break ws	<u>125.</u>
(A,C) ws-I compliant	<u>71.</u>
(A,C,E) support current ws security models	<u>25.</u>
(A,G,H) discovery oriented, use whatever tools in other models too to figure out whats around	<u>104.</u>

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#### 2.1.22.1.3 Message Exchange Patterns (B) [MEP]

**[FR002 MEP.001]** The management protocol MUST support request-response style interaction between a manager and a manageable resource for interface. {#38}

- [FR002.1 MEP.001.1] Must Ssupport Synchronous as well as asynchronous delivery of messages and request/response styles. {#142}
- [MEP.001.2 FR002.2] Should support Asynchronous delivery of messages and request/response styles

[MEP.002 FR002A] Should support asynchronous interactions between a manager and a manageable resource for interface.

[MEP.003 FR002B] Should support one-way style interaction (asynchronously) <check what WS-I supports>

<a href="#"><cneck what ws-i supports></a>

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<ul> <li>[MEP.004.2 FR003.2] A manager The r indicate</li> </ul>	notification receiver SH	OULD be able to
whether it wants to receive notifications asynthem periodically. (Source: HP) {#90}	nchronously as and wh	nen they happen or poll
<ul> <li>[MEP.004.3 FR003.2C] SHOULD support</li> </ul>	ort asynchronous delive	ery of notifications
<ul> <li>[MEP.004.4 FR003.2.D] MUST support</li> </ul>	synchronous polling fo	r notifications
<ul> <li>[FR003.2.E] The managed resource ML polling notifications mechanisms.</li> </ul>	JST be able to indicate	if it supports asynch or
• [MEP.004.5 FR003.3] Must Support guaranteed notifications. {#90} (and advertise its support)		
<ul> <li>[MEP.004.6 FR003.4] Must Ssupport in-ordering delivery of notifications from a managed resource's perspective. (if event A happens before even B then notification of A should arrive before notification of B) {#90}</li> </ul>		
<ul> <li>[MEP.004.7 FR003.4] Support synchror of 3.2&gt;</li> </ul>	nous as well as asynch	ronous. {#142 <u>} <dup< u=""></dup<></u>
(B) support event mechanism	<u>38.</u>	
(B) support pull and push notification models, also guaranteed delivery in order	<u>90.</u>	
(B) Synch and asynch usage	<u>142.</u>	
	MEP.004.1 FR003.1 The notification recontrol what notifications are sent to itek managed resource side) (Source: HP)   MEP.004.2 FR003.2 A manager The reindicate whether it wants to receive notifications asystem periodically. (Source: HP) {#90}   MEP.004.3 FR003.2C SHOULD support	[MEP.004.2 FR003.2] A manager-The notification receiver SH indicate  whether it wants to receive notifications asynchronously as and whether it wants to receive notifications asynchronously as and whether it wants to receive notifications asynchronously as and whether it wants to receive notifications asynchronously as and whether it wants to receive notifications asynchronously as and whether the product of the produ

2.1.32 1.4 Confe	ormance/Consistenc	v with Other Stand	dards (C) [STD-COMP]

[FR004STD-COMP.001] The management protocol-SHOULD be consistent with existing/upcoming management specifications such that it can be used/applied in those communities. I.e., GGF, DMTF. (Source: IBM) {#12, #20, #130} Including, but not limited to:

[STD-COMP.001.1 FR004.1] SHOULD be possible to build WSDM implementations such that it can manage systems that are described by CIM models. {#23} <Take this out and work thru requirement in Model Neutrality?>

[STD-COMP.002 FR004+] SHOULD consider consistency with upcoming (draft) management specifications such that it can be used/applied in those communities. I.e., GGF, DMTF. (Source: IBM) {#12, #20, #130}

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224 225 226	[STD-COMP.003 FR005] This protocol SHOULD co-exist with existing management environments and protocols and SHOULD not inhibit their simultaneous usage with existing management environments and protocols in a common environment. (Source: MPTC)
227 228	[STD-COMP.004-] It-SHOULDshould be possible for other standards to use this standard. {#12, #130, #57}
229 230	SHOULD, wherever reasonable, factor the specification so that it is possible to use modules of this standard and not only the standard as a whole.
231	[FR005.1] Should be able to support CIM models. {#23}
232	

(C) leverage existing ws standards	<u>39.</u>
(C) management using vs/ cim/soap overlaps	<u>130.</u>
(C,K) offer a framework for comprehensive management solution – allow other standards to plug in and complete this picture (i.e. other ws standards, etc.)	<u>57.</u>
(C1) defined consistently w/ existing management specs including ggf, dmtf	<u>20.</u>
(C1) develop/support latest ws standards	<u>22.</u>
(C1) extend current models of a service	<u>23.</u>
(A,C) consistent w/ existing and future ws, don't break ws	<u>125.</u>
(A,C) ws-I compliant	<u>71.</u>
(A,C,E) support current ws security models	<u>25.</u>
(C) Is defined consistently with existing Web services management specifications such that it can be used/applied in those communities, i.e. GGF, DMTF	<u>12.</u>

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#### 2.1.42.1.5 Distributed Management (D) [DIST-M]

[FR006DIST-M.001] This protocol-MUST support highly distributed environments. {#18, <u>#81,</u> #85, #101}

- [DIST-M.001.1 FR006.1] #SHOULD be possible to use this protocol over the public Internet.
- [DIST-M.001.2 FR006.2] There MUSTSHOULD not force a be no central point of control/ or failure for implementations of this specificationthis protocol.

242	[DIST-M.001.3 FR006.3] In addition to MUST allow a manager to
243	manag <u>e</u> i <del>ng</del> multiple manageable resources <del>, it</del>
244 245	<ul> <li>[DIST-M.001.4-] MUST allow a manageable resource to be managed by multiple managers {#42, #98}</li> </ul>
246 247	<ul> <li>[DIST-M.001.5-] MUST ensure that managers are able to tolerate multiple managers – (#98) <delete></delete></li> </ul>
248 249	<ul> <li>[DIST-M.001.6-] Must enable support of scaleable volumes of managed resources (#101)</li> </ul>
250 251	<ul> <li>[DIST-M.001.7-] Must enable support of scaleable volumes of manager interactions (#101)</li> </ul>
252 253 254	• [DIST-M.001.8 FR006.4] It should MUST be possible to manage through aggregates of manageable resources. Allowing: {#33, #132, #24}
255 256	<ul> <li>Support for global actions (#111)</li> </ul>
257 258 259	• [DIST-M.001.9 FR006.5] MUST She possible to support management of occasionally connected resources, including the recovery of state {#85, #101}
260 261 262 263 264	[DIST-M.001.10 FR006.6] MUST define Should tolerate failure gracefully through proper exceptions—handling mechanism such that implementations can tolerate failures, e.g. connections failure, in a distributed environment.—Cope with connection failure, unexpected events, and so on. {#117}
265 266	[DIST-M.001.11 FR006.7] Should not prohibit Allow local autonomy (respect local overrides) (#111)
267	• <delete> [DIST-M.001.12-] Support global actions. {#111}</delete>
268 269	<ul> <li><delete> [DIST-M.001.13-] SHOULDSupports Time synchronization {135, 136}</delete></li> </ul>
270 271	• [DIST-M.001.14-]SHOULD ensure that time sensitive specifications define how to calibrate time or be time difference tolerant
272	<<< 06/11/03 CALL ENDED HERE >>>
273 274	<ul> <li>[DIST-M.001.15 FR006.8] Work with loose data consistency. Not all interactions need to be atomic or transactional. {#114}</li> </ul>
275 276	<ul> <li>[DIST-M.001.16 FR006.9] Support role collapsing.</li> <li>[DIST-M.001.16.1-] An entity acting as a Manager could also be</li> </ul>
277	a manageable resource. {#85}
278 279	O [DIST-M.001.16.2-] Support protocol aware proxies and chains. {#24}
280 281	<ul> <li>[DIST-M.001.17 FR006.10] Support Manager of Managers (Hierarchical Manager) {#32, #43, #126, #133}.</li> </ul>
282	<ul><li>[DIST-M.001.17.1-] Across enterprise boundaries. {#133}</li></ul>
283 284	<ul> <li>[DIST-M.001.17.2-] Collaboration/Federation among managers.</li> <li>{#52}</li> </ul>

#### TC HELP - #105 not categorized

(D) aggregate up to higher level user so can see end to end management, depth and breadth	<u>132.</u>
(D) availablitily of time synchronization service	<u>136.</u>
(D) cooperative expectections – manager must expect are not alone	<u>98.</u>
(D) distributed, disconnected, scaleability	<u>101.</u>
(D) exception handling for large scale systems, any part of nw unavail, but can't talk to who you need to to do job, cope with reconnection, unexpected	<u>117.</u>
(D) global and local – respect for local autonomy, global actions	<u>111.</u>
(D) highly distributed	<u>18.</u>
(D) loose consistency – data gathering, not all in transactions or atomic	<u>114.</u>
(D) operates in distrib environment, occasional connectivity, hierarchy of management collection, (list in DisMan on distrib env?)	<u>85.</u>
(D) support for hierarchical and heterogeneous managers	<u>43.</u>
(D) support heirarchial infrastructure for management, not single layered	<u>126.</u>
(D) support more than one manager for a managed resource	<u>42.</u>
(D, T) hierarchy of manager (federated) – across and within enterprises	<u>133.</u>
(D,H) support aggregation and representation of resources	<u>33.</u>
(D,N) can be multilayered (can have aggregations and proxy and chains)	<u>24.</u>
(D,T) support distribution and federated management	<u>52.</u>
(D,T) support federated and hierarchical manager approaches (mgr to mgr)	<u>32.</u>

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#### 2.1.52.1.6 Security (E) [SEC]

[FR007SEC.001] This protocol MUST enable secure management of manageable resources. (Source: HP, MPTC) {#25, #19, #30}

- [SEC.001.1 FR007.1] It SHOULD be possible for the manager to authenticate the managed object.
- [SEC.001.2 FR007.2] It SHOULD be possible for the managed object to authenticate the manager.
- [SEC.001.3 FR007.3] It SHOULD be possible to guarantee the integrity and confidentiality of the messages exchanged between a manager and managed object. #82
- [SEC.001.4 FR007.4] A managed object should be able to control access (view and change) to its management information, operations and event notifications at appropriate granularity. Access should be controllable by role. For example, an internal manager should have greater control than a manager being run by a partner. (Source: MITRE) {#74, #99, #116, #83, #82}
- [SEC.002 FR008] This protocol MUST be firewall friendly, i.e. work across enterprises. {#99}
- [SEC.003 FR008A] The addition of management MUST not increase security risks or enlarge security exposures. {#112} <TC Clarify>
- [SEC.004 FR008B] Management must allow a standalone security model {41}
- [SEC.005 FR008C] Must be able to manage Security Infrastructure {34} <TC Clarify>
  - [SEC.005.1 FR008C.1] MUST allow operational capabilities on security features (enable, disable), {#70} <TC Clarify>

(E) access control, acl mechanism for accessing mgmt info of managed resources, tie into roles from management of ws.	<u>74.</u>
(E) build in security consciousness, awareness, adaptability, esp. cross enterprise. We both monitor, but for different reasons.	<u>99.</u>
(E) deal with privacy issues – who's allowed to see what	<u>116.</u>
(E) design infrastructure to uh, to be congnizant of denial of service attacks	139.
(E) do no harm – guard against attacks	<u>112.</u>
(E) provide diff levels of access, what controls and data can access	<u>83.</u>
(E) secure	<u>19.</u>

(E) secure mechanism, protecting data AND management interface	<u>82.</u>
(E) security – possible for operator to enable/disable security features	<u>70.</u>
(E) security management	<u>34.</u>
(E) stand alone security model that doesn't require separate saml authorities, Idap directories, etc.	<u>41.</u>
(E) ws mgmt arch is securable	<u>30.</u>

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#### 2.1.62.1.7 Model Neutrality (F) [MDL-NEUT]

- [FR008MDL-NEUT.001] This protocol MUST be model neutral and be able to work with multiple existing, domain specific models. (Source: MPTC, HP) {#68, #36, #122}
- [MDL-NEUT.002 FR008A] Must be able to map between models <or is this really mapping from models to Web services > {#97} <TC Clarify> <Delete, dup of previous>
- [MDL-NEUT.003 FR008B] Describe the model in higher level terms {#97} <TC Clarify><dup of first one>
  - <u>[MDL-NEUT.003.1]</u> act as model normalizing/neutralizing layer so it can support various tiers, domains {#56}, dupe of #97? <dup of first one>

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Acceptance or rejections of this model is still an issue:

Choices – from TC on model neutrality, normalization, cannonicalization

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(F) ability to map between models, platform a way to describe model in higher level terms and then others can see how to map in	<u>97.</u>
(F) act as model normalizing/neutralizing layer so it can support various tiers, domains	<u>56.</u>
(F) apply management to diff domain specific models	<u>68.</u>
(F) should be model agnostic, able to expose snmp mib,	<u>36.</u>
(F,H) managed object agnostic	<u>122.</u>

328	2.1.72.1.8 Model Exposure (G) [MDL-EXP]
329	[FR009 MDL-EXP.001] A managed object MUST expose its management model including
330 331	performance metrics, configuration details, control operations and other such capabilities using WSDL description. (Source: IBM, HP) {#76, #7}
332	<ul> <li>[MDL-EXP.001.1 FR009.1] A managed object MUST expose its Identity.</li> </ul>
333	• [MDL-EXP.001.10] A managed object MUST expose relevant management lifecycle
334	<u>state</u>
335 336	<ul> <li>[MDL-EXP.001.2 FR009.2] A managed object SHOULDMUST expose relevant management performance metricsinformation.</li> </ul>
337 338	<ul> <li>[MDL-EXP.001.9] A managed object MUST expose relevant management configuration.</li> </ul>
339 340	<ul> <li>[MDL-EXP.001.3 FR009.3] A managed object MUSTSHOULD expose relevant management operations.</li> </ul>
341 342	<ul> <li>[MDL-EXP.001.4 FR009.4] A managed object MUSTSHOULD expose its events through notifications.</li> </ul>
343 344	<ul> <li>[MDL-EXP.001.4.1] Events must be extensions of a standard XML management event format (38)</li> </ul>
345	
346	• [MDL-EXP.001.5 FR009.54] A managed object MUSTSHOULD expose its relations
347	with other managed objects.
348	[MDL-EXP.001.5.1 FR009.5.1] SHOULD expose relationships with other
349	management interfaces
350	[MDL-EXP.001.5.2 FR009.5.2] SHOULD expose relationships between
351	<u>portTypes</u>
352	[MDL-EXP.001.5.3 FR009.5.2] SHOULD expose relationships between
353	service instances (#89)
354 355	[MDL-EXP.001.5.4 FR009.5.2] Instance Relationships can change during runtime {#89} <delete distributed="" from="" here,="" of="" part="" requirements,<="" runtime="" td=""></delete>
356	moved to MDL-EXP.001.2>
357	[MDL-EXP.001.5.5 FR009.5.2] Should enable relationships between
358	manageable resources to be discoverable from the manageable resources {#8}
359	[MDL-EXP.001.5.6 FR009.5.2] Should enable relationships between
360	manageable resources to be discoverable from Web services discovery
361	mechanisms {#8}
362	<ul> <li>[MDL-EXP.001.6 FR009.6] SHOULD enable exposure of other associated</li> </ul>
363	descriptions, including work flows and policies. {#9}
364	<ul> <li>[MDL-EXP.001.7 FR009.6B] SHOULD enable exposure of existing standard</li> </ul>
365	management models and runtimes {#65, #50}
366	<ul> <li>[MDL-EXP.001.7.1] Should consider and leverage current models of service</li> </ul>
367	(23)
368	<u>o MDL-EXP.001.8</u>
369	

370	• [MDL-EXP.001.9 FR009.8] MUST be able to associate metadata with operations,
371	attributes and notifications
372	<ul> <li>[MDL-EXP.001.9.1 FR009.7] MUST be able to associate categories with</li> </ul>
373	information, operations, notifications, and relations {#5}
374	<u>o</u> [MDL-EXP.001.9.2 FR009.7] MUST be able to associate read/and
375	writeabilility of attributes {#91}
376	<ul> <li>[MDL-EXP.001.9.3 FR009.7] MUST be able to associate information for</li> </ul>
377	internationalization of values in the model {#91}
378	o [MDL-EXP.001.9.4 FR009.7] MUST be able to associate semantics with the
379	model {#16}
380	
381	[MDL-EXP.001.2] Must support changes to information in the model during
382	<u>runtime.</u>
383	
384	TC HELP with:
385	#124 – is this model consistency? <del></del>
386	#21 – what does this mean? <del></del>
387	
	(G) Additional descriptions, work flows and/or 9.
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(G) Additional descriptions, work flows and/o policies can be associated with a manageable resource	
(G) Additional interfaces for the manageable resource can be associated with the manageable resource (i.e. security, administration, etc.)	<u>10.</u>
(G) Manageability capabilities can be categorized according to their purpose, i.e. properties can be categorized as identification information, description, metrics, capabilities, configuration information, etc.	<u>5.</u>
(G) Manageability capabilities of a manageable resource are discoverable from the WSDL.	<u>7.</u>
(G) metadata for attributes and operations, like i18n name, read writeable, etc.	<u>91.</u>
(G) model based, if support a model, completely support it, can support part of this one and that one, if support multiple models support all parts of those models	<u>124.</u>

(G) relationships – on the fly, Managed resources need relationships from runtime, static not enough	<u>89.</u>
(G) Relationships between manageable resources are discoverable from the manageable resources or Web services discovery mechanisms	<u>8.</u>
(G, H) ability to do auditing and accounting	<u>115.</u>
(G, Q) support for monitoring, config, eventing, etc, (read/write, ops, events) consistent so that you have an event get semantic content and when invoke an operation have semantic	<u>21.</u>
(G, V) possible to expose mgmt of existing ws mgmt models and runtime systems	<u>65.</u>
(G,A) support new methodology for management based on web services use. Thru this framework enable exposure of management info in standard external way without wanting to interfere with internal implementations of the managed objects.	<u>50.</u>
(G,Q) need to address semantic content as well as operations (no blobs)	<u>16.</u>

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#### 2.1.82.1.9 Manageable Resource (H) [MNGBL-RES]

[FR010 MNGBL-RES.001] This framework sMUST hould support management of varieties of resources:

[MNGBL-RES.001.1] hardware related -resources (such as machines, networking elements, devices, application software) as well as software related -resources (such as a Web service, a business process, SLA etc.). {#60, #29}

[MNGBL-RES.001.2] physical resources {#31} and logical resources {#31}

[MNGBL-RES.001.3] Manage transient and long-lived/persistent resource {#64}

[MNGBL-RES.001.3] Web services and Web services architecture

[MNGBL-RES.002 FR011] Allow discovery of manageable resources with whatever tools and models in hand. No need to define everything before-hand. {#104} <del, already covered in otherones>

[MNGBL-RES.002.1] discovery of resource by type {#94} <del, already covered>

[MNGBL-RES.003] ability of sys to explain own workings {#106} – <TC Help: Does this go with Model Exposure instead> < move to SELF managing>

[MNGBL-RES.004]

[MNGBL-RES.004.1] Should support Monitoring management capabilities {#79}

[MNGBL-RES.0094.3] Should support Configure management capabilities {#81}

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407	[MNGBL-RES.00104.3] Should support Control management capabilities {#80}
408 409	[MNGBL-RES.005] MUST support identification and be uniquely identifiable (where identifiers can be recreatable) {#95, #46}
410 411	[MNGBL-RES.006] MUST be possible to find a description ( and therefore an invokable reference to the management endpoint) for an identity {#95}
412	[MNGBL-RES.007] MUST be possible to express groupings of resources {#73, #93}
413	[MNGBL-RES.007.1] by type {94} <del, 007="" dup="" of=""></del,>
414 415	[MNGBL-RES.008] Must be able to support manageability incrementally. (Ranges from minimally Identifiable to Monitorable to Fully operable) {#103}

(H) ability of sys to explain own workings	<u>106.</u>
(H) able to monitor ws, including status info/metrics	<u>79.</u>
(H) configure ws	<u>81.</u>
(H) control ws,	<u>80.</u>
(H) extensions for unique ids, recreatable ids – I am a managed object in one area and create a relationship between myself and someone in another area, need to be able to find that other object/ handle	<u>95.</u>
(H) grouping of resources based on type, locality, and other factors (usability)	<u>73.</u>
(H) groupings/collections	<u>93.</u>
(H) need a unique ID for resources, whether is a business process, disk, etc. so can see relationships between these resources	<u>46.</u>
(H) search criteria - search mgd domain for types of objects	<u>94.</u>
(H) support management of web services as resources	<u>60.</u>
(H) support mgmt of longlived and shortlived resources	<u>64.</u>
(H) ws mgmt arch applies equally to physical and logical resources	<u>31.</u>
(H,L) awareness and capabilities piecewise, resources monitoriable to fully capable	<u>103.</u>
(H,S) ws mgmt arch is manageable as a resource	<u>29.</u>

# 418 <u>2.1.92.1.10</u> Life-cycle Management (I) [LC-MGMT] 419 [FR011A LC-MGMT.001] This framework MUSTshould

[FR011A LC-MGMT.001] This framework MUST should allow monitoring and control of life-cycle states of various managed resource objects. {HP}The life-cycle itself could be different for different managed objects.

[LC-MGMT.002 FR011B] MUST allow control of life-cycle states of managed resources. {HP}

[LC-MGMT.004] Allows creation and deletion of new managed objects (manageability Web services) for resources {#92}

[] Allow deployment management {#26} <TC Help> < where does our management start??? Deployed? Pre-deploy? Runtime? > <delete>

[LC-MGMT.003 FR011C] MUST not define a canonical lifecycle for all managed resources. (Note: this is a modeling exercise) {#131}

(I) do we want features to allow object creation and deletion (new managed objects)	<u>92.</u>
(I) lifecycle management of diverse components in various domains	<u>131.</u>
(I) support deployment/lifecycle management	<u>26.</u>

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#### 2.1.11 Semantics (Q) [SEM]

(Q) semantic intelligence built into it (chewable bite	<u>53.</u>
sizes) <tc help=""><what does="" mean="" this=""><deleted></deleted></what></tc>	

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#### 2.1.12 Management Manageability (S) [SELF-MGMT]

[FR013 manSELF-MGMT.001] It should be possible to model and manage the manager as a manageable resource. (Source: CA) <new words> enables a manager to be a manageable resource.

[ManSELF-MGMT.002] Management infrastructure must be manageable. {58, 102} <new words> enables resources that are part of a management infrastructure to be manageable resources

[ManSELF-MGMT.003] Permit manageable resources to be self-managing {} <new words> do not preclude manageable resources from using their own manageability interfaces.

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(S) needs to be self-managed management infrastructure	<u>58.</u>
(S) self aware, self management, recursive	<u>102.</u>

#### 2.1.13 Federation (T) [FED]

[Fed.1-FED.001] Enables the federation of management systems {100} <new words> does not preclude the development of federated managersFED.001.1-Fed.1.1

{141} management system conflict resolution is out of scope, understanding effect of actions addressed by existing requirements on modeling.

[FED.002 Fed.2] Enables overriding existing management {140} <delete, out of scope>

(T) federated management fundamental	<u>100.</u>
(T) intervention by humans that can be dealt with – override-ability	<u>140.</u>
(T) understanding effect of your actions on other systems, management system conflict resolution	<u>141.</u>

#### 2.1.14 Co-existance (U,V) [CO-EXIST]

[-CO-EXIST.001] Should make use of consistent with other Mgmt specs: GGF, DMTF, cim/soap – {12, 130, 20} <new words> should make use of existing specifications where appropriate to avoid duplication and conflict, e.g. GGF OGSI, DMTF CIM/WBEM

[CO-EXIST.002-] MUWS Specification should be usable by other Management specs: GGF, DMTF, cim/soap – {12, 130, 20} <new words> should be usable by other specifications where we have similar requirements.

[CO-EXIST.003-] Ensure ability to build adapters to existing management systems {37, 59, 77, 78} <out of scope, maybe a use case for feasibility of adapters? >

[CO-EXIST.004-] Ensure Web services platform neutrality {61} <already covered, dup>
[CO-EXIST.005-] Ensure implementations can co-exist without interfering with existing standardized management infrastructures {138}

(U) support legacy systems, able to build a proxy for existing systems	<u>37.</u>
(V) Accommodate existing middleware architectures (J2EE, .net)	<u>61.</u>
(V) allow existing deployed resource in enterprise to be part of the ws management fw, wrap existing, legacy applications	<u>59.</u>
(V) coexist w/ other existing mgmt infrastructures	<u>138.</u>
(V) inclusion of other protocol bridge, interact w/ non ws endpoints	<u>77.</u>
(V) keep a biased eye on existing implementations	<u>78.</u>

#### 2.1.15 Discovery [DISC] 463 [FR001,2 DISC.001] MUST allow discovery of manageable resources through Web services 464 discovery mechanisms. These mechanisms could be based on a central registry like UDDI 465 and/or decentralized, out-of-band gathering of WSDL documents (such as retrieving WSDL 466 documents through a crawler). (Source: IBM, HP, MPTC) {#6, #76} < Winston: Make 467 separate high level requirement, look at discovery mechanism to see if sufficient (i.e. email, 468 asking one resource for other resources. May need normative method for interop. > < new 469 words> the manageability interface must be described in WSDL documents and XML 470 471 Schema so that it can be discoverable (like any other Web service) [DISC.002-] Discovery oriented - (manager finding resources as well as manager having 472 473 resources defined to it)- (104) <new words> do not require a manager to have all resources explicitly defined to it. 474 475 [DISC.003-] ws able to announce to managers <new words> <delete> [DISC.004 FR011] Allow discovery of manageable resources with whatever tools and models 476 in hand. No need to define everything before-hand. {#104} <dup, delete> 477 478 [DISC.004.1-] discovery of resource by type {#94} <delete> [DISC.005 FR009.5.2] Relationships between manageable resources (that it knows about) 479 may be discoverable from the manageable resources {#8} <dup in model exposure> 480 481 [DISC.006 FR009.5.2] enable the discovery of appropriate (tbd) relationships between 482 manageable resources via Web services discovery mechanisms {#8} <new words> 483 [DISC.007-] enable discovery of manageability capabilities of resources 2.1.102.1.16 Miscellaneous (J) [MISC] 484 [FR012 MISC.001] At least one standard binding is defined (but not required to be supported 485 486 by all compliant implementations): SOAP/HTTP. (Source: IBM). [Editorial Note - This requirement is in conflict with interoperability requirement [NR001], as it would be 487 possible to two implementations without any common binding. ]. <dup, Interop> 488 [FR013] It should be possible to model and manage the manager as a manageable resource. 489 (Source: CA) 490 491 [MISC.002 FR014] Time Synchronization. Should allow normalization and syncronization of time for data sources and data sinks. {135} <TC: out of scope, delete> 492 493 IMISC.003 -1 Time data formats should normalized. < new words> Use XML schema types available for Time and Date when representing a time. 494 [MISC.004 -] Ability to have some manager capabilities collocated w/ managed element, i.e. 495 496 event filtering, metric aggregation, and resource aggregation. {44} <dup of Man-Mgmt.003> 497 [MISC.005 -] Enable management interfaces to be supported directly by resources or indirectly through proxies or agents. {51} <del, impl issue, define agent/proxies in glossary 498 499 and commentary> [MISC.006 -] The definition of management applications are out of scope, {120} <add 500 501 scope/out of scope section(s)>

[MISC.007-] To the TC: Urgency of delivery {63} < del, move to schedule document >

503 504	[MISC.008 -] Should support transactionality, i.e. consistency on a unit of work {143} < out of scope, infrastructure concern >
505 506	[MISC.009 -] Must support Management of Web services {129} < dup of manageable resource>
507 508	[MISC.010-] Should be implementable using existing technologies and standards {35} <move co-existence="" to=""></move>

(J) ability to have some manager capabilities collocated w/ managed element	<u>44.</u>
(J) Be able to support various deployment models – agent based, agentless	<u>51.</u>
(J) management application agnostic <tc apps?="" help,="" is="" kind="" of="" or="" pd?="" sla?="" technology?="" this="" what=""></tc>	<u>121.</u>
(J) management infrastructure, not management application	<u>120.</u>
(J) meet a timing window of ??, urgency of meaningful contribution window	<u>63.</u>
(J) transactional – consistency on a unit of work	<u>143.</u>
(J) work closely w/ of and using. Do using first, where mgmt of is an instance of this	<u>129.</u>
(J) ws u ws is implementable w/o dependency on work yet to happen that we don't have control of	<u>35.</u>

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## 2.2 Non-Functional Requirements

#### 2.2.1 Interoperability (K) [INTEROP]

• [NR001\_INTEROP.001] A compliant manager MUST be able to interoperate with a compliant manageable resource and vice-versa. (Source: HP, MPTC) {67,47,75}

[INTEROP.001.1] For all resource capabilities {75}

[INTEROP.001.2] Define one standard WS-I compliant binding for required compliance {40}

[INTEROP.001.3] Define standard operations for compliance {123}

(K) ability for a compliant manager to work with a compliant agent in a predictable way	<u>67.</u>
(K) define one and only one standard binding required for compliance	<u>40.</u>
(K) interoperability	<u>47.</u>

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(K) interoperability – compliant mgr interop w/ compliant manageable resource for all the resources capabilities	<u>75.</u>
(K) provides for standard set of operations for compliance	<u>123.</u>

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#### 2.2.2 Evolvability (L) [EVOLV]

[NR002 EVOLV.001] The protocol should be designed so that it can be evolved without breaking backward compatibility. (Source: MPTC)

[EVOLV.002-] Future-proof: allow evolution and pluggability for ws specs to come – (57)

[EVOLV.003 -] Tolerate multiple versions of a resource in simultaneously in the same system

*{127, 109}* 

[EVOLV.003.1 -] enable upgrades {108}

[EVOLV.003.2 -] enable maintenance

(L) must allow world of management upgradeable and maintainable thru multiple versions in same system in parallel and together	<u>127.</u>
(L) tolerate multiple versions of same thing in same systems	<u>109.</u>
(L) versioning and piece-wise upgrade	<u>108.</u>

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### 2.2.3 Extensibility (M) [EXTN]

[NR003 EXTN.001] It MUST be possible to extend the management models exposed through this protocol by adding additional model elements, management information, operations, event notifications and relations without breaking the manager software. (Source: HP, MPTC, IBM) {13,49,17}

[EXTN.002\_NR004] It MUST be possible to extend the schema of event notifications supported by this protocol. (Source: HP)

[EXTN.003 -] Allow pluggability of infrastructure capabilities, i.e. security {54}

(M) adapt to various management needs that different domains have allow for different capabilities that they need, i.e. security, other protocols, etc.	<u>54.</u>
(M) Extensibility	<u>13.</u>
(M) extensibility	<u>49.</u>
(M) extensible	<u>17.</u>

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#### 2.2.4 Scalability (N) [SCAL]

[NR004 SCAL.001] It SHOULD be possible to have scalable deployment of compliant implementations. (Source: HP, MPTC) {110}

[SCAL.002 NR004.1] A manager SHOULD be limited only by the h/w (CPU power, RAM, Secondary storage, network bandwidth etc. ) resources on how many managed objects it can manage. {119}

[SCAL.003 NR004.2] It SHOULD be possible to build a hierarchy of managers for large scale deployments.

[SCAL.004 NR004.3] It SHOULD be possible to retrieve management information or carry out management operations on more than one manageable resource with a single request. {69, 72}

[SCAL.005 NR004.4] It SHOULD be possible to specify filtering/processing at the managed object to reduce network traffic and distribute computation.

[SCAL.006-] It should be possible to carry out multiple management operations on one manageable resource with a single request {86}

[SCAL.007 -]It should be possible to handle scaleability of events (event storm handling in large scale systems, event aggregation) {137}

(N) fw should allow scaleable (on operation to 15000 res shouldn't force 15000 requests)	<u>69.</u>
(N) potentially highly scalable and available	<u>110.</u>
(N) scaleability – across objects, and w/in an object.  Don't want to have to do a sep ws request to get every value of every attr, rather get all attr values together	<u>86.</u>
(N) scaleability of events (event storm handling in large scale systems, event aggregation	<u>137.</u>
(N) small to large number of objects	<u>119.</u>
(N) support grouping of managed resources for bulk config and operations	<u>72.</u>

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### 2.2.5 Useability (O) [USE]

[NR005 USE.001] Usability of WSDM specification to implementers. This is important for rapid adoption.

[<u>USE.001.1 NR005.1</u>] It SHOULD be possible to create a minimally compliant implementation with relatively small amount of effort including gradual adoption.
 (<u>Source: HP, MPTC</u>) {62, 55}

561 562 563	<ul> <li>[<u>USE.001.2 NR005.2</u>] The specification SHOULD provide sufficient clarity to implementers in interpretation of various implementation related aspects. (<u>Source: HP, MPTC</u>)</li> </ul>
564 565	<ul> <li>[USE.001.3 -] At least one standard binding is defined (but not required to be supported by all compliant implementations): SOAP/HTTP. {14}</li> </ul>
566	<ul> <li>[USE.001.4 -] Ensure easy to develop adapter to existing systems {84}</li> </ul>
567 568 569	[Editorial Note: Validation of these requirements would require feedback from implementation teams. Another way to look at these requirements is that the TC must actively encourage parallel implementation and actively seek feedback from implementation teams.]
570 571 572	[USE.002 NR006] Usability of the resulting implementation. Again, this would depend, to a large extent, on specific implementation but the protocol should not preclude certain features. Certain examples are given below (from Homayoun's email):
573 574 575	<ul> <li>protocol that allow the manager to get to the management information (e.g. how many steps do I need to take to get to the information, how do I do bulk operations, how hard is it to get events, etc.)</li> </ul>

- (e.g. how many ations, how hard is it to get events, etc.)
- protocol that allow the manager to display the information in a user and domain friendly way (e.g. How easy is it for me to convert the data to my special format, can I display it in a non-english env., etc.)
- model that allows the manager to understand the capabilities and the relationships between the managed objects such that it can understand their interdependencies and the type of management information they're capable of providing without having to necessarily know the details of their specific modeling techniques

[USE.003-] testability and debuggability {107}

(O) simple and easy to plug into by various supplier and developers	<u>62.</u>
(O) testability and debuggability	<u>107.</u>
(O) usable in a way that makes adoption of it easy and people can gradually comply with it	<u>55.</u>
(O,K) At least one standard binding is defined (but not required to be supported by all compliant implementations): SOAP/HTTP.	<u>14.</u>
(O,V) enable, easy to develop ws agents for other resources (like snmp,etc.)	<u>84.</u>

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#### 2.2.6 Internationalization (P) [I18N]

[NR007 | 118N.001] This protocol MUST allow compliant implementations to be localized. (Source: HP) {66,134}

[118N.002-] Accommodate management systems which are in different locals from the managed resources. {87}

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(P) fw should support internationalization	<u>66.</u>
(P) i18n, consider cross locale; management is around the world, managed resources may be in diff locale domains than managers	<u>87.</u>
(P) internationalization	<u>134.</u>

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## 2.2.7 Performance Impact (R) [PERF]

[-PERF.001] minimally intrusive, the management infrastructure and system does not have unnecessary effects on ability to do work {113, 27}

[PERF.002-] permit deployment resource constrained systems {118}

[PERF.003-] permit managed resources to control the impact of management on their

environments

(R) developed so cognizant of system overhead/requirements	<u>27.</u>
(R) minimally intrusive, mgmt system not have unnecessary effects on ability to do work	<u>113.</u>
(R) scaleable footprint – small devices and large devices	<u>118.</u>

## 3 Use Cases

#### 4 References 599 600 601 1. HP Submission of "Management Using Web Services" Requirements. Archived at: 602 http://www.oasis-open.org/archives/wsdm/200304/msg00050.html 603 2. HP Submission of "Management of Web Services" Requirements. Archived at: 604 http://www.oasis-open.org/archives/wsdm/200304/msg00051.html IBM Submission of Requirements. Archived at: http://www.oasis-605 606 open.org/archives/wsdm/200304/msg00104.html 607 4. TIBCO Submission of Additional Requirements for "Management of Web Services". Archived at: http://www.oasis-open.org/archives/wsdm/200304/msg00112.html 608 W3C WSA Requirements worked out by MTF. Archived at: 609 http://lists.w3.org/Archives/Public/www-ws-arch/2003Mar/att-610 0001/W3c.Mtf.WSInstance.20030229.htm 611 612 Management Protocol TC Requirements. Archived at: http://www.oasis-613 open.org/apps/org/workgroup/wsdm/download.php/1813/SummaryOfRequirements20030109 614 V14.doc 615

## **Appendix A. Acknowledgments**

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The editors would like to acknowledge the contributions of the OASIS WSDM Technical Committee, whose voting members at the time of publication were:

# **Appendix B. Brainstorming**

#### Requirements identified in WSDM-TC F2F brainstorming session.

Requirement	Number
(A) Access to manageability capabilities of manageable resources is described using WSDL (Binding)	3.
(A) Addressability or access point for manageability capabilities of manageable resources is described using WSDL (Port)	4.
(A) based on ws standards	128.
(A) be a GOOD web service (wsdl, use messaging efforts avail for ws allowing multiple transports, interoperability efforts underway)	45.
(A) composability, independently written put together so can understnd the result, like continuity principles, understanding semantics of change	<mark>105.</mark>
(A) Leverages, does not invent, non-management specific Web services infrastructure. If non-management specific services/infrastructure is required then it is placed as a requirement on the Web services community. Required infrastructure includes: notifications, relationships, registry, etc.	11.
(A) loose coupling	48.
(A) Manageability capabilities of manageable resources described using WSDL (PortType)	2.
(A) Manageable resources are discoverable in a manner consistent with the Web services architecture.	6.
(A) Use existing internet infrastructures	1.
(A) work in ws platform medium	96.
(A) ws management architecture – identify facilities that allow management using ws for management	28.

applications	
(A) wsdl based, portTypes, bindings	15.
(A, G) discovery	76.
(A,C) consistent w/ existing and future ws, don't break ws	125.
(A,C) ws-I compliant	71.
(A,C,E) support current ws security models	25.
(A,G,H) discovery oriented, use whatever tools in other models too to figure out whats around	104.
(B) support event mechanism	38.
(B) support pull and push notification models, also guaranteed delivery in order	90.
(B) Synch and asynch usage	142.
(C) Is defined consistently with existing Web services management specifications such that it can be used/applied in those communities, i.e. GGF, DMTF	12.
(C) leverage existing ws standards	39.
(C) management using vs/ cim/soap overlaps	130.
(C,K) offer a framework for comprehensive management solution – allow other standards to plug in and complete this picture (i.e. other ws standards, etc.)	57.
(C1) defined consistently w/ existing management specs including ggf, dmtf	20.
(C1) develop/support latest ws standards	22.
(C1) extend current models of a service	23.
(D) ability to normalize time for data sources and data sinks	135.
(D) aggregate up to higher level user so can see end to end management, depth and breadth	132.
(D) availablitily of time synchronization service	136.
(D) cooperative expectections – manager must expect are not alone	98.

(D) distributed, disconnected, scaleability	101.
(D) exception handling for large scale systems, any part of nw unavail, but can't talk to who you need to to do job, cope with reconnection, unexpected	117.
(D) global and local – respect for local autonomy, global actions	111.
(D) highly distributed	18.
(D) loose consistency – data gathering, not all in transactions or atomic	114.
(D) operates in distrib environment, occasional connectivity, hierarchy of management collection, (list in DisMan on distrib env?)	85.
(D) support for hierarchical and heterogeneous managers	43.
(D) support heirarchial infrastructure for management, not single layered	126.
(D) support more than one manager for a managed resource	42.
(D, T) hierarchy of manager (federated) – across and within enterprises	133.
(D,H) support aggregation and representation of resources	33.
(D,N) can be multilayered (can have aggregations and proxy and chains)	24.
(D,T) support distribution and federated management	52.
(D,T) support federated and hierarchical manager approaches (mgr to mgr)	32.
(E) access control, acl mechanism for accessing mgmt info of managed resources, tie into roles from management of ws.	74.
(E) build in security consciousness, awareness, adaptability, esp. cross enterprise We both monitor, but for different reasons.	99.
(E) deal with privacy issues – who's allowed to see what	116.
(E) design infrastructure to uh, to be congnizant of	139.

denial of service attacks		
(E) do no harm – guard against attacks	112.	
(E) provide diff levels of access, what controls and data can access		
(E) secure	19.	
(E) secure mechanism, protecting data AND management interface	82.	
(E) security – possible for operator to enable/disable security features	70.	
(E) security management	34.	
(E) stand alone security model that doesn't require separate saml authorities, Idap directories, etc.	41.	
(E) ws mgmt arch is securable	30.	
(F) ability to map between models, platform a way to describe model in higher level terms and then others can see how to map in	97.	
(F) act as model normalizing/neutralizing layer so it can support various tiers, domains	56.	
(F) apply management to diff domain specific models	68.	
(F) should be model agnostic, able to expose snmp mib,	36.	
(F,H) managed object agnostic	122.	
(G) Additional descriptions, work flows and/or policies can be associated with a manageable resource	9.	
(G) Additional interfaces for the manageable resource can be associated with the manageable resource (i.e. security, administration, etc.)	10.	
(G) Manageability capabilities can be categorized according to their purpose, i.e. properties can be categorized as identification information, description, metrics, capabilities, configuration information, etc.	5.	
(G) Manageability capabilities of a	7.	

manageable resource are discoverable from the WSDL.	
(G) metadata for attributes and operations, like i18n name, read writeable, etc.	91.
(G) model based, if support a model, completely support it, can support part of this one and that one, if support multiple models support all parts of those models	124.
(G) relationships – on the fly, Managed resources need relationships from runtime, static not enough	89.
(G) Relationships between manageable resources are discoverable from the manageable resources or Web services discovery mechanisms	8.
(G, H) ability to do auditing and accounting	115.
(G, Q) support for monitoring, config, eventing, etc, (read/write, ops, events) consistent so that you have an event get semantic content and when invoke an operation have semantic	21.
(G, V) possible to expose mgmt of existing ws mgmt models and runtime systems	65.
(G,A) support new methodology for management based on web services use. Thru this framework enable exposure of management info in standard external way without wanting to interfere with internal implementations of the managed objects.	50.
(G,Q) need to address semantic content as well as operations (no blobs)	16.
(H) ability of sys to explain own workings	106.
(H) able to monitor ws, including status info/metrics	79.
(H) configure ws	81.
(H) control ws,	80.
(H) extensions for unique ids, recreatable ids – I am a managed object in one area and create a relationship between myself and someone in another area, need to be able to find that other object/ handle	95.
(H) grouping of resources based on type, locality, and other factors (usability)	73.

(H) groupings/collections	93.
(H) need a unique ID for resources, whether is a business process, disk, etc. so can see relationships between these resources	46.
(H) search criteria - search mgd domain for types of objects	94.
(H) support management of web services as resources	60.
(H) support mgmt of longlived and shortlived resources	64.
(H) ws mgmt arch applies equally to physical and logical resources	31.
(H,L) awareness and capabilities piecewise, resources monitoriable to fully capable	103.
(H,S) ws mgmt arch is manageable as a resource	29.
(I) do we want features to allow object creation and deletion (new managed objects)	92.
(I) lifecycle management of diverse components in various domains	131.
(I) support deployment/lifecycle management	26.
(J) ability to have some manager capabilities collocated w/ managed element	44.
(J) Be able to support various deployment models – agent based, agentless	51.
(J) management application agnostic	121.
(J) management infrastructure, not management application	120.
(J) meet a timing window of ??, urgency of meaningful contribution window	63.
(J) transactional – consistency on a unit of work	143.
(J) work closely w/ of and using. Do using first, where mgmt of is an instance of this	129.
(J) ws u ws is implementable w/o dependency on work yet to happen that we don't have control of	35.
(K) ability for a compliant manager to work with a compliant agent in a predictable way	67.

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(O) testability and debuggability	107.
(O) usable in a way that makes adoption of it easy and people can gradually comply with it	55.
(O,K) At least one standard binding is defined (but not required to be supported by all compliant implementations): SOAP/HTTP.	14.
(O,V) enable, easy to develop ws agents for other resources (like snmp,etc.)	84.
(P) fw should support internationalization	66.
(P) i18n, consider cross locale; management is around the world, managed resources may be in diff locale domains than managers	87.
(P) internationalization	134.
(Q) semantic intelligence built into it (chewable bite sizes)	53.
(R) developed so cognizant of system overhead/requirements	27.
(R) minimally intrusive, mgmt system not have unnecessary effects on ability to do work	113.
(R) scaleable footprint – small devices and large devices	118.
(S) needs to be self-managed management infrastructure	58.
(S) self aware, self management, recursive	102.
(T) federated management fundamental	100.
(T) intervention by humans that can be dealt with – override-ability	140.
(T) understanding effect of your actions on other systems, management system conflict resolution	141.
(U) support legacy systems, able to build a proxy for existing systems	37.
(V) Accommodate existing middleware architectures (J2EE, .net)	61.
(V) allow existing deployed resource in enterprise to be part of the ws management fw, wrap existing, legacy	59.

applications	
(V) coexist w/ other existing mgmt infrastructures	138.
(V) inclusion of other protocol bridge, interact w/ non ws endpoints	77.
(V) keep a biased eye on existing implementations	78.

624	1. •2.1 Functional Requirements
625	2. •(A) 2.1.1 WSA Compliance
626	3. •1, 2, 3, 4, 6, 11, 12, 15, 16, 22, 25, 28, 45, 48, 50, 71, 76, 104, 105,125, 128
627	4. •(B) 2.1.2 Message Exchange Patterns
628	<u>5. •38, 90, 142</u>
629	6. •(C) 2.1.3 Conformance/Consistency with Other Standards
630	7. •11, 12, 20, 23, 25, 39, 57, 71, 125, 130,
631	8. •(D) 2.1.4 Distributed Management – multiple managers, hierachical
632	9. •18, 24, 32, 33, 42, 43, 53, 85, 98, 101, 103, 111, 114, 117, 126, 132, 133, 135, 136
633	10. •(E) 2.1.5 Security
634	11. •19, 25, 30, 34, 41, 70, 74, 82, 83, 99, 112, 115, 116, 139
635	12. •(F) 2.1.6 Model Neutrality
636	<u>13. •36, 56, 68, 97, 122</u>
637	14. •(G) 2.1.7 Model Exposure
638	15. •5, 7, 8, 9, 10, 21, 23, 33, 50, 65, 76, 89, 91, 104, 115, 122, 124
639	16. •(H) 2.1.8 Manageable Resources
640	<u>17. •29, 31, 46, 60, 64, 73, 79, 80, 81, 93, 94, 95, 103, 106</u>
641	18. •(I) 2.1.9 Life-cycle Management
642	<u>19. •26, 92, 131</u>
643	20. •(J) 2.1.10 Miscellaneous
644	<u>21.</u> •35, 44, 51, 63, 94, 112, 120, 121, 122, 129, 143
645	22. •2.2 Non-Functional Requirements
646	23. •2.2.1 (K) Interoperability
647	<u>24.</u> •14, 40, 47, 57, 67, 75, 123
648	25. •2.2.2 (L) Evolvability
649	<u>26. •103, 108, 109, 125, 127</u>
650	27. •2.2.3 (M) Extensibility
651	<u>28. •9, 10, 13, 17, 49, 54</u>

652	29. •2.2.4 (N) Scalability
653	30. •24, 32, 33, 69, 72, 86, 88, 110, 119, 137
654	31. •2.2.5 (O) Useability
655	<u>32. •14, 55, 62, 84, 107</u>
656	33. •2.2.6 (P) Internationalization
657	<u>34. •66, 87, 134</u>
658	<u>35. •New</u>
659	36. •(Q) Semantics
660	<u>37.</u> •16, 21, 53
661	38. •(R) Performance Impact
662	<u>39. •27, 113, 118</u>
663	40. •(S) Self Management.
664	<u>41. •29, 58,102</u>
665	42. •(T) Federation.
666	43. •32, 53, 100, 103, 133, 140, 141
667	44. •(U,V) Coexistence
668	<u>45.</u> •37, 50, 51, 59, 61, 77, 78, 84, 13
669	

670 Appendix C.

## **Appendix D. Notices**

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# **Appendix E. Revision History**

Date	Lead Author	Description
May 13, 2003	Pankaj Kumar	Initial Draft.
May 27, 2003	Pankaj Kumar	Draft#2 Incorporated requirements identified in the F2F brainstorming into the main text. Used the classification agreed upon in the phone conf. With Heather, John and Veena.

702

703 <u>Note:</u>

704 When we get to creating a glossary, define 'monitoring'